

**Fate of the Higgs mode near
quantum criticality
and
Modulated Floquet topological
insulators**

Daniel Podolsky
Technion - Israel Institute of Technology



Tsinghua University
September 23, 2013

Fate of the Higgs mode near quantum criticality



Snir Gazit



Assa Auerbach



Dan Arovas
circa 1981



Subir Sachdev



Technion
Israel Institute
of Technology

D.P., Auerbach, Arovas, *Phys. Rev. B* **84**, 174522 (2011)

D.P. and Sachdev, *Phys. Rev. B* **86**, 054508 (2012)

Gazit, D.P., Auerbach, *Phys. Rev. Lett.* **110**, 140401 (2013)

Gazit, D.P., Auerbach, Arovas, arXiv:1309.1765 (2013)

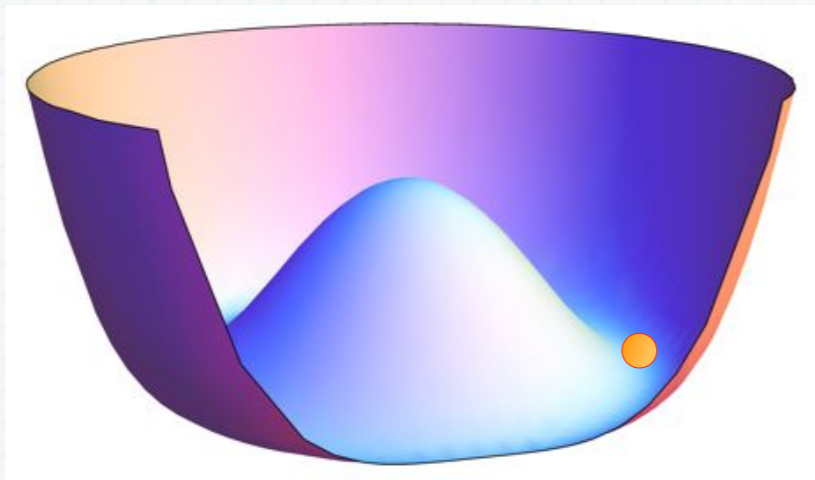
Spontaneous symmetry breaking

N -component order parameter:

$$\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \\ \dots \\ \phi_N \end{pmatrix}$$

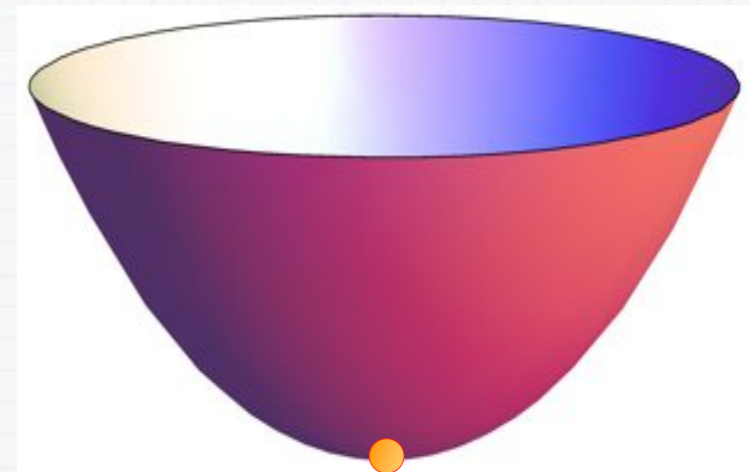
$$V(\phi) = g\phi^2 + u(\phi^2)^2$$

$$g < 0$$

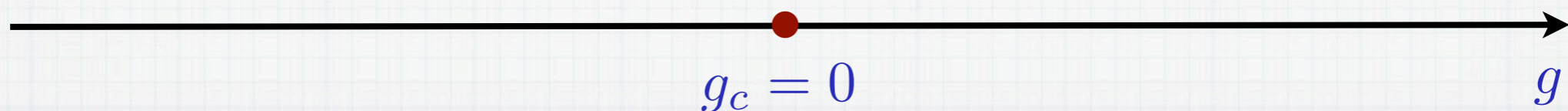


$$\langle \phi \rangle \neq 0$$

$$g > 0$$

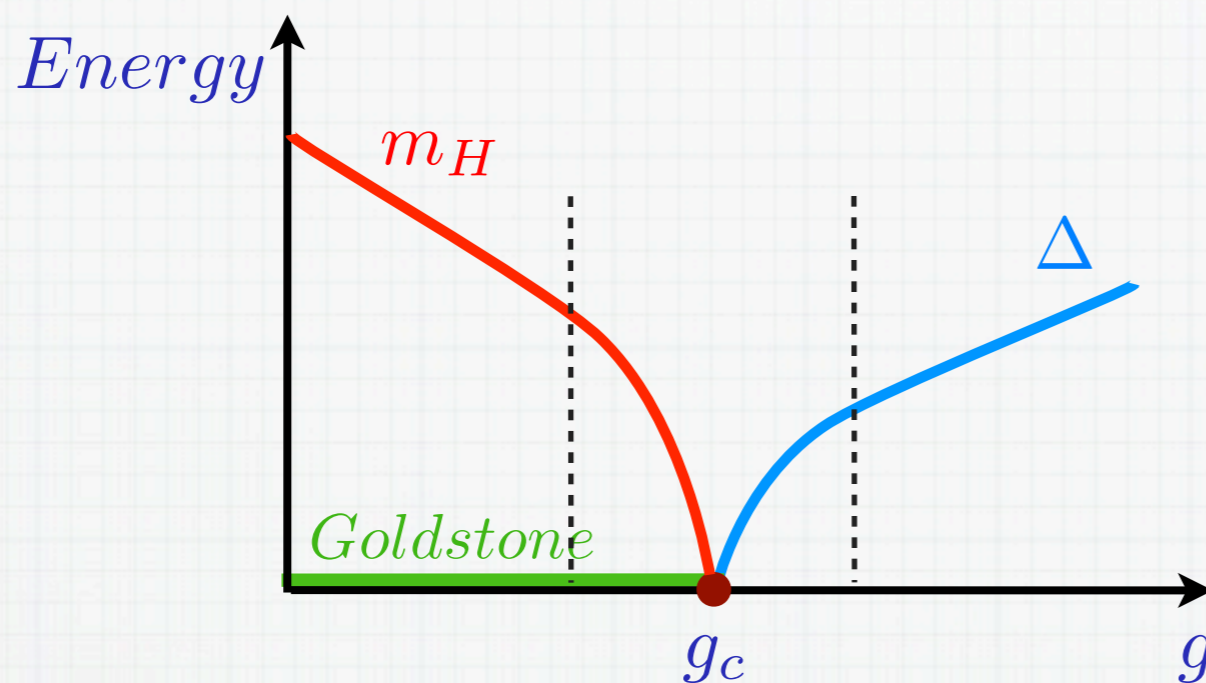
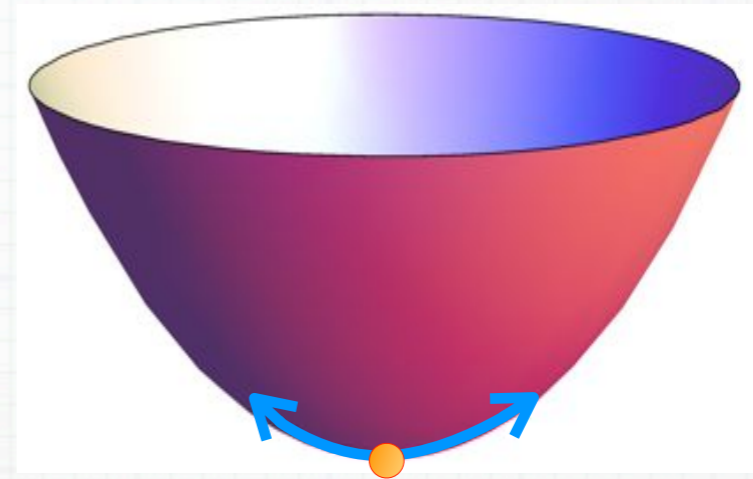
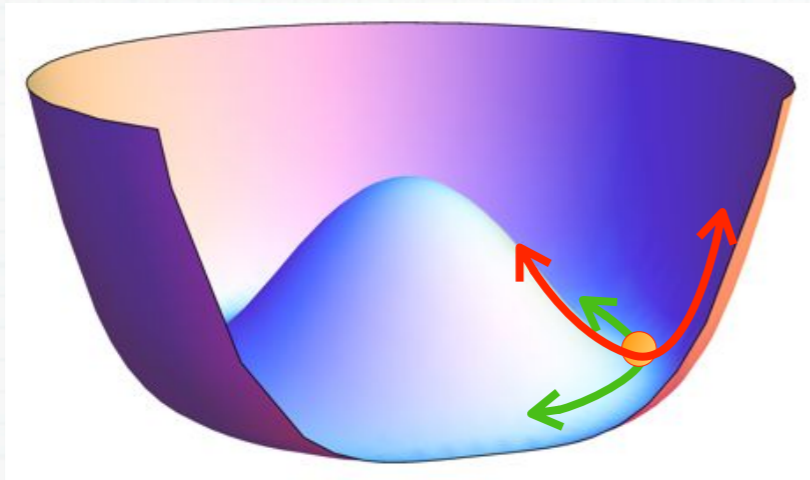


$$\langle \phi \rangle = 0$$



Collective excitations

Relativistic dynamics: $S = \int d^d x dt [(\partial_t \phi)^2 - (\nabla \phi)^2 - V(\phi)]$



Mean field:

$$\Delta \sim A|g - g_c|^{0.5}$$

$$m_H \sim \sqrt{2}A|g - g_c|^{0.5}$$

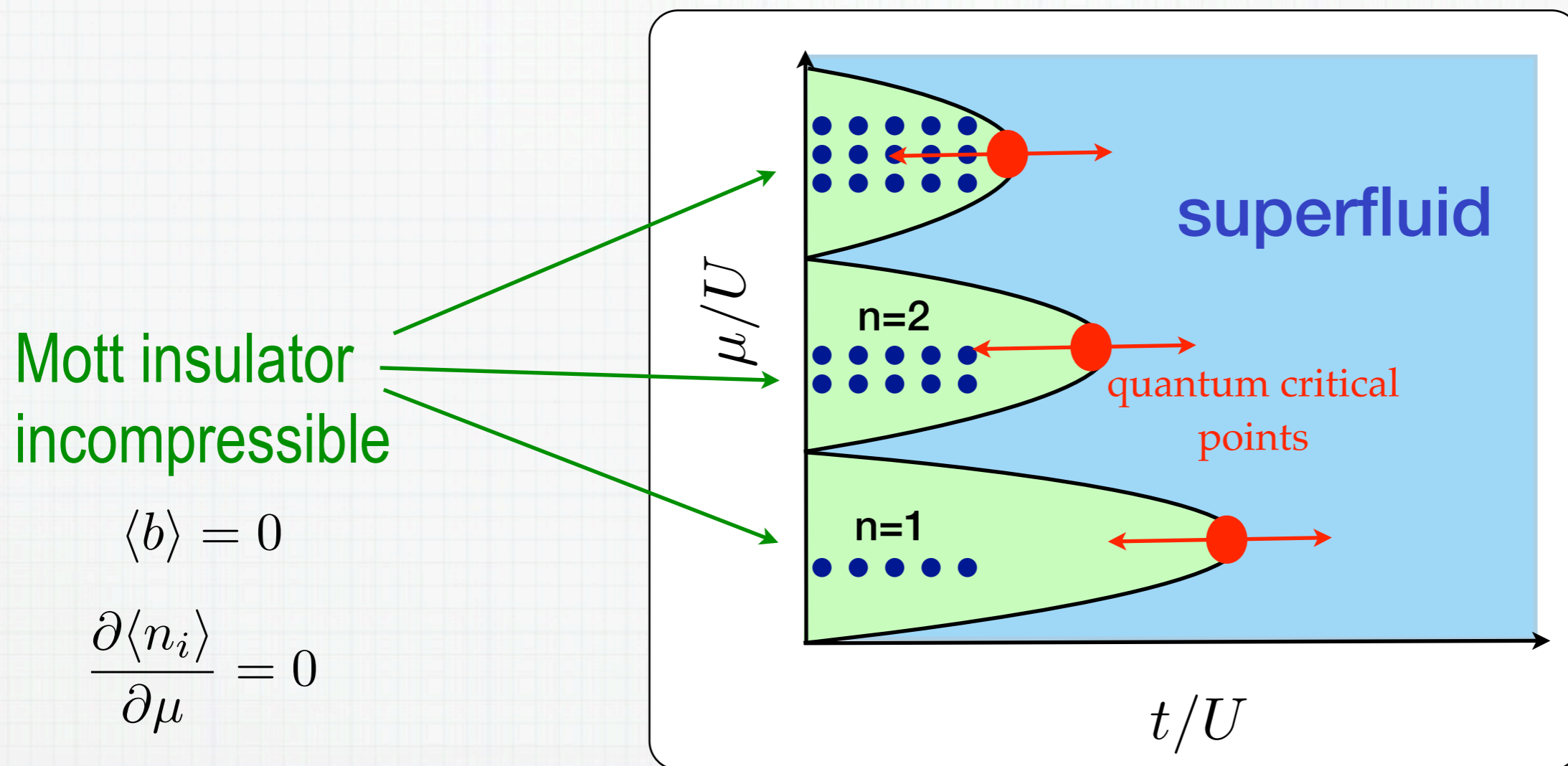
$$\frac{m_H}{\Delta} = \sqrt{2}$$

Bosons in an optical lattice

Bose-Hubbard model
$$H = -t \sum_{\langle ij \rangle} b_i^\dagger b_j + U \sum_i n_i^2 - \mu \sum_i n_i$$

Large t/U : system is a **superfluid** (Bose condensate).

Small t/U : system is a **Mott insulator** (gap for charge fluctuations).



Dynamics in the superfluid phase

Far from Mott, Gross-Pitaevskii action:

$$S = \int d^3r \left(-i\psi^* \partial_t \psi - \frac{1}{2m^*} |\nabla \psi|^2 + \mu |\psi|^2 - g |\psi|^4 \right)$$

Galilean invariant. Goldstone mode, but **no Higgs**.

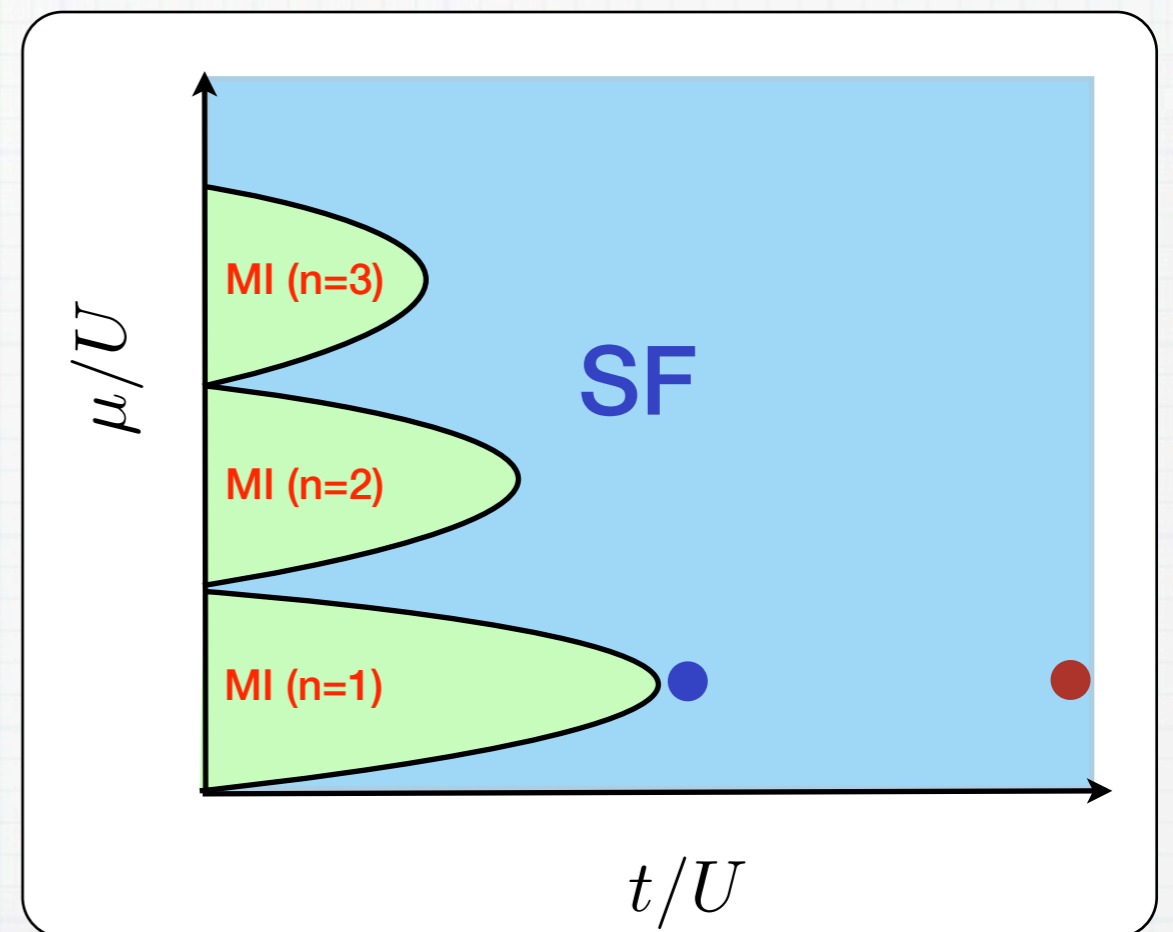
Near Mott at integer filling, particle-hole symmetry:

$$S = \int d^3r \left(|\partial_t \psi|^2 - c^2 |\nabla \psi|^2 + r |\psi|^2 - u |\psi|^4 \right)$$

Emergent Lorentz invariance.
Goldstone **and** Higgs mode.

