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# Energetics of three particles near a three-body resonance

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# Outline

- Introduction
- Special wave functions for three particles at a resonance
- One particle in 6 dimensions
- Three particles in the 3 dimensional box
- Other results
- Summary

# Introduction

- Ultracold atoms:  
**small collision energies**  
*(compared to the Van der Waals energy);*  
**large de Broglie wave lengths**  
*(compared to the Van der Waals range).*
- Low-energy nucleons/nuclei  
are similar

# Introduction

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- Low-energy nucleons/nuclei  
are similar

Develop a general approach for a few particles,  
treating  $E$  and  $1/l$  as small parameters

$E$ : energy

$l$ : size of system

# A general framework for few-body physics in the ultracold regime

Consider any number of objects, in any dimension, with generic interactions, colliding at a small energy:

$$H\psi = E\psi$$

In a region of configuration space small compared to the de Broglie wave length associated with  $E$ :

$$\psi = \sum_{\mu} c_{\mu} (\phi^{(\mu)} + E f^{(\mu)} + E^2 g^{(\mu)} + \dots)$$

where

$$H\phi^{(\mu)} = 0 \quad Hf^{(\mu)} = \phi^{(\mu)} \quad Hg^{(\mu)} = f^{(\mu)} \quad \dots$$

$\phi^{(\mu)}, f^{(\mu)}, g^{(\mu)}, \dots$  : **special wave functions**

*see, eg, Tan, PRA 2008*

# Why study resonances

- Ultracold atoms are usually weakly interacting
- A lot are known:  
use two-body scattering length, two-body effective range, three-body scattering hypervolume, etc as effective interaction parameters
- Turn to resonances: system strongly interacting, and much more interesting
- But a lot are known about TWO-body resonances
- So let's turn to **THREE-BODY RESONANCES**

# Why study three-body resonances?

- [Definition]  
If three particles have a bound state near zero energy, we say they are near a three-body resonance
- Strongly interacting and interesting
- Applications in ultracold atoms near three-body resonances, and three-body nuclear halo states
- Applications in other systems (eg, excitons, other particles)

# Textbook wisdom

*Three-body problem often cannot be solved analytically  
(famous example: the motion of 3 gravitating celestial  
bodies may display chaos)*

But, let us study 3-body problem *analytically*

Our trick: study the wave functions  
at small collision energies & large inter-particle distances



# Three-body Schrödinger equation

Consider 3 bosons with interactions that are translationally, rotationally, and Galilean invariant, and short-ranged, **fine-tuned** such that there is a bound state with zero energy and zero orbital angular momentum.

$$H_3\psi = E\psi$$

$$\begin{aligned} (H_3\psi)_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3} = & \frac{k_1^2 + k_2^2 + k_3^2}{2} \psi_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3} + \frac{1}{2} \int_{\mathbf{k}'} U_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}'\mathbf{k}''} \psi_{\mathbf{k}'\mathbf{k}''\mathbf{k}_3} + \frac{1}{2} \int_{\mathbf{k}'} U_{\mathbf{k}_2\mathbf{k}_3\mathbf{k}'\mathbf{k}''} \psi_{\mathbf{k}_1\mathbf{k}'\mathbf{k}''} \\ & + \frac{1}{2} \int_{\mathbf{k}'} U_{\mathbf{k}_3\mathbf{k}_1\mathbf{k}'\mathbf{k}''} \psi_{\mathbf{k}'\mathbf{k}_2\mathbf{k}''} + \frac{1}{6} \int_{\mathbf{k}'_1\mathbf{k}'_2} U_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3\mathbf{k}'_1\mathbf{k}'_2\mathbf{k}'_3} \psi_{\mathbf{k}'_1\mathbf{k}'_2\mathbf{k}'_3} \end{aligned}$$

where  $\int_{\mathbf{k}'} \equiv \int \frac{d^3k'}{(2\pi)^3}$ ,  $\int_{\mathbf{k}'_1\mathbf{k}'_2} \equiv \int \frac{d^3k'_1}{(2\pi)^3} \frac{d^3k'_2}{(2\pi)^3}$  ( $m = \hbar = 1$ )

# Two-body special wave functions

$$H_2\psi = E\psi$$

$$(H_2\psi)_{\mathbf{k}} = k^2\psi_{\mathbf{k}} + \frac{1}{2} \int \frac{d^3k'}{(2\pi)^3} U_{\mathbf{k},-\mathbf{k},\mathbf{k}',-\mathbf{k}'}\psi_{\mathbf{k}'}$$

In the ultracold regime,  $E$  is small.

May expand the wave function as

$$\psi_{\mathbf{k}} = \phi_{\mathbf{k}} + E f_{\mathbf{k}} + E^2 g_{\mathbf{k}} + \dots$$

$$H\phi_{\mathbf{k}} = 0 \quad Hf_{\mathbf{k}} = \phi_{\mathbf{k}} \quad Hg_{\mathbf{k}} = f_{\mathbf{k}} \quad \dots\dots$$

Outside the range of interaction, we have

$$\phi(\mathbf{r}) = 1 - a/r \quad f(\mathbf{r}) = -r^2/6 + ar/2 - ar_s/2$$

$$\phi_{\hat{\mathbf{n}}}^{(d)}(\mathbf{r}) = (r^2/15 - 3a_d/r^3)P_2(\hat{\mathbf{n}} \cdot \hat{\mathbf{r}})$$

# Three-body special wave functions

$$H_3\psi = E\psi$$

In the ultracold regime,  $E$  is small.

May expand the wave function as

$$\psi = \phi^{(3)} + E f^{(3)} + E^2 g^{(3)} + \dots$$

where  $\phi_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3}^{(3)}$ ,  $f_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3}^{(3)}$ ,  $g_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3}^{(3)}$ , etc, are **special wave functions**, and serve as *building blocks* of the wave functions at arbitrary energies.

$$H_3\phi^{(3)} = 0$$

$$H_3f^{(3)} = \phi^{(3)}$$

$$H_3g^{(3)} = f^{(3)}$$