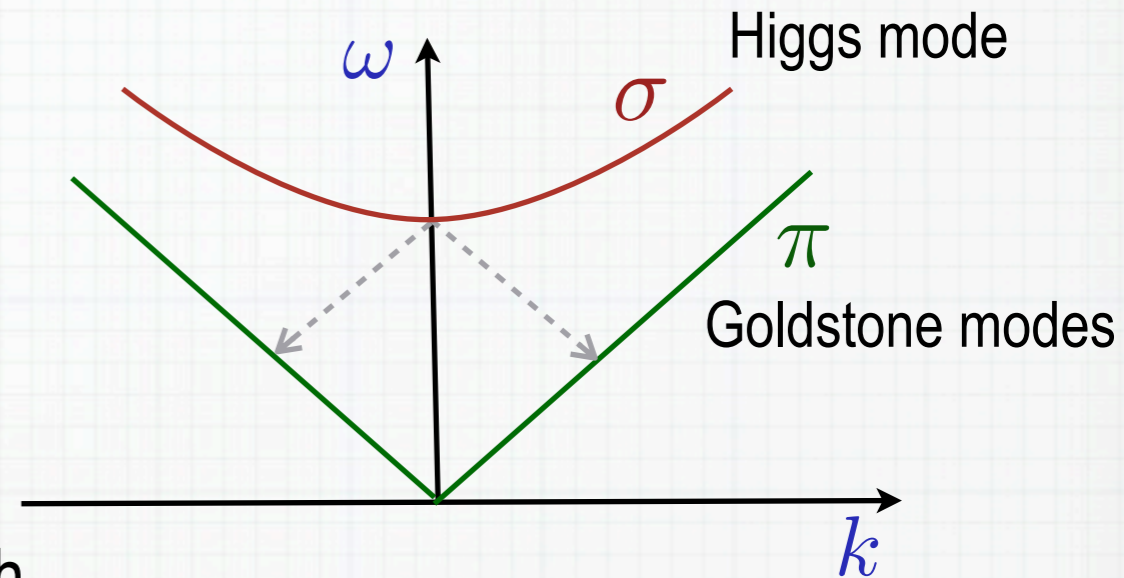
Varma (2002)

Huber, Theiler, Altman, Blatter (2008)



The Higgs decay

The Higgs mode can decay into a pair of Goldstone bosons:



$d=3$ Higgs decay rate is **bounded** by coupling strength

$d=2$ self-energy **diverges** at low frequency, **even at weak coupling** :

$$\Sigma_{\sigma}(k) = \frac{k}{\sigma} \rightarrow \text{[Diagram: a circle with two internal lines labeled } \pi \text{ and } p \text{, and an external line labeled } \sigma \text{]} \rightarrow \sigma \propto \int \frac{d^3p}{(2\pi)^3} \frac{1}{p^2(p+k)^2} = \frac{1}{8|k|}$$

$$\text{Im}\Sigma(\omega) \propto \frac{1}{|\omega|}$$

**infrared
divergent!**

(Nepomnyaschii)² (1978)
Sachdev (1999), Zwerger (2004)

Different behavior of different response functions

longitudinal susceptibility

$$\chi_{\text{long}}(\omega) = \langle \phi_1(\omega) \phi_1(-\omega) \rangle \sim \omega^{-1}$$

infrared divergent in $d=2$

(Nepomnyaschii)² (1978)

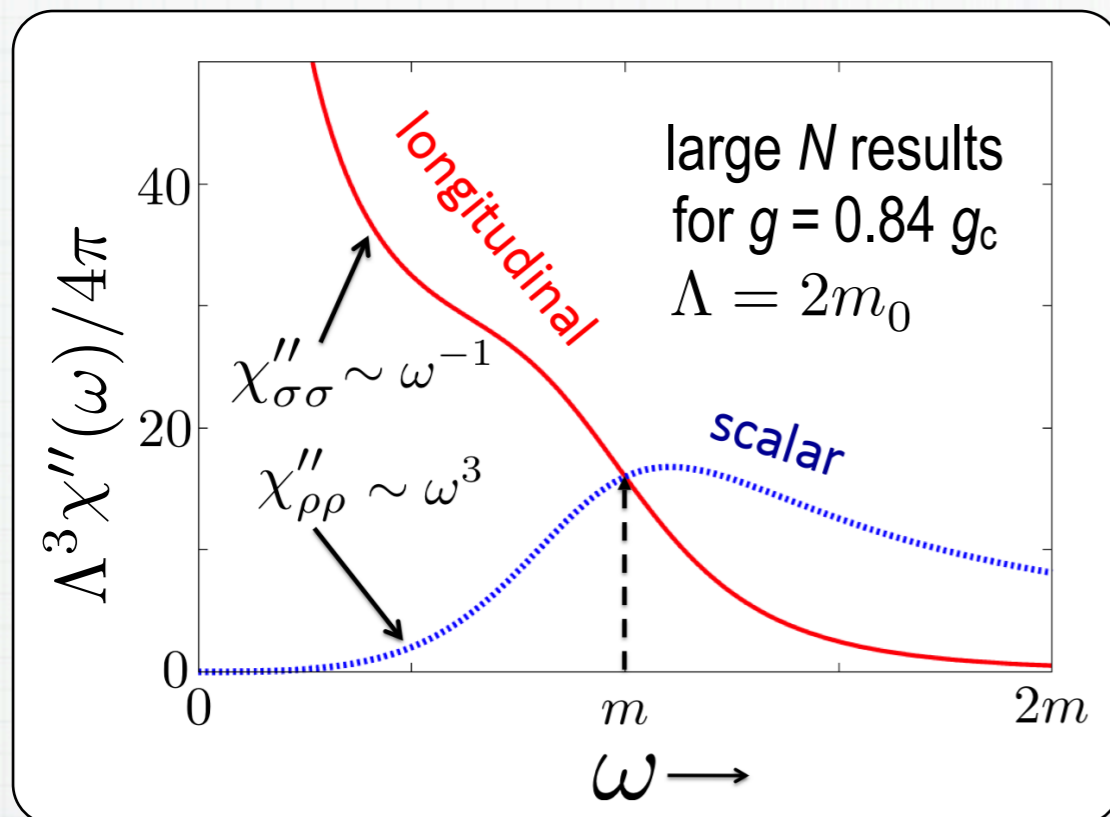
Sachdev (1999), Zwerger (2004)

scalar susceptibility

$$\chi_{\text{scalar}}(\omega) = \langle |\vec{\phi}|^2(\omega) |\vec{\phi}|^2(-\omega) \rangle \sim \omega^3$$

infrared regular in $d=2$

Podolsky, Auerbach and Arovas, *PRB* (2011)

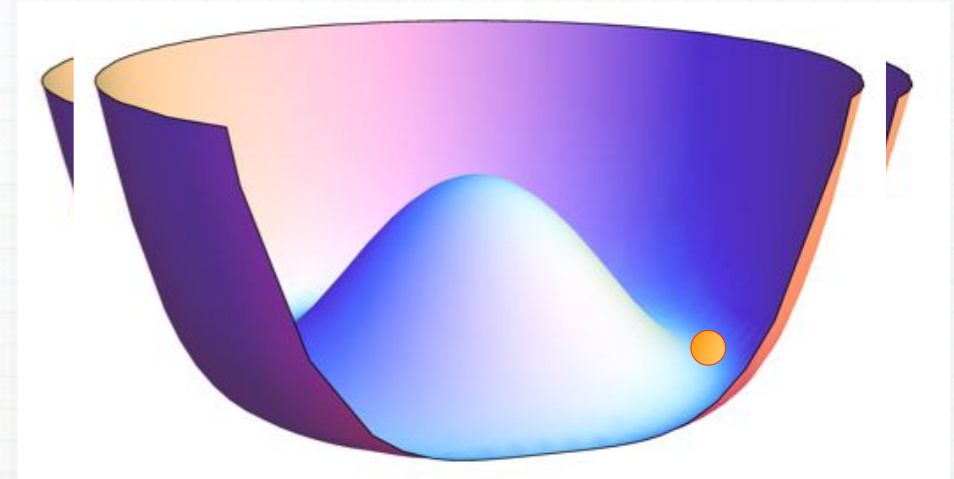


Longitudinal versus scalar measurements

Longitudinal: couples to order parameter as a vector

$$\mathcal{H}_{\text{probe}} = \vec{h}_{\text{ext}} \cdot \vec{\phi}$$

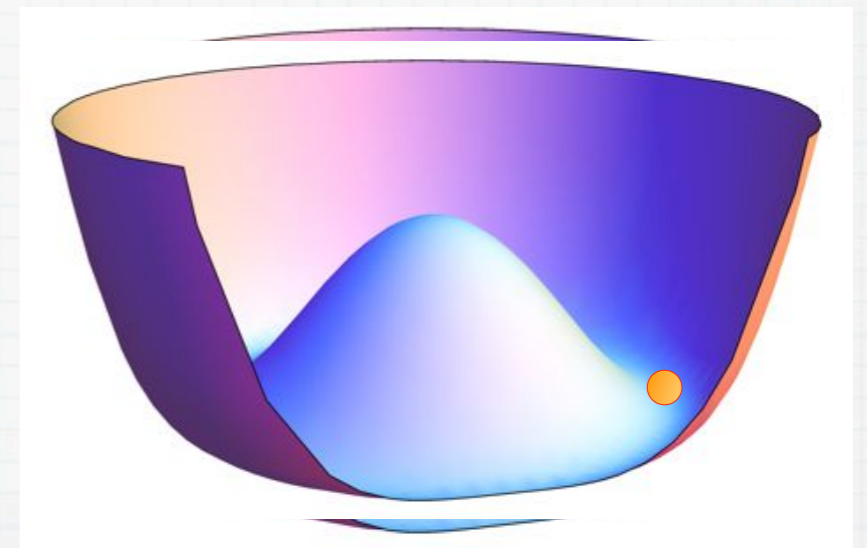
Example : neutron scattering in an antiferromagnet.



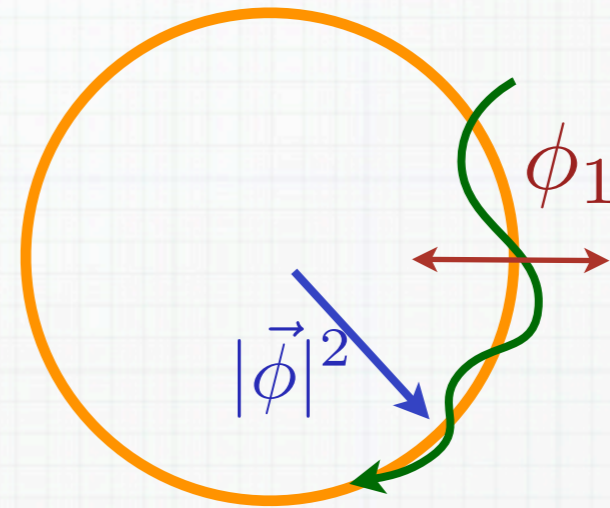
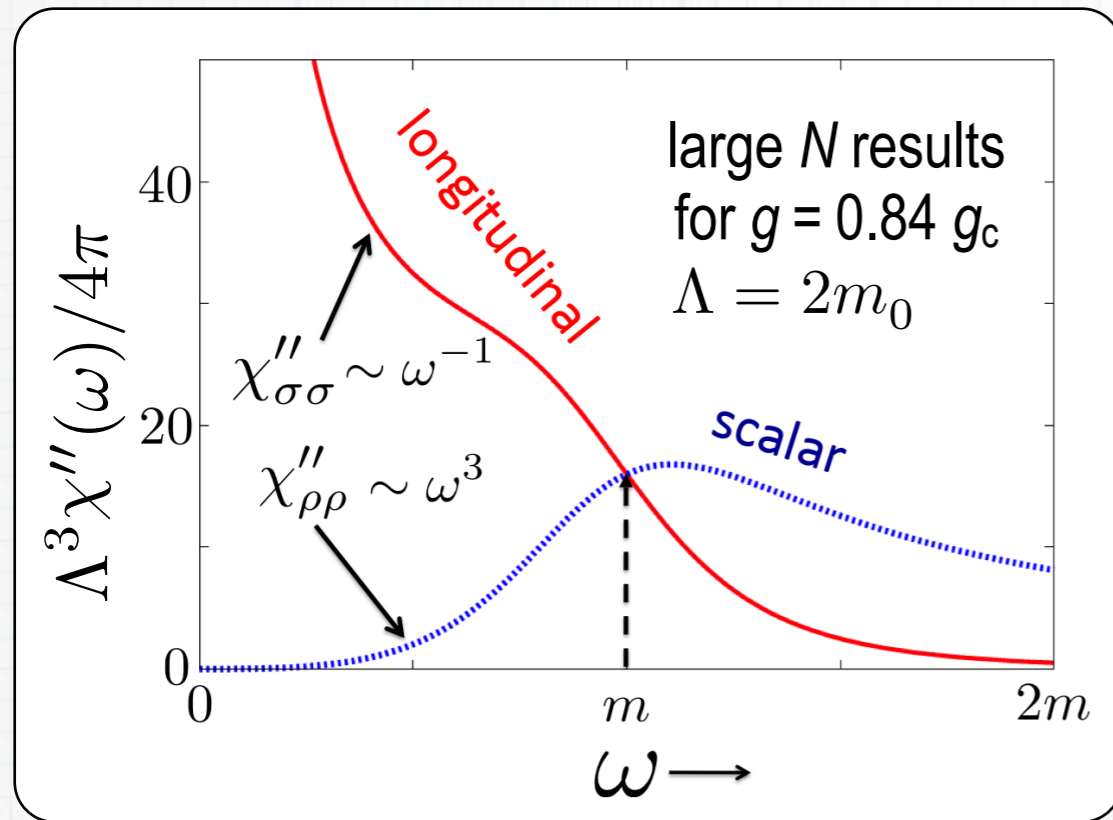
Scalar: couples to the *magnitude* of the order parameter

$$\mathcal{H}_{\text{probe}} = u_{\text{ext}} |\vec{\phi}|^2$$

Example: Lattice depth modulation of bosons



Why is the **scalar** response function sharper?



Radial motion is less damped, since it is not effected by azimuthal meandering.

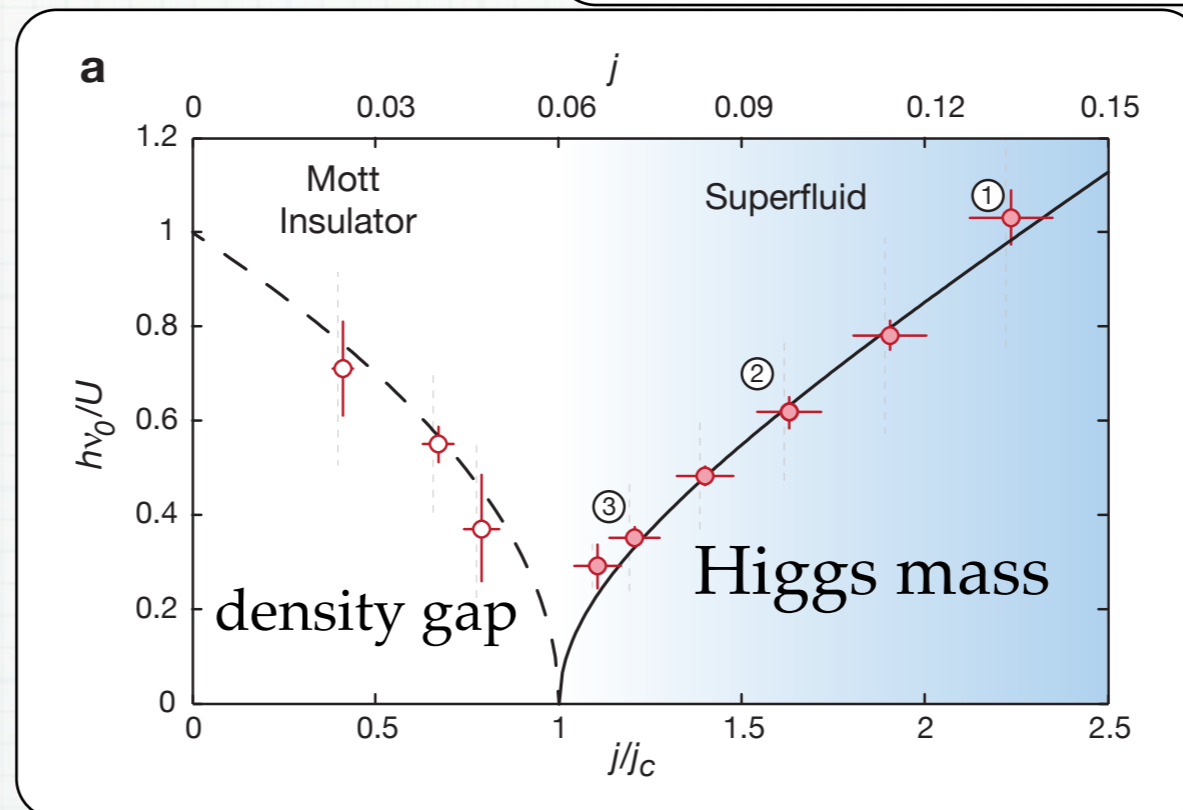
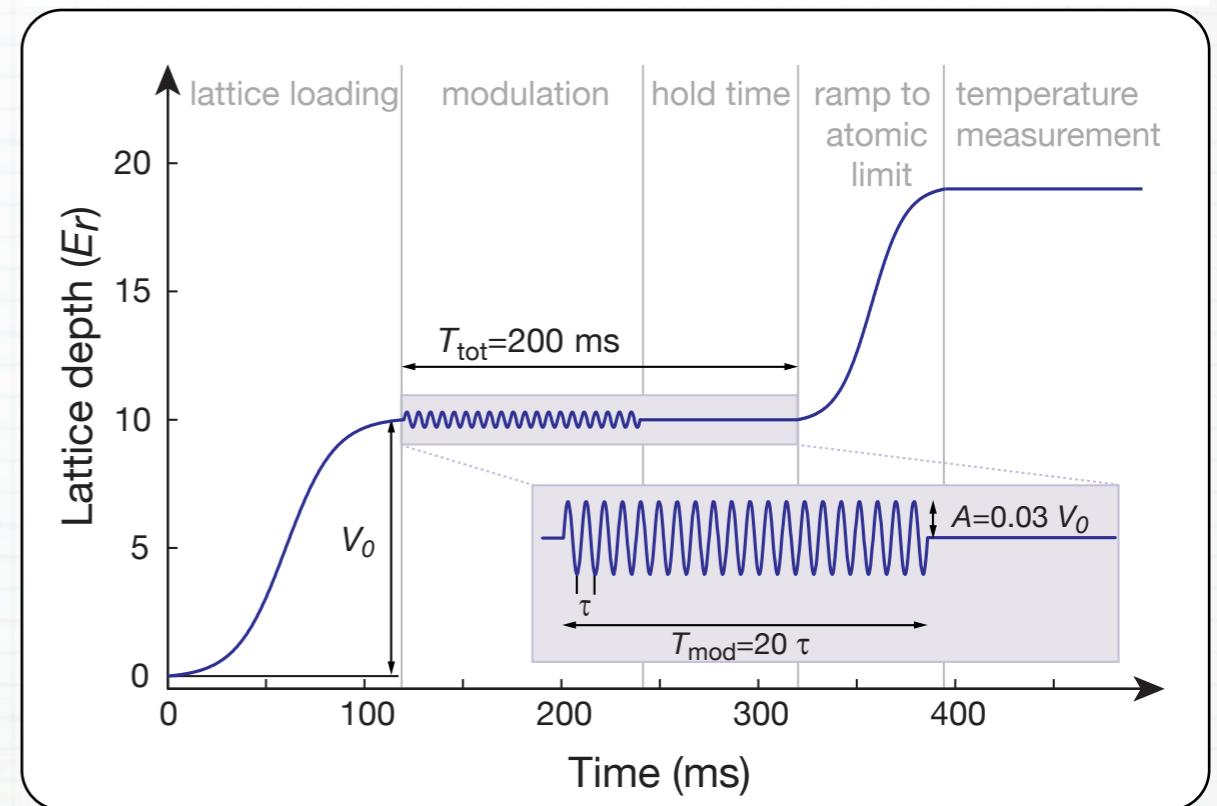
Higgs near criticality: ⁸⁷Rb

M. Endres *et al.*, *Nature* **487**, 454 (2012)

- Energy absorption rate of periodically modulated lattice $\propto \omega \chi''_{\text{scalar}}(\omega)$

Critical energies:

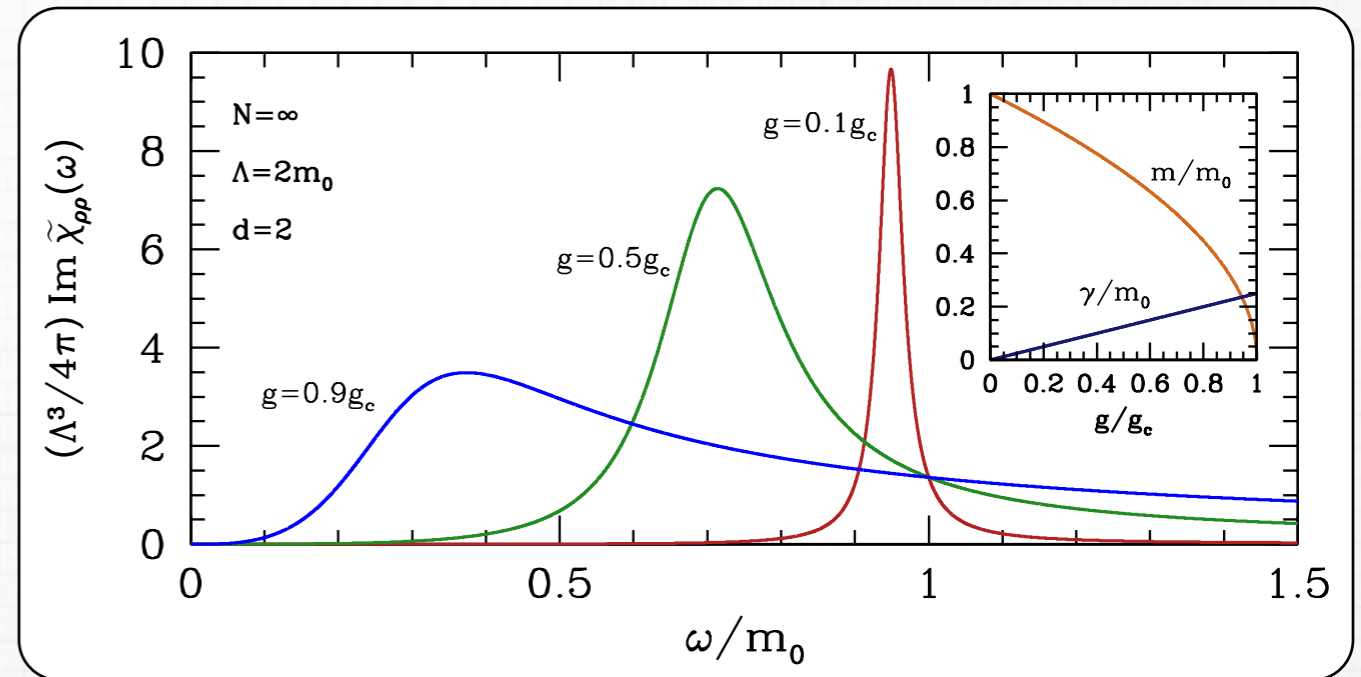
The 'Higgs' amplitude mode at the two-dimensional superfluid/Mott insulator transition



What happens near the quantum critical point?

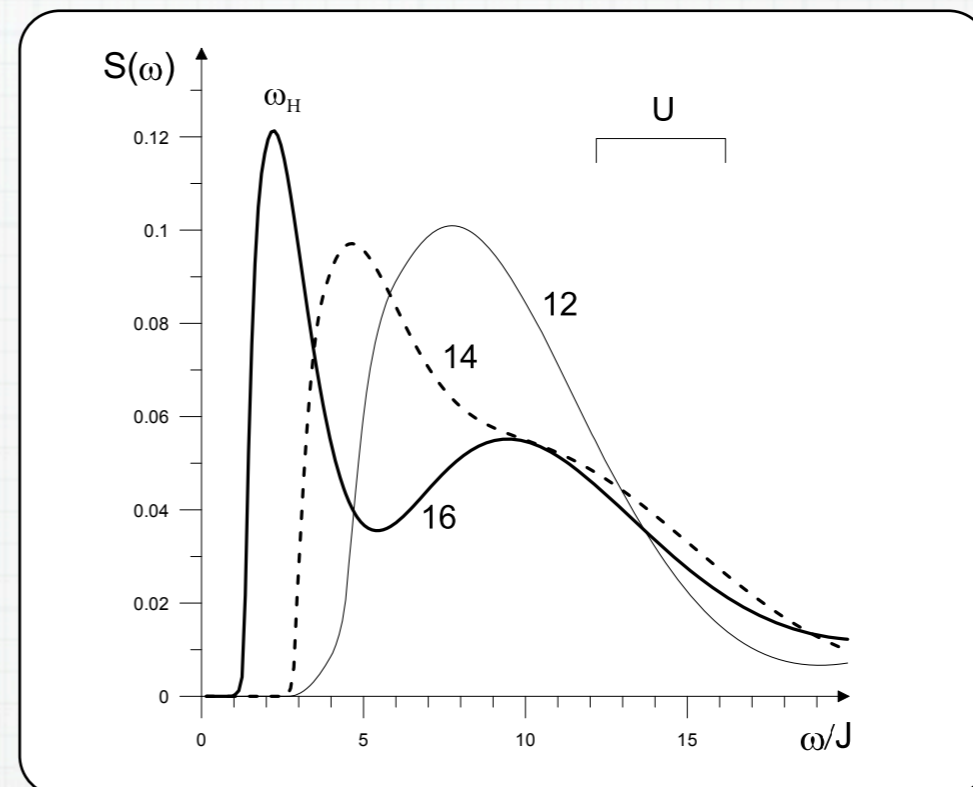
Analytics for $N = \infty$

Podolsky, Auerbach and Arovas, *PRB* (2011)



Numerics on Bose-Hubbard model

L. Pollet and N. Prokof'ev, *PRL* (2012)



Scaling near criticality

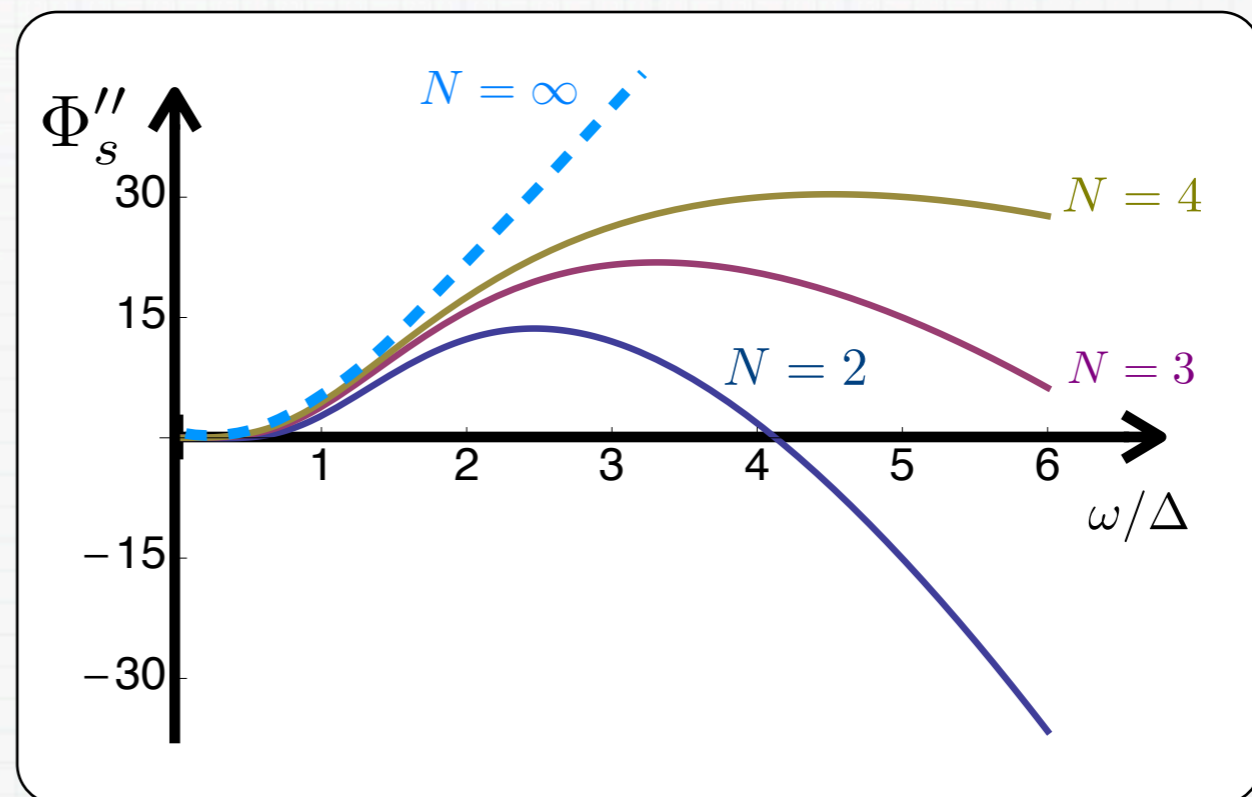
critical gap: $\Delta \sim |g - g_c|^\nu$ $\nu = 0.6717(1)$ ($N = 2$)

$$\chi_{scalar}(\omega) = \Delta^{3-2/\nu} \Phi_s \left(\frac{\omega}{\Delta} \right) + \dots$$

universal function

Does it have a peak?

Scaling function
to $O(1/N)$

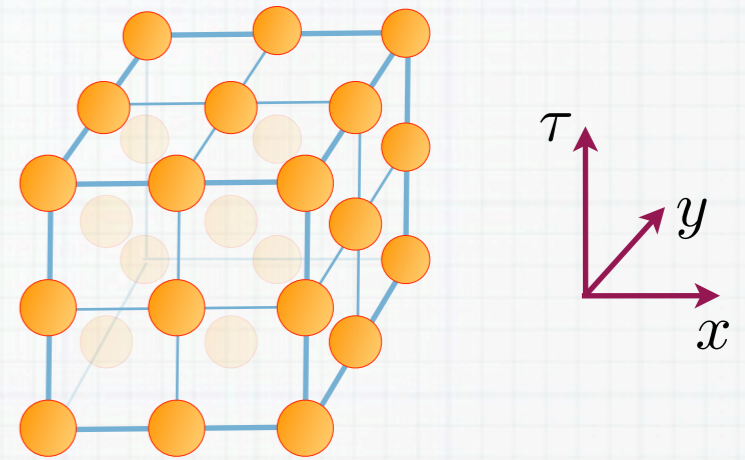


Monte Carlo Simulations

Lattice model:

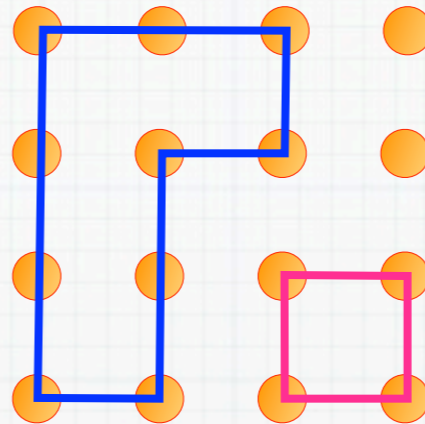
$$\mathcal{Z} = \int \mathcal{D}\vec{\phi} e^{-S[\vec{\phi}]}$$

$$S = - \sum_{\langle ij \rangle} \vec{\phi}_i \cdot \vec{\phi}_j + \mu \sum_i |\vec{\phi}_i|^2 + g \sum_i |\vec{\phi}_i|^4$$



Worm algorithm:

Dual loop model
with N flavors:

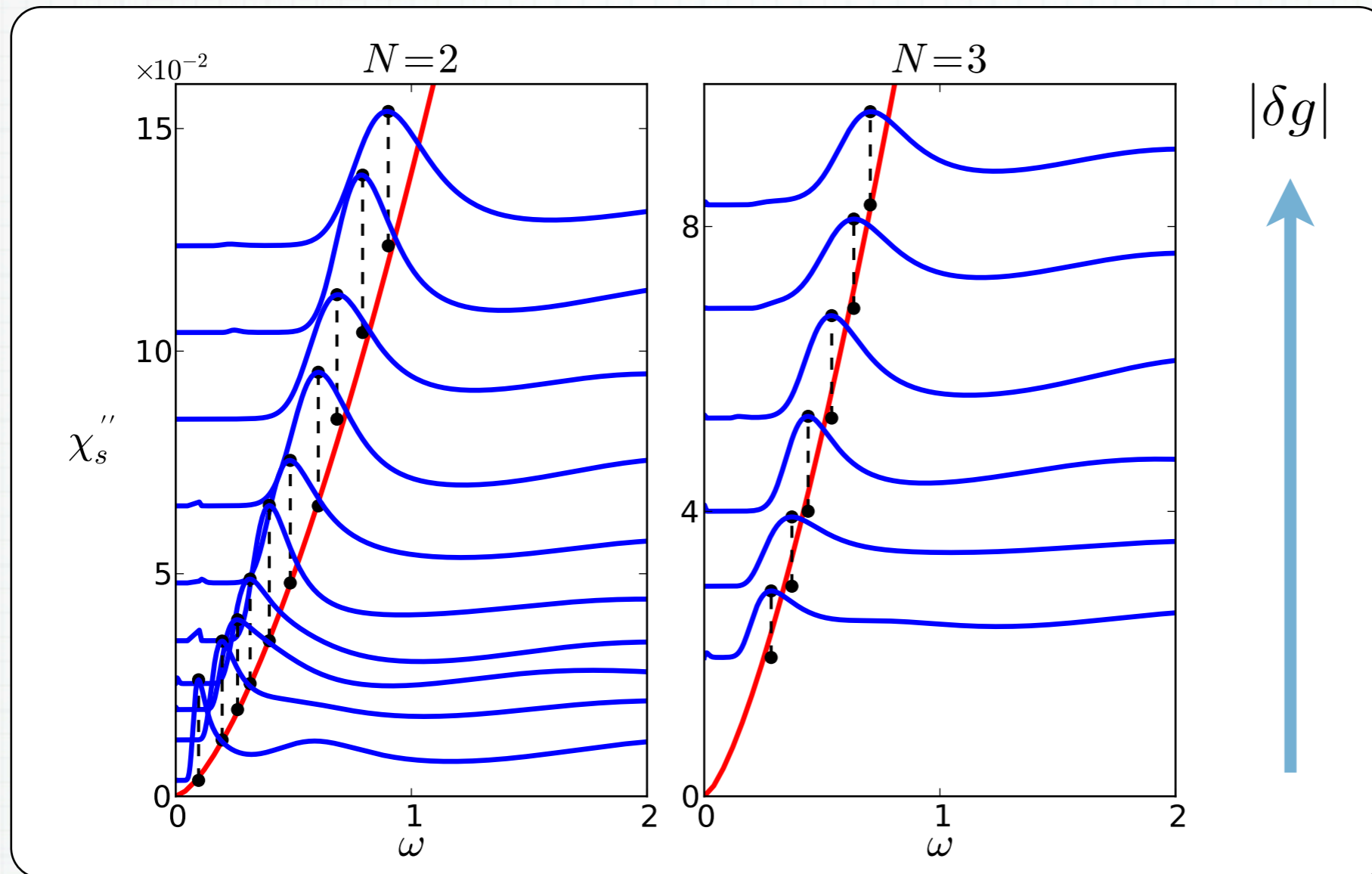


System size: $1 \ll \xi \ll L$ $(1 \ll 30 \ll 200)$

Numerical analytical continuation from Matsubara to real frequencies

Tracking the Higgs peak

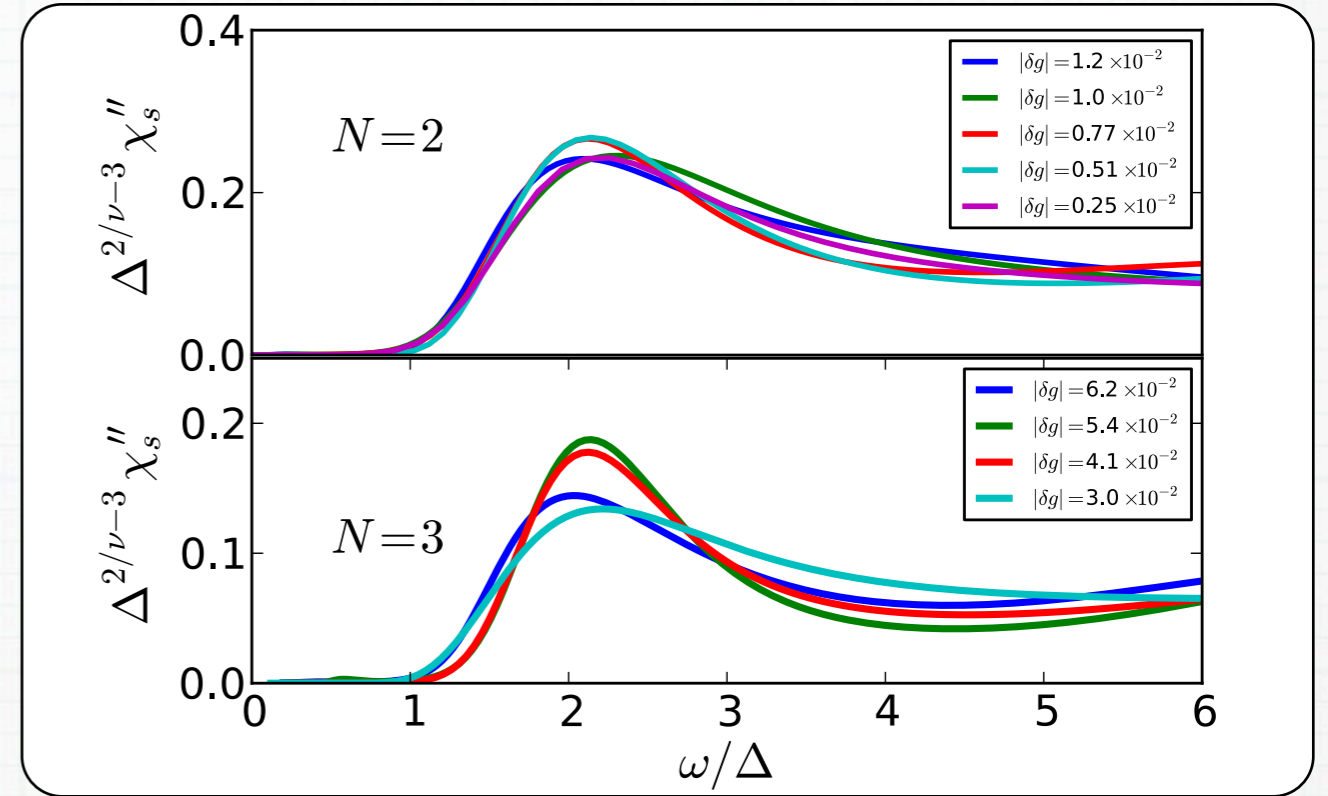
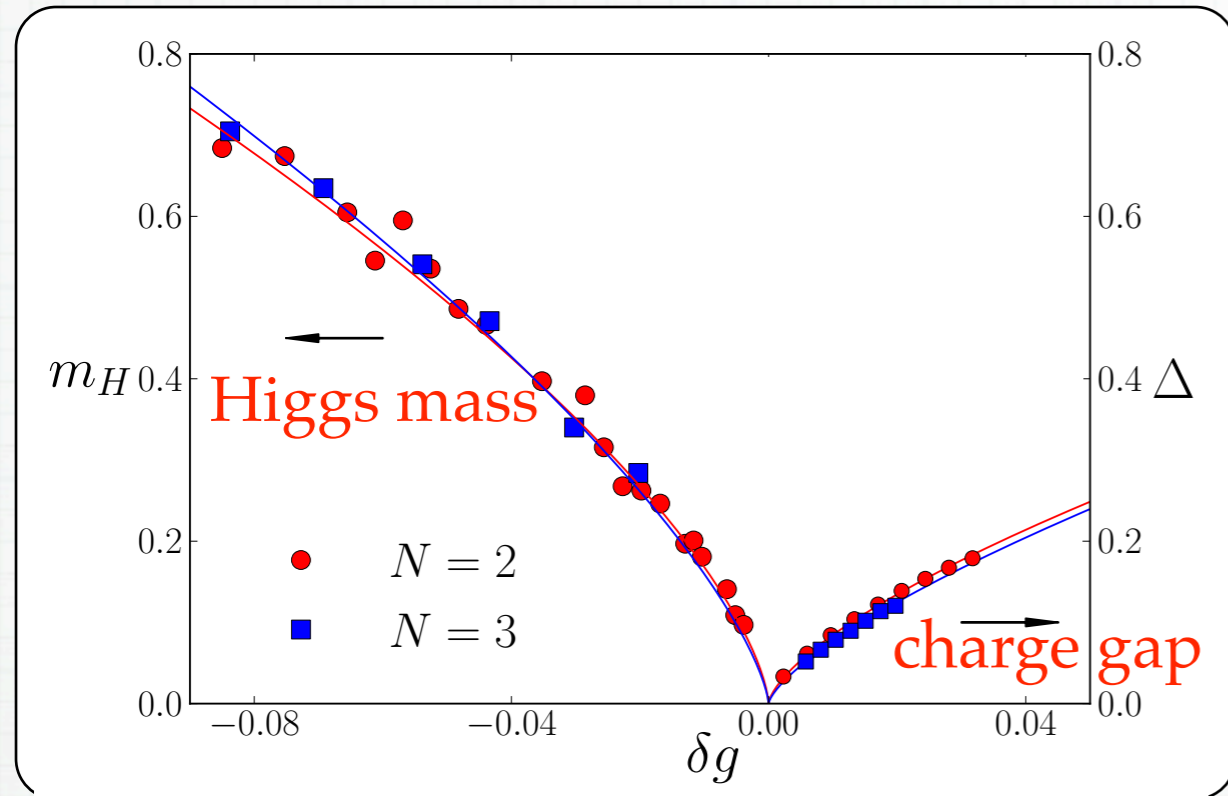
Scalar susceptibility in ordered phase:



$$m_H \sim B|\delta g|^\nu$$

$$\frac{12\%}{0.25\%} = 48$$

Numerical simulations



universal Higgs spectral function

Conclusion: Higgs resonance survives close to criticality in $d=2$

Prediction:
$$\frac{m_H}{\Delta} = 2.1(3)$$

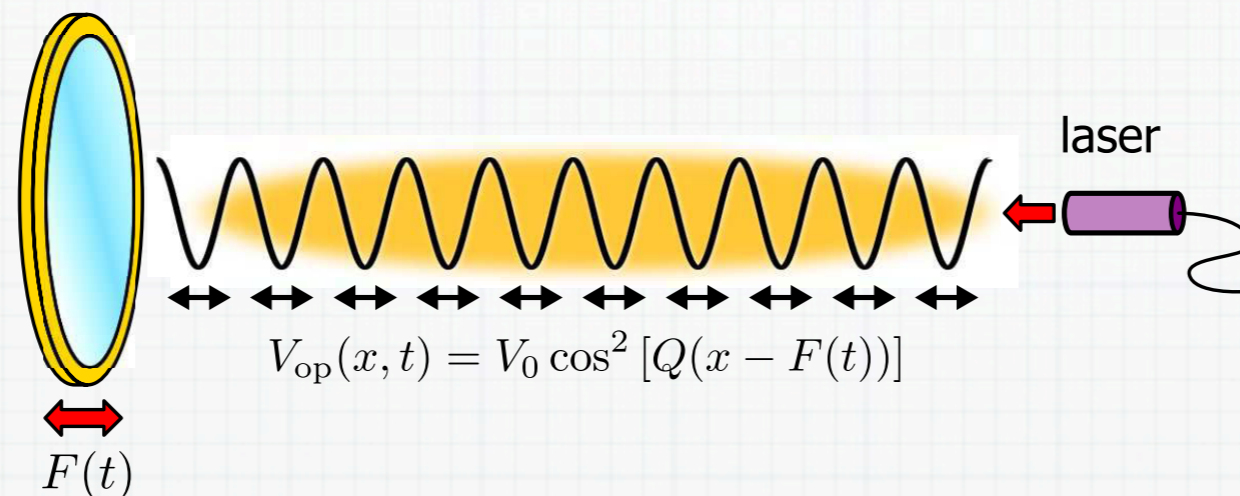
Chen *et al*, PRL (2013):
$$\frac{m_H}{\Delta} = 3.3(8)$$

Optical conductivity

Higgs peak can be seen in optical conductivity of charged bosons

Lindner and Auerbach, PRB (2010)

Can be measured in cold atoms in a **phase-fluctuating optical lattice**:

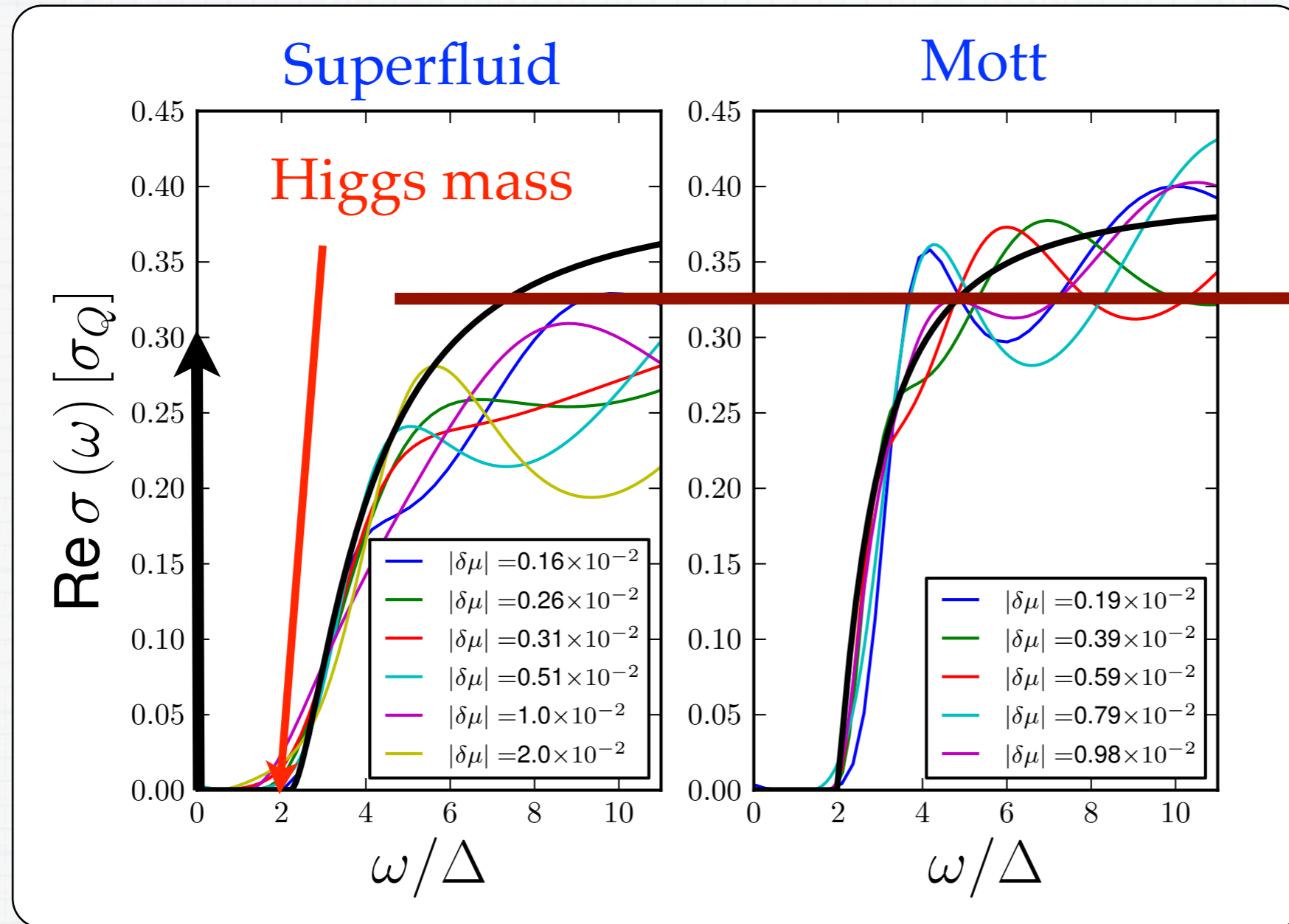


For $F(t) = F_0 \cos(\omega t)$ the energy absorption rate is $\propto \sigma(\omega)$

Tokuno and Giamarchi, PRL (2011)

Optical conductivity

Gazit, Podolsky, Auerbach, Arovas arXiv:1309.1765



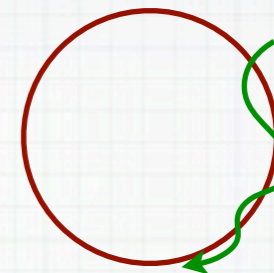
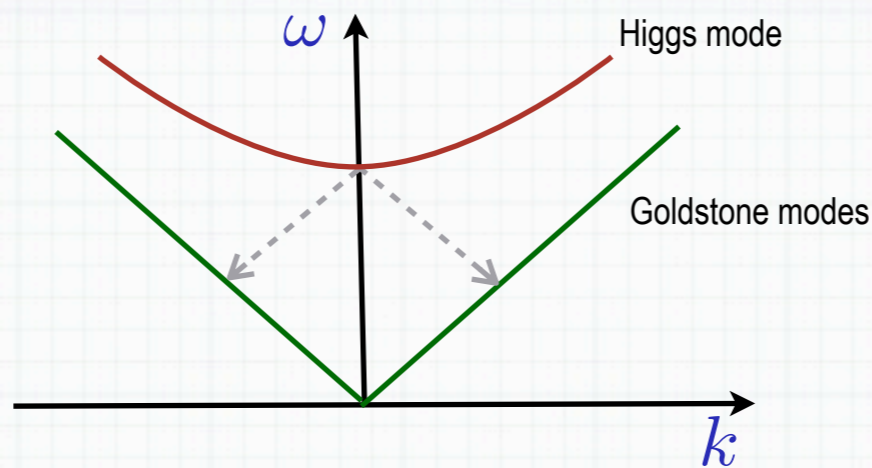
Universal high
frequency
conductivity

$$\sigma^* = 0.33(7)\sigma_Q$$

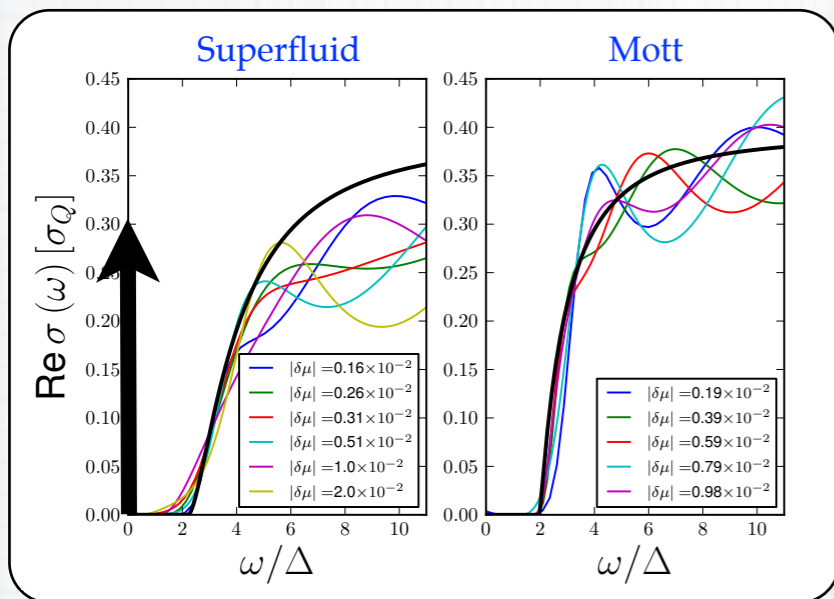
$$\sigma_{\text{SF}}(\omega) = 2\pi\sigma_Q \left(\frac{\omega^2 - m_H^2}{4\omega^2} \right)^2 \Theta(\omega - m_H)$$

$$\sigma_{\text{Mott}}(\omega) = 2\pi\sigma_Q \left(\frac{\omega^2 - 4\Delta^2}{16\omega^2} \right) \Theta(\omega - 2\Delta)$$

Higgs decays into Goldstone bosons

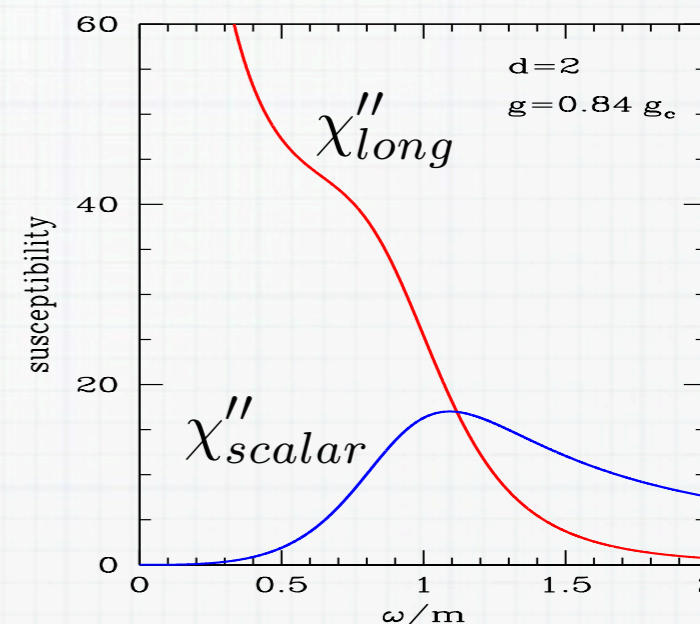


scalar is sharper than longitudinal



Optical conductivity

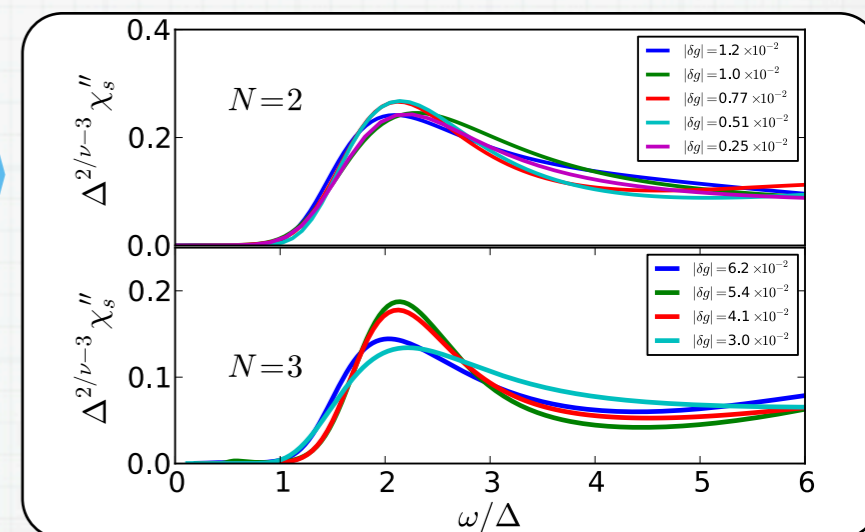
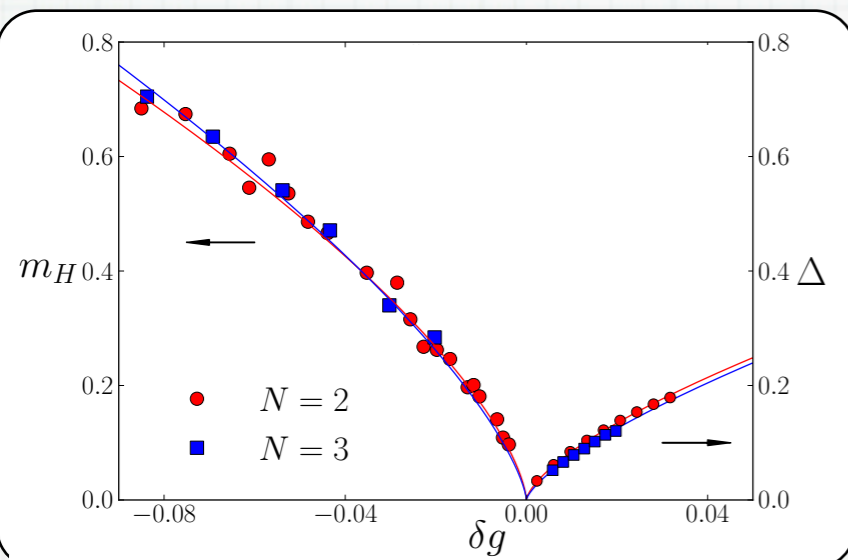
Summary
 $\sigma^* = 0.33(7)\sigma_Q$
part 1



$$\frac{m_H}{\Delta} = 2.1(3)$$

scaling, $O(1/N)$ and numerical simulations

$$\chi_{scalar}(\omega) = \Delta^{3-2/\nu} \Phi_s\left(\frac{\omega}{\Delta}\right)$$



Modulated Floquet Topological Insulators



Yaniv Tenenbaum Katan



Technion
Israel Institute
of Technology

Tenenbaum Katan & D.P., Phys. Rev. Lett. **110**, 016802 (2013)

Tenenbaum Katan & D.P., arXiv:1309.0203 (2013)

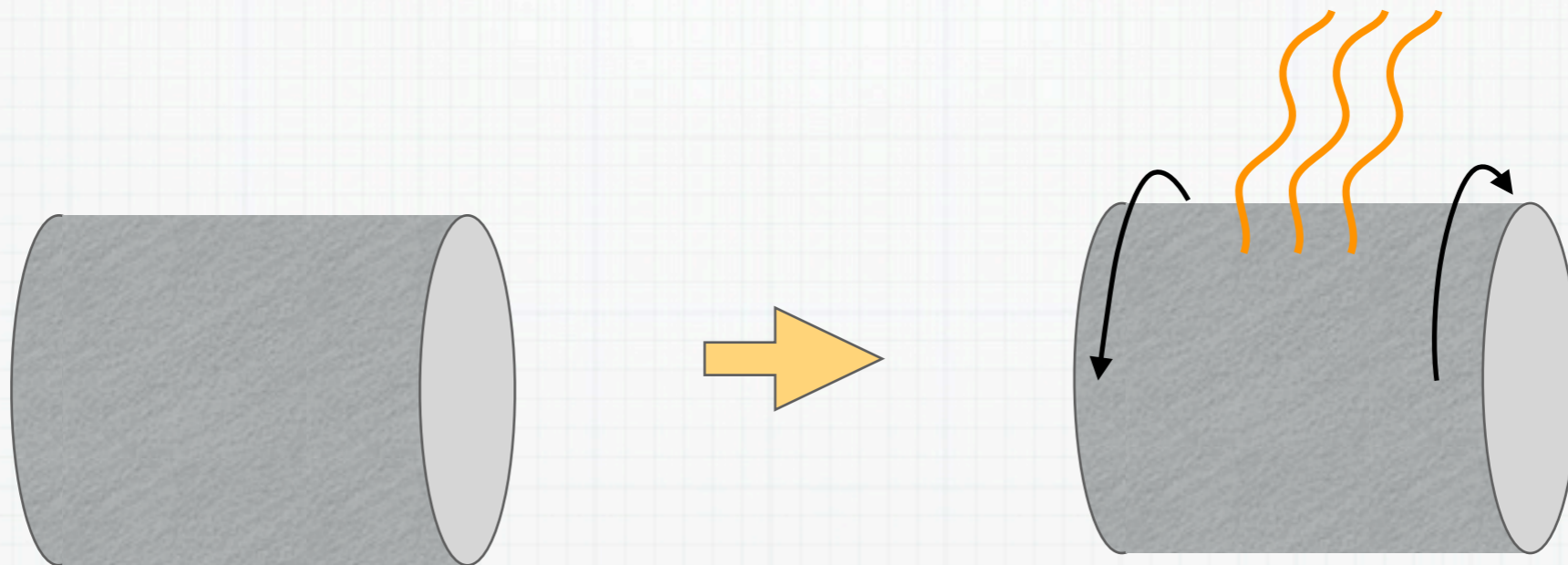
Rechtsman *et al.*, Nature **496**, 196 (2013)

Outline Part 2

- * Floquet topological insulators
- * Spatial modulation: domain walls and vortices
- * Photogalvanic effect

Floquet Topological Insulators

- * Light can induce topological behavior

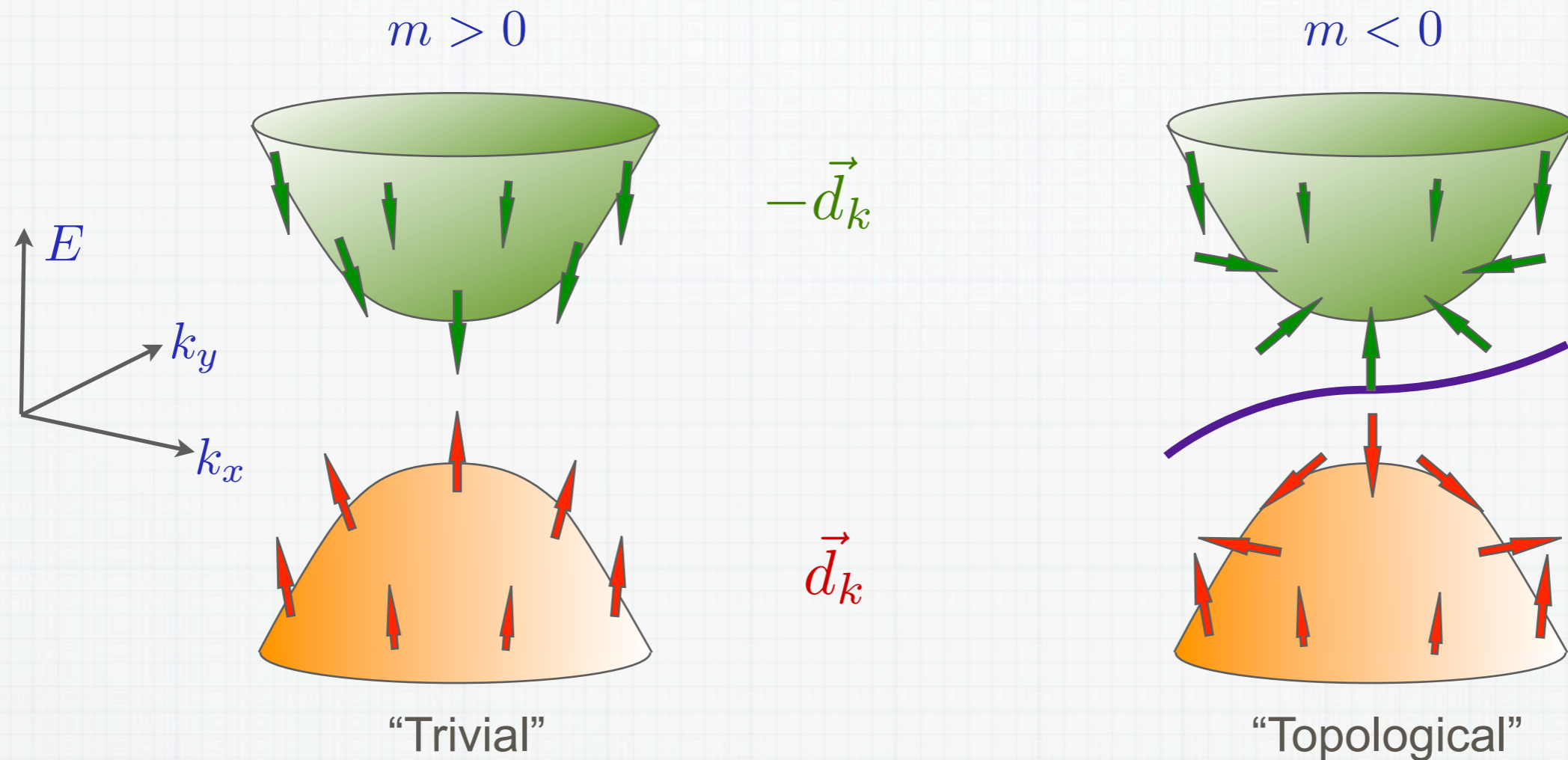


Trivial vs topological

* Two-band system

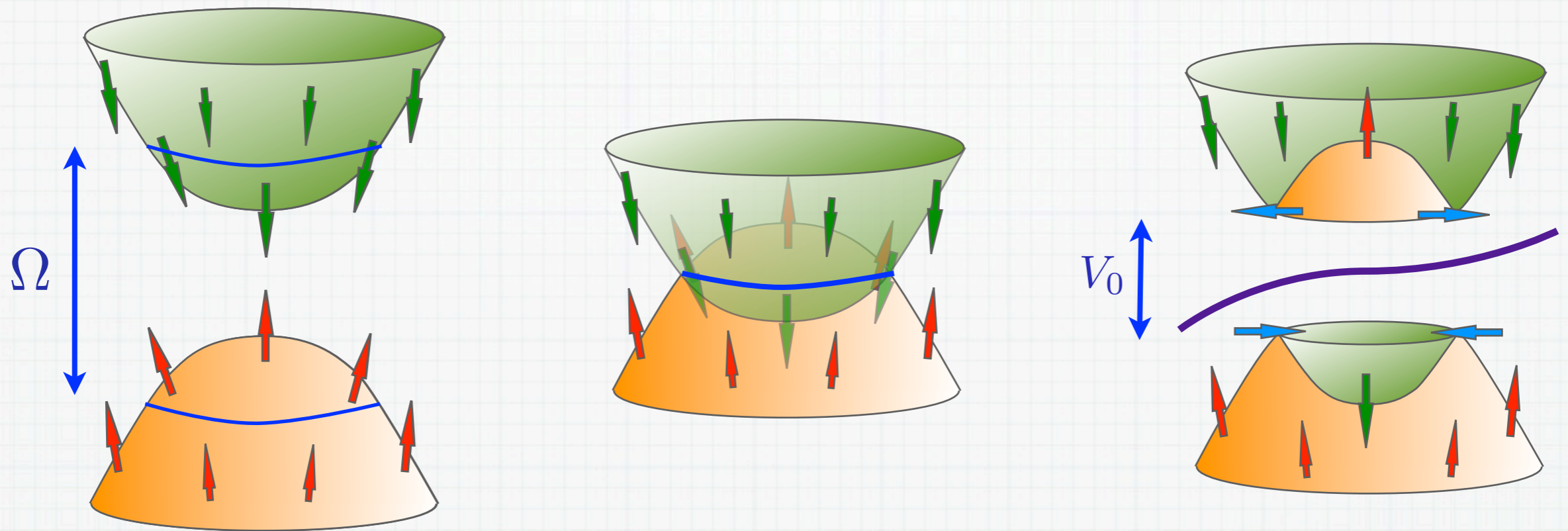
$$H = \vec{d}_k \cdot \vec{\sigma}$$

$$\vec{d}_k = \begin{pmatrix} k_x \\ k_y \\ k^2 + m \end{pmatrix}$$



Inducing topology

- * Two-band system, with light $H = \vec{d}_k \cdot \vec{\sigma} + V_0 \sigma_z \cos \Omega t$



Floquet Theorem

* Time-periodic Hamiltonian $H(t) = H(t + \tau)$

* Solutions to Schrödinger equation:

$$\psi(t) = \sum_n a_n e^{-i\varepsilon_n t} \varphi_n(t)$$

$$\varphi_n(t + \tau) = \varphi_n(t)$$

$$\varepsilon_n \sim \varepsilon_n \bmod(2\pi/\tau)$$

* “Floquet Hamiltonian”

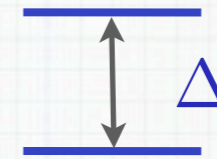
$$H_F \varphi_n = \varepsilon_n \varphi_n$$

$$U(\tau) = \mathcal{T} e^{-i \int_0^\tau dt' H(t')} \equiv e^{-i\tau H_F}$$

NMR

- * Two-level problem (NMR)

$$H(t) = \sigma_z \Delta/2 + \sigma_x B \cos(\Omega t)$$

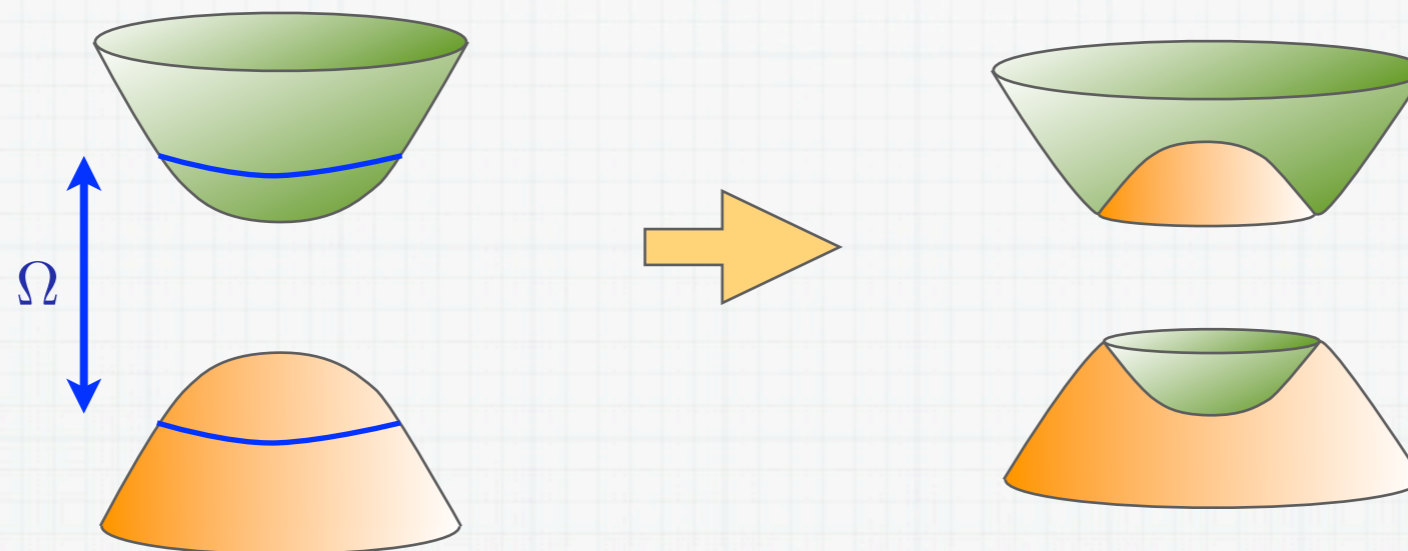


- * Rotating wave approximation

$$H_F \approx \sigma_z (\Delta - \Omega)/2 + \sigma_x B/2$$



- * In context of solids, bands get “sewn together”



Example: HgTe

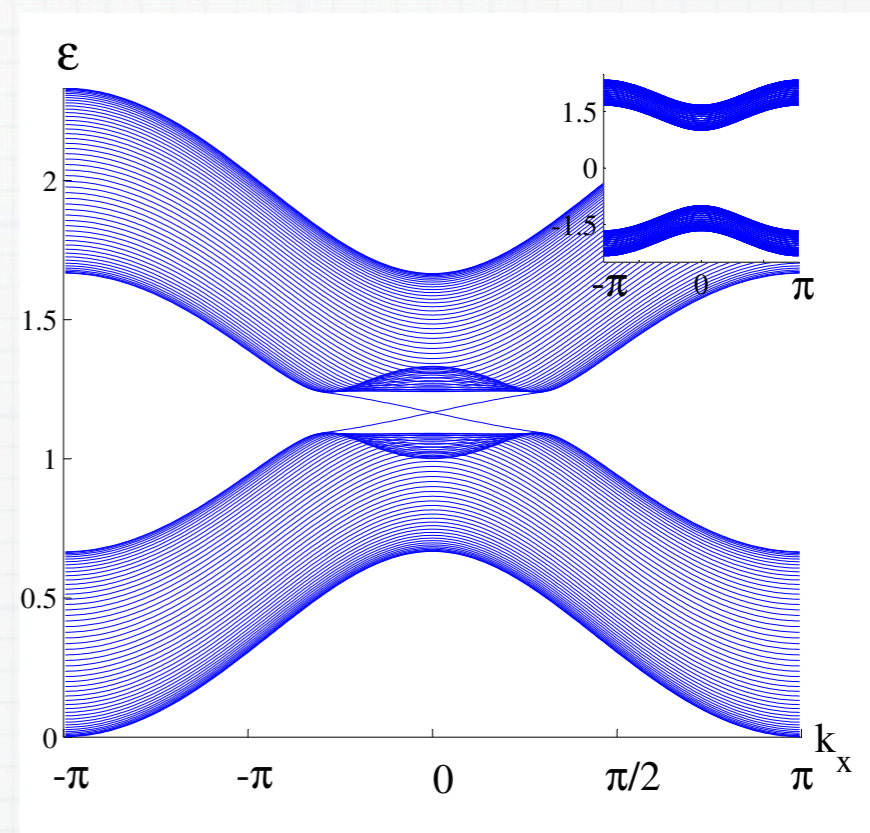
* Zincblende (4x4): $\mathcal{H} = \begin{pmatrix} H_k & 0 \\ 0 & H_{-k}^* \end{pmatrix}$

$$H_k = \vec{d}_k \cdot \vec{\sigma}$$

$$\vec{d}_k = \begin{pmatrix} A \sin k_x \\ A \sin k_y \\ M + 2B(2 - \cos k_x - \cos k_y) \end{pmatrix}$$

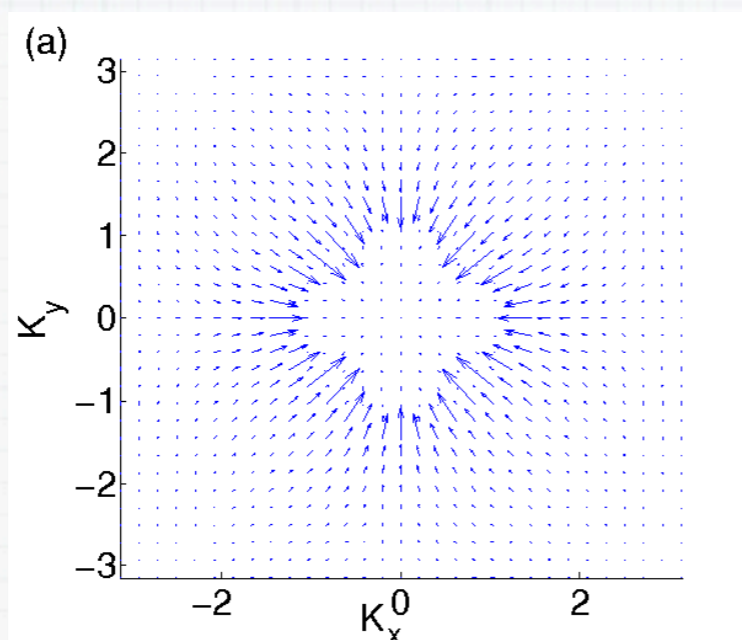
* Add time-dependent perturbation

$$V(t) = V_0 \cos(\Omega t) \sigma_z$$

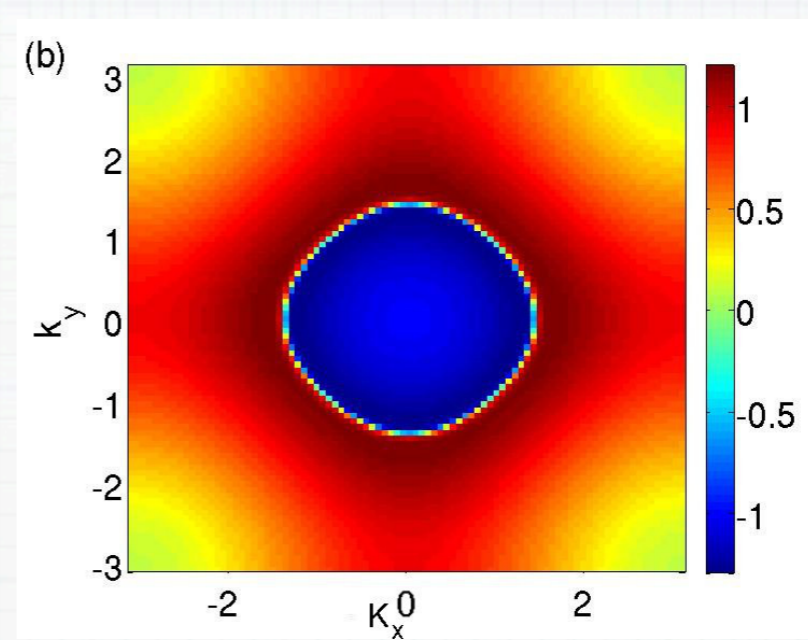


Example: HgTe

* Floquet Hamiltonian: $H_k^F = \vec{n}_k \cdot \vec{\sigma}$



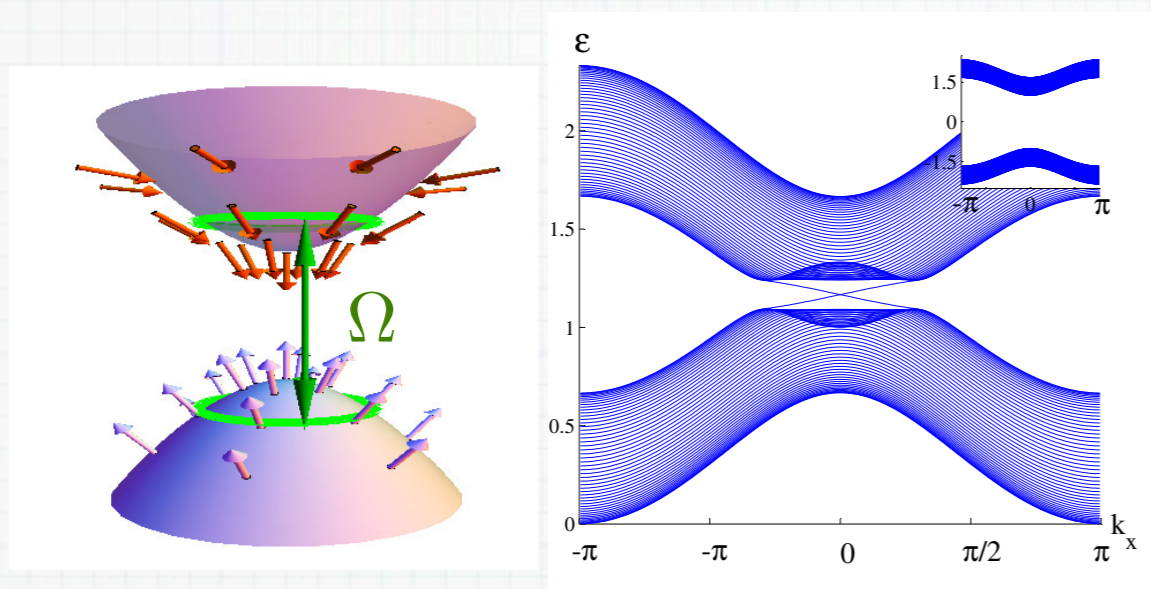
n_k^x, n_k^y



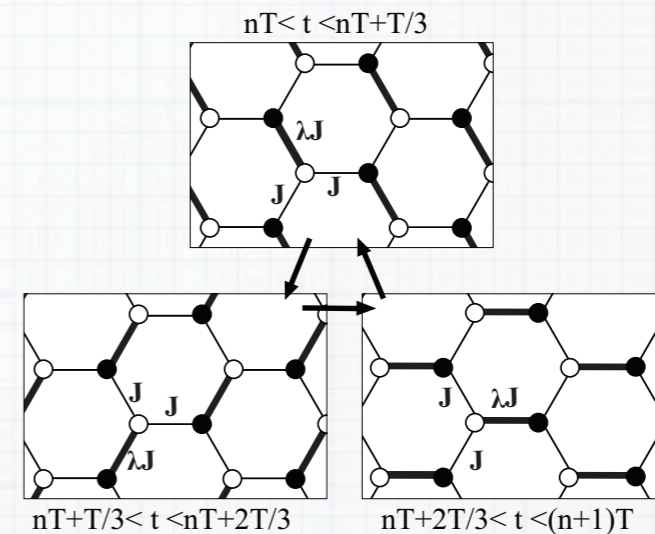
n_k^z

$$C_F = \frac{1}{4\pi} \int_{BZ} d^2k (\partial_{k_x} \hat{n}_k \times \partial_{k_y} \hat{n}_k) \cdot \hat{n}_k$$

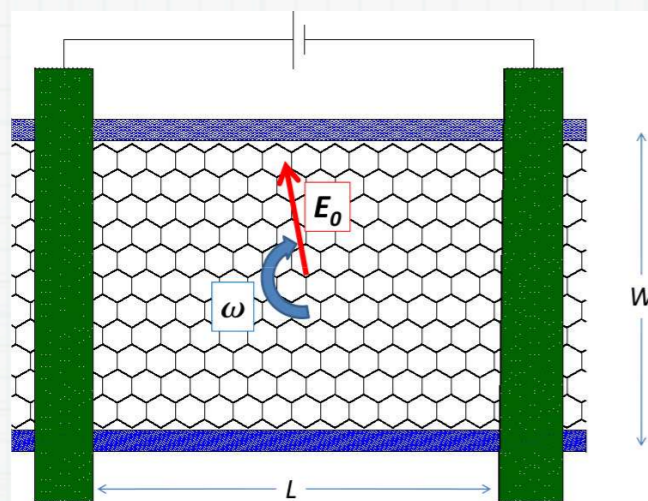
Proposals And Realizations



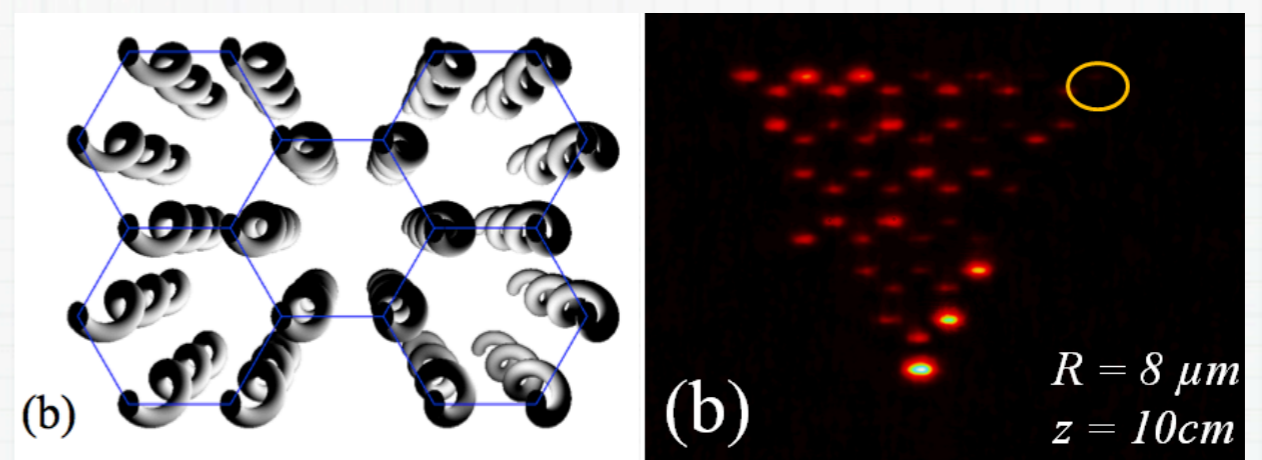
Lindner, Refael, Galitski, Nat. Phys. 2010



Kitagawa, Berg, Rudner, Demler, PRB 2010



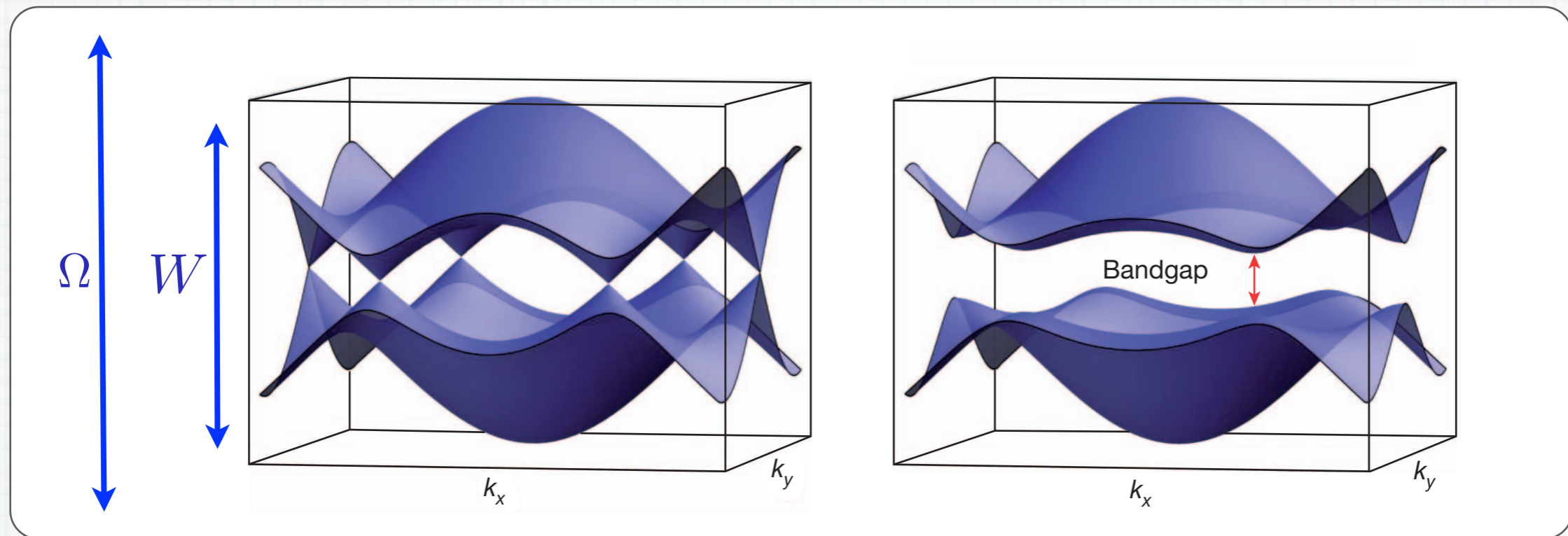
Gu, Fertig, Arovas, Auerbach, PRL 2011



Rechtsman, Zeuner, Plotnik, Lumer, Podolsky, Dreisow, Nolte, Segev, Szameit, Nature 496, 196 2013

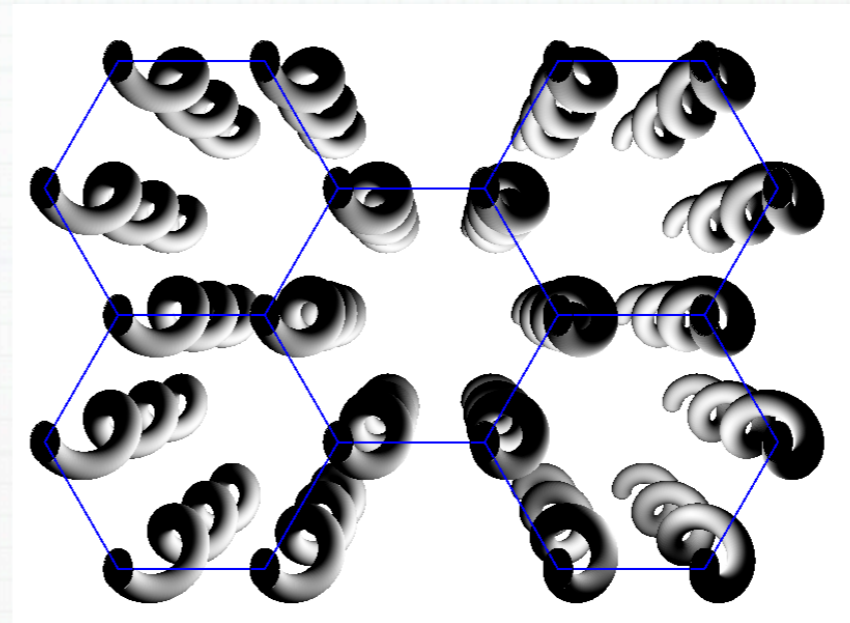
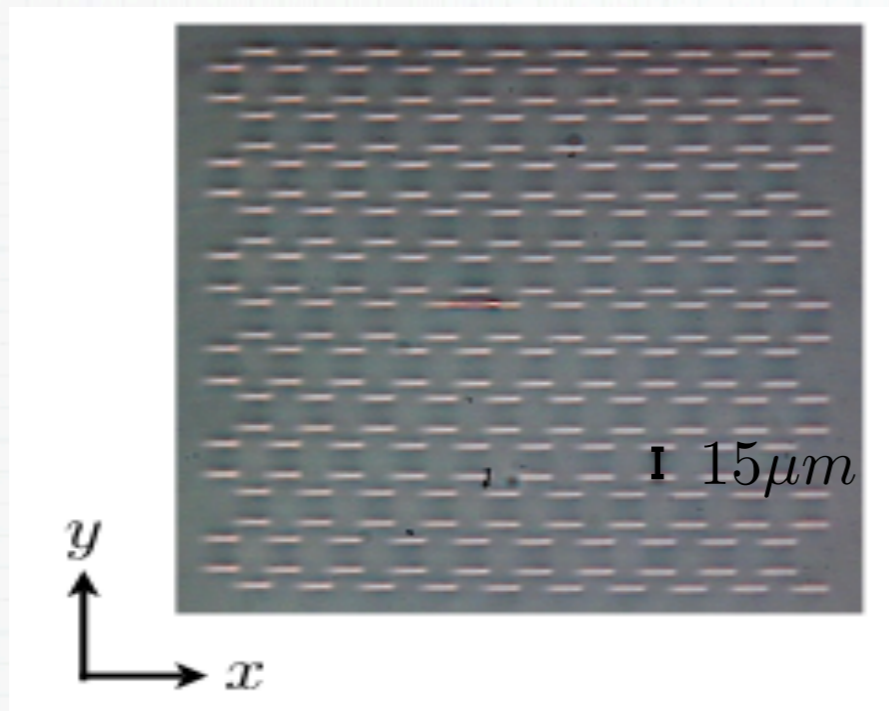
Graphene + circularly polarized light

- * For $\Omega > W$, non-resonant bandgap opens:



- * Dirac cones receive opposite masses \implies (Chern #) = 1.
- * Light polarization chooses the chirality of edge modes

Helical rotation induces a gauge field



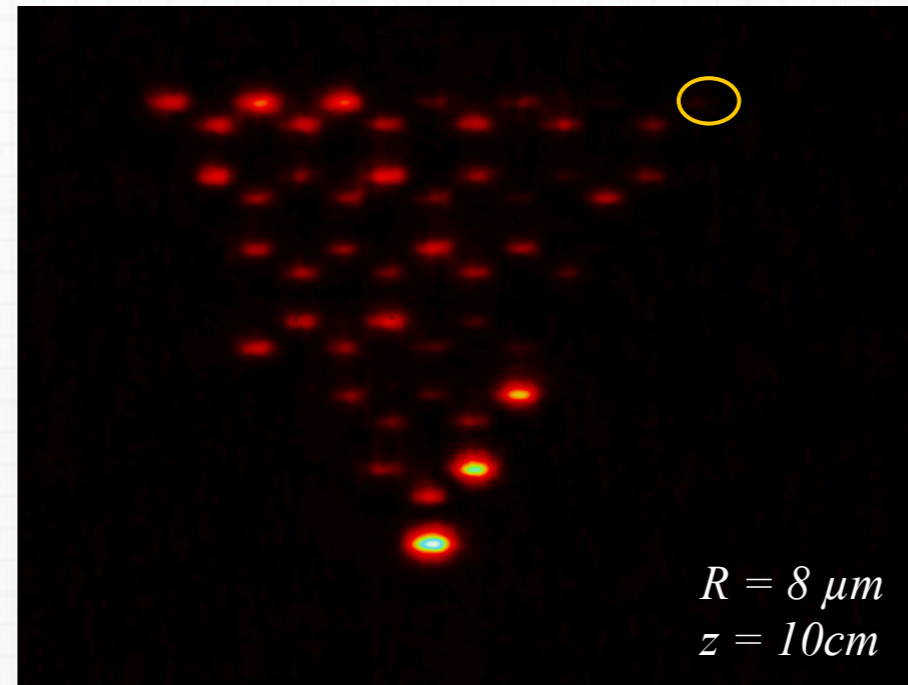
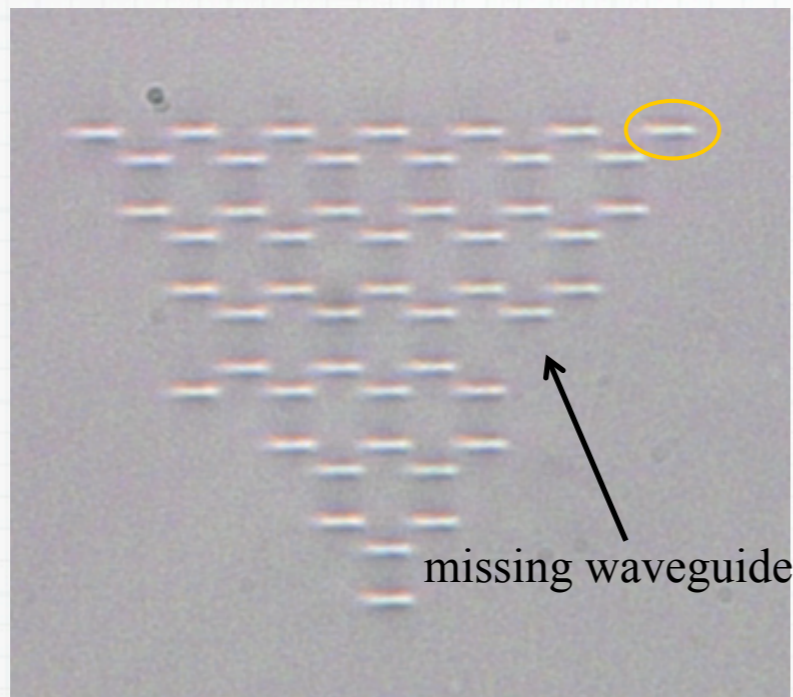
$$i\partial_z\psi = \frac{1}{2k_0} (i\nabla + \mathbf{A}(z))^2 \psi - \frac{k_0\Delta n(x,y)}{n_0} \psi - \frac{k_0R^2\Omega^2}{2} \psi$$

$$\mathbf{A}(z) = k_0R\Omega(\sin \Omega z, \cos \Omega z)$$

$$\begin{aligned} x' &= x + R \cos \Omega z \\ y' &= y + R \sin \Omega z \\ z' &= z \end{aligned}$$

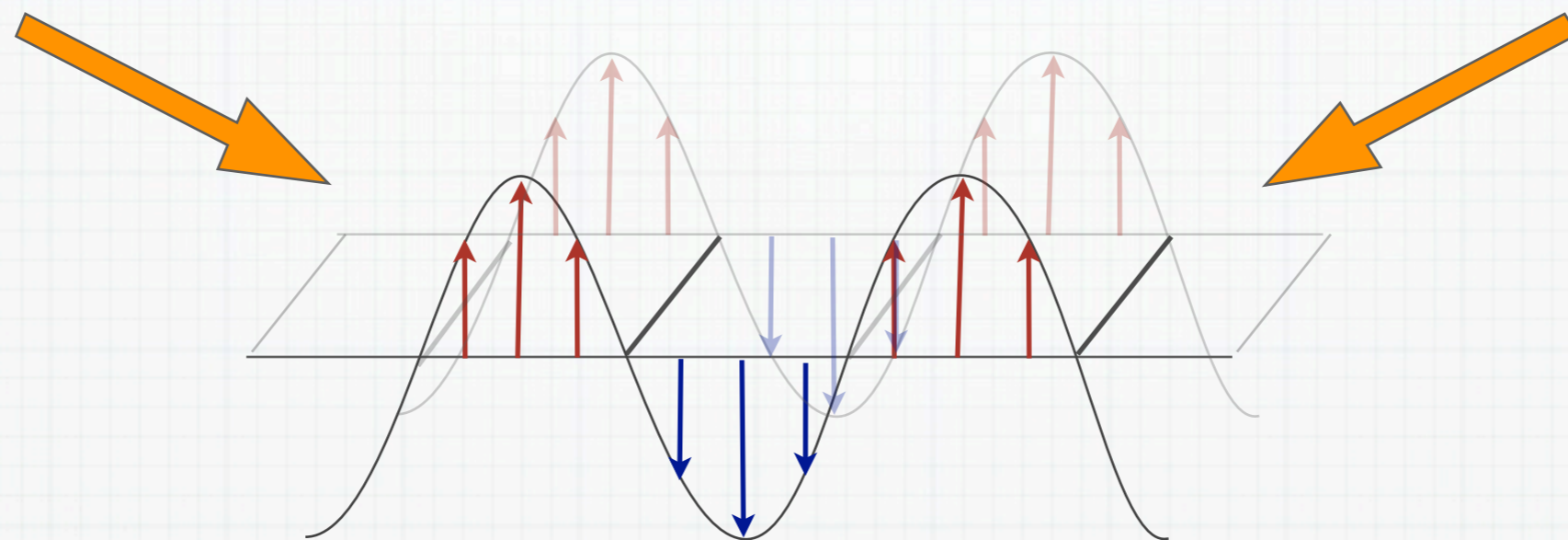
$$\mathcal{H}(z) = \sum_{m, \langle n \rangle} e^{i\mathbf{A}(z) \cdot \mathbf{r}_{mn}} \psi_n^\dagger \psi_m$$

Triangular sample with defect



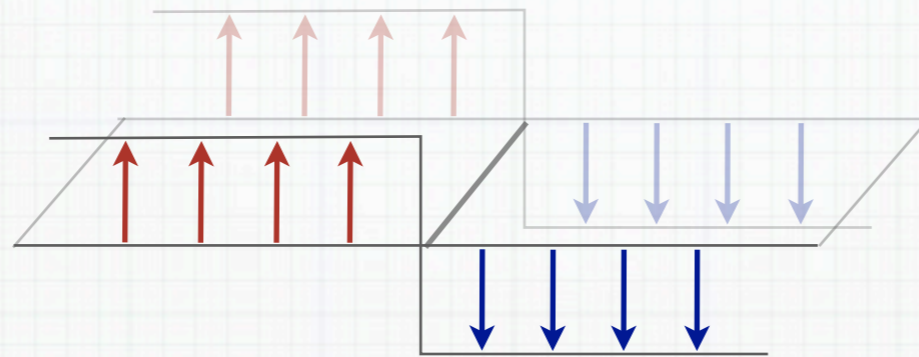
Rechtsman, Zeuner, Plotnik, Lumer, Podolsky, Dreisow, Nolte, Segev, Szameit, *Nature* 496, 196 (2013)

What happens if we add spatial modulation?



Domain wall in phase

- * Interface between two regions with π phase shift:



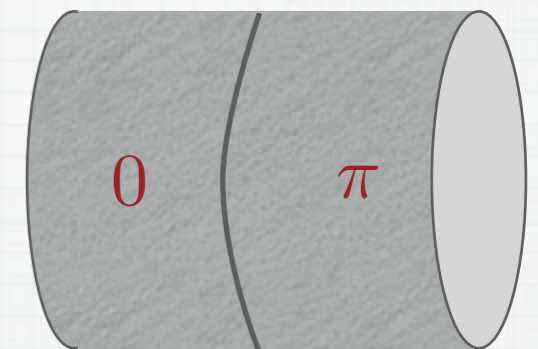
- * Delay phase:

$$H = \sigma_z \Delta / 2 + \sigma_x B \cos(\Omega t + \alpha)$$

- * Effect of delay:

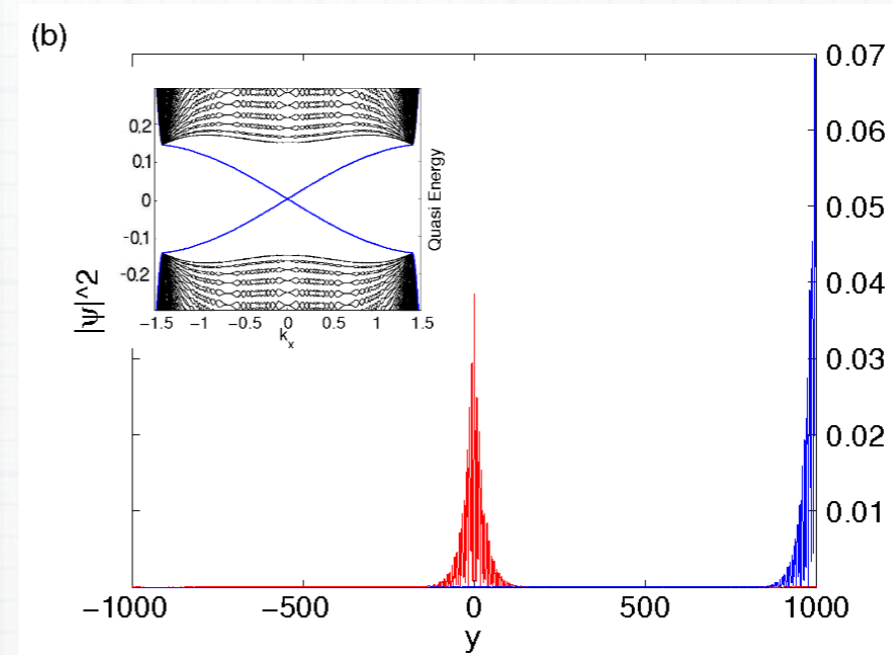
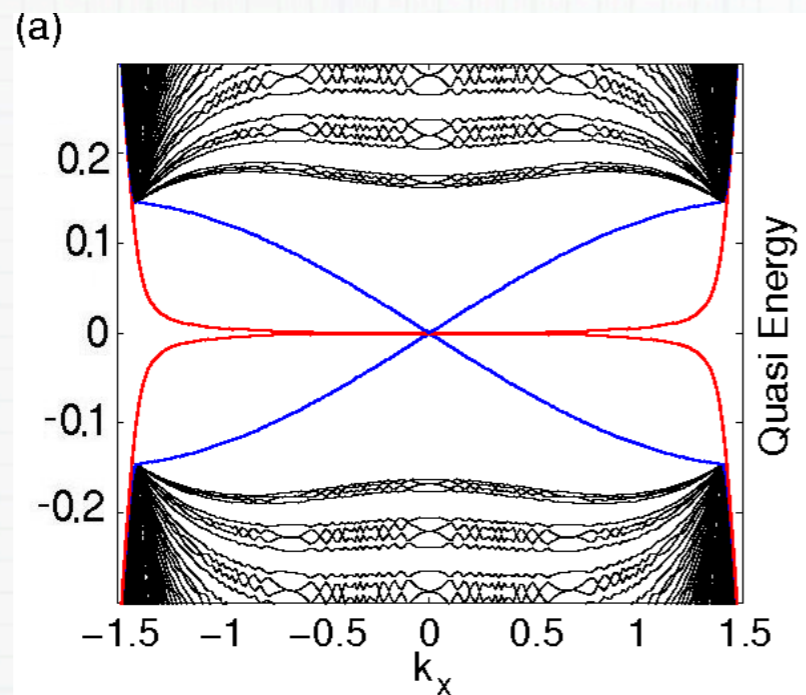
$$H_F \approx \sigma_z (\Delta - \Omega) / 2 + B (\sigma_x \cos \alpha + \sigma_y \sin \alpha) / 2$$

- * Spectrum unaffected
=> Chern number independent of α



Domain wall

- * Numerical simulation:



- * Analytic understanding?

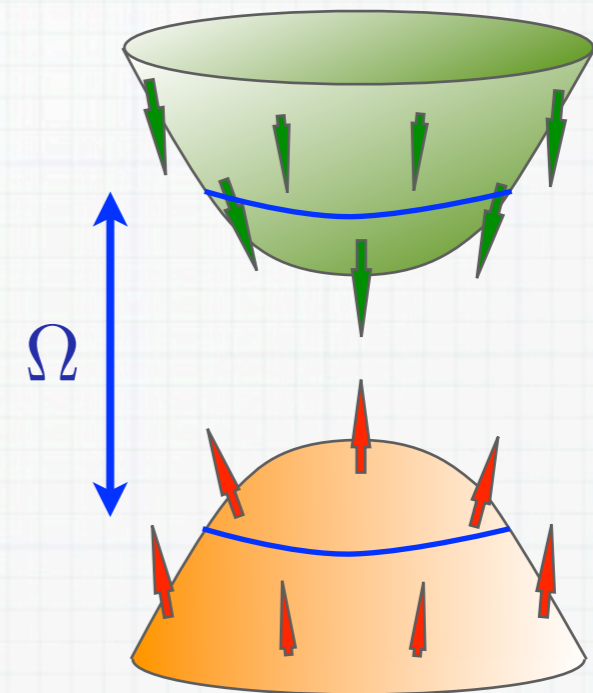
Analogy to p+ip superconductor

- * Nambu Hamiltonian:

$$H_k^F \approx \begin{pmatrix} \xi_k & \Delta_k e^{-i\alpha} \\ \Delta_k^* e^{i\alpha} & -\xi_k \end{pmatrix}$$

$$\xi_k = |\vec{d}_k| - \Omega/2$$

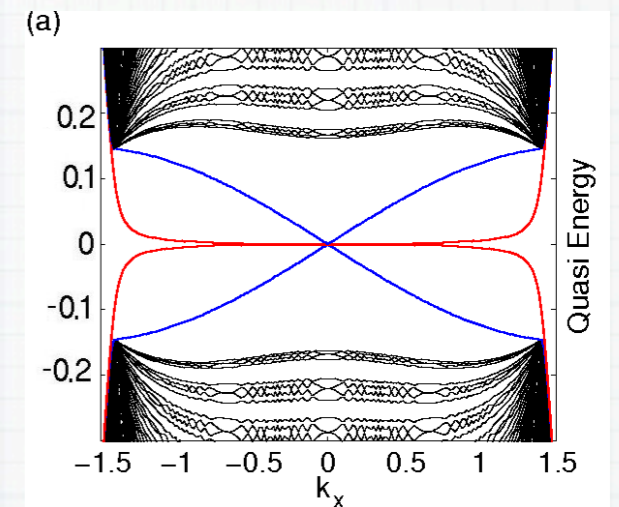
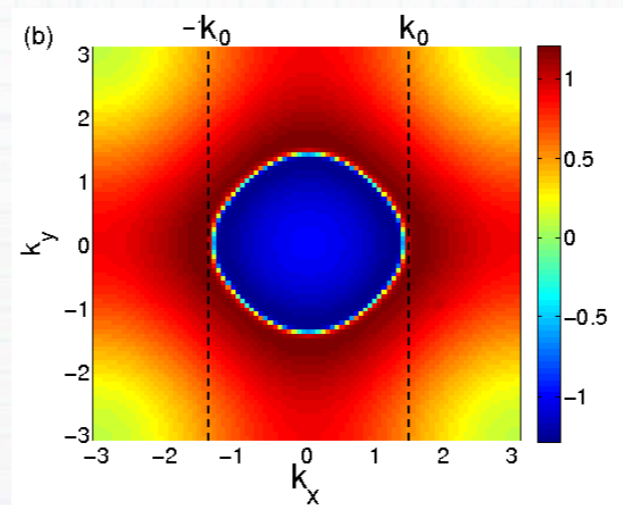
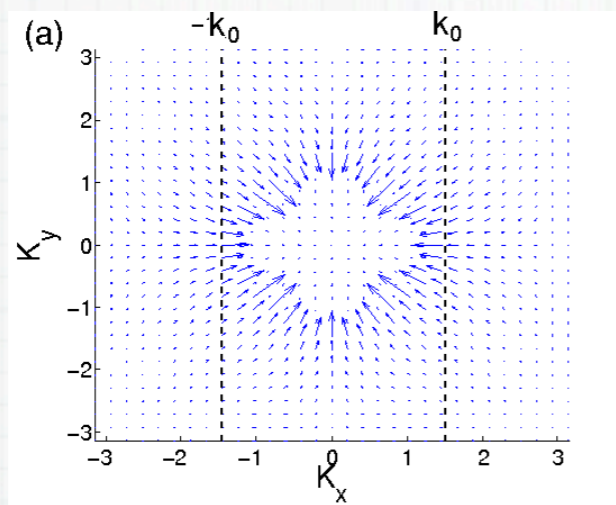
$$\Delta_k = (V_{\perp,k}/2k) (k_x + ik_y)$$



- * When α depends on position, problem becomes BdG equation
- * Domain wall \implies pi-junction in p+ip superconductor

Topological protection

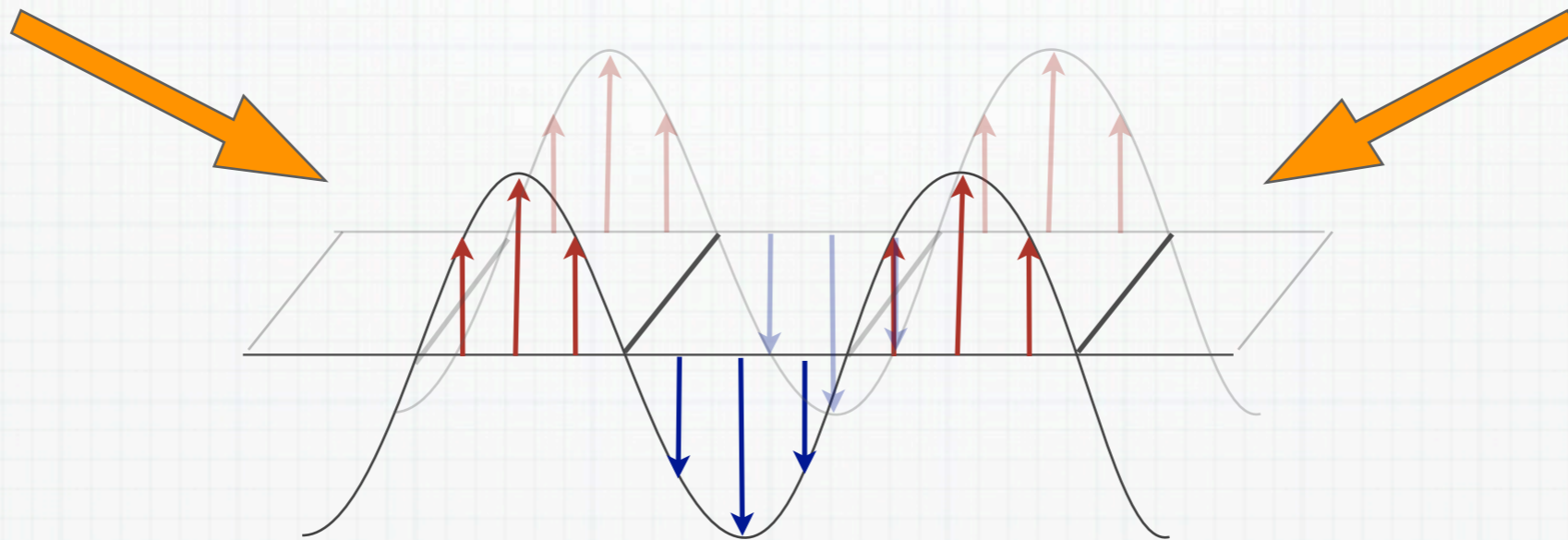
- * For fixed k_x , define $C'_{k_x} \equiv$ winding in (n_y, n_z)



- * For $\alpha = \pi$, C'_{k_x} changes sign
- * Crossing of modes is protected by particle-hole symmetry
- * Particle-hole symmetry breaking opens a small gap

Anisotropic transport?

- * Control density of nodes by angle of incident light

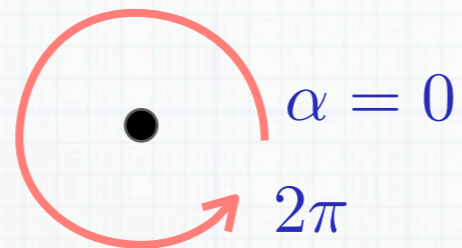


- * Enhanced conductivity along the nodes

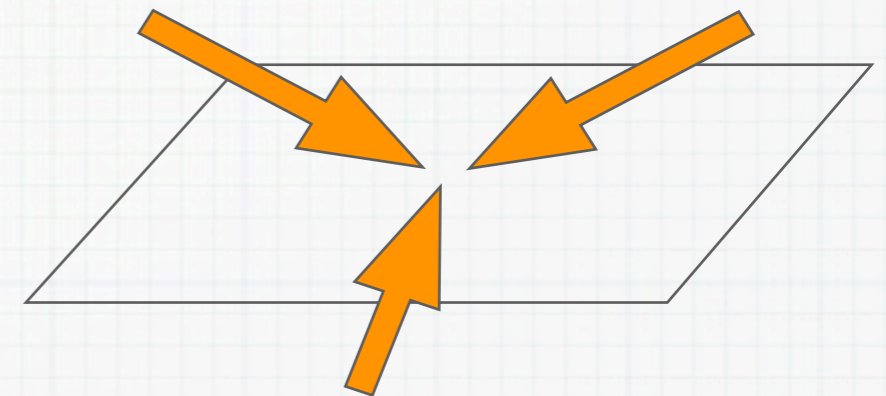
Gu, Fertig, Arovas, Auerbach, PRL 2011
Kundu and Seradjeh, arxiv:1301.4433

Vortex states

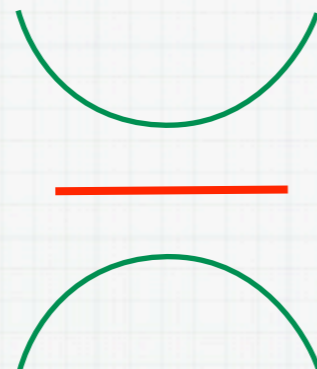
- * Vortex in the phase



- * Vortex lattice can be created using three lasers

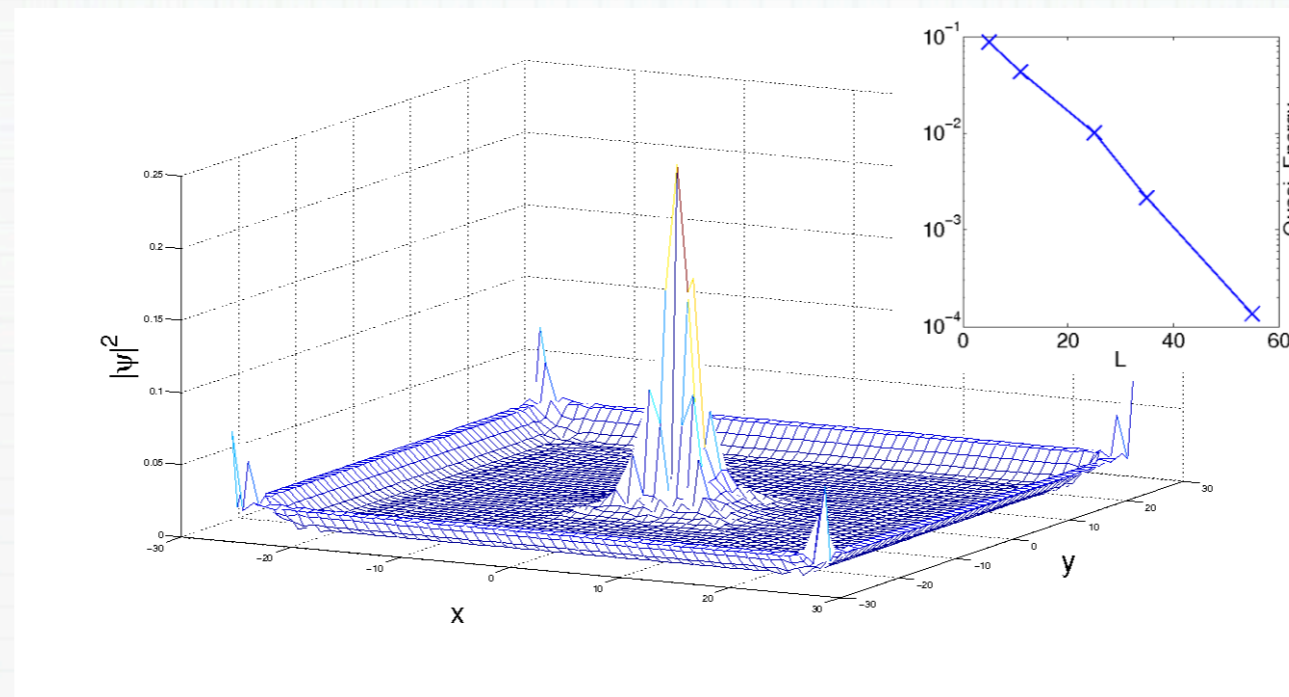


- * Expect vortex core state with zero quasi-energy



Vortex states

- * Numerical results:



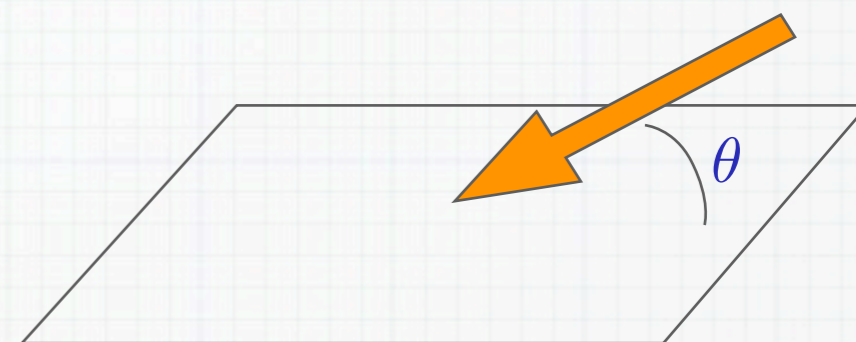
- * Hybridization with edge mode exponentially small
- * Analogous to Majorana, fractional excitation

Photogalvanic effect

* Analogue of supercurrents? $\vec{j} = \rho_s \vec{\nabla} \alpha$

* Noether's theorem:

$$\vec{j} = -\vec{\nabla}_k \left(\vec{d}_k \cdot \vec{\sigma} \right)$$



* Linear response

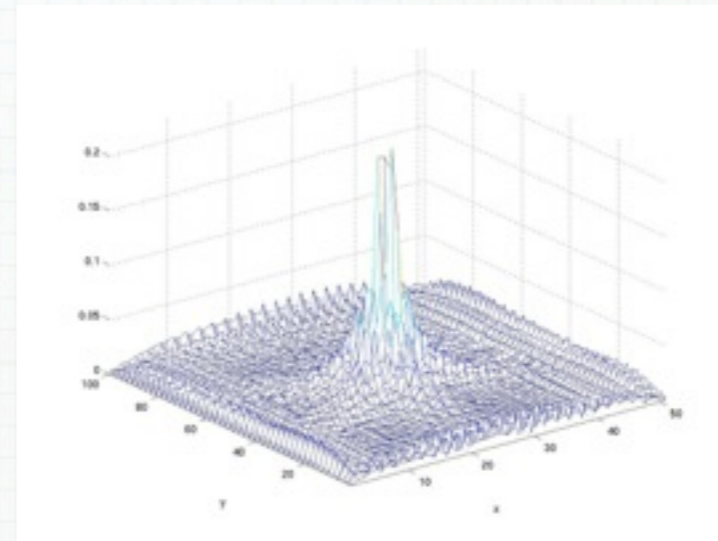
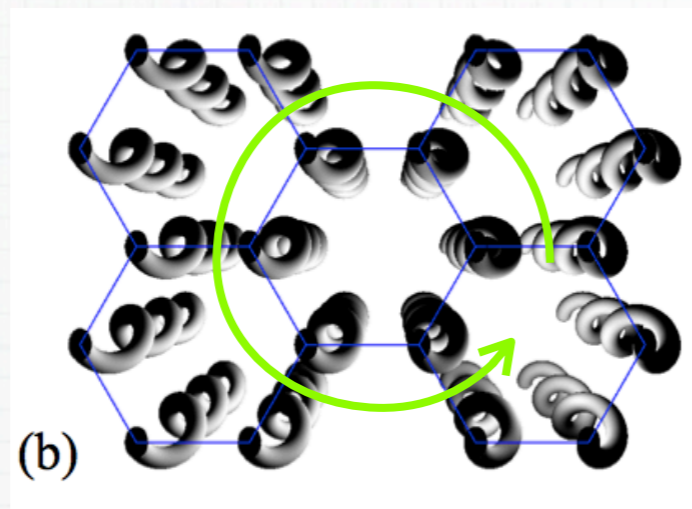
$$\frac{\langle j_x \rangle}{\partial_x \alpha} = V_0 \int \frac{d^2 k}{8\pi^2} \frac{d_k}{n_k} \frac{\hat{n}_z}{\hat{n}_x^2 + \hat{n}_y^2} \left(\left(\hat{d}_k \times \partial_{k_x} \hat{n}_k \right) \cdot \hat{z} \right)^2$$

$$\frac{\langle j_y \rangle}{\partial_x \alpha} = 0$$

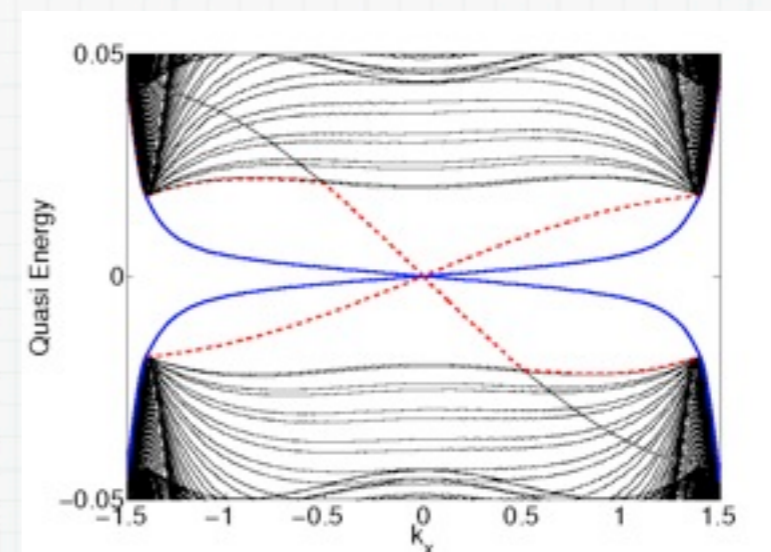
* Caveat: relies on occupation of “valence band”

Some generalizations

- * Graphene with circularly polarized light



- * Position-dependent frequency



Summary

- * Modulation leads to interesting new effects
 - domain wall modes
 - vortex core states
 - photogalvanic effect
- * Demonstration of the versatility of Floquet topological insulators
- * Generalizations:
 - graphene
 - position-dependent frequency
 - 3D

Thank you!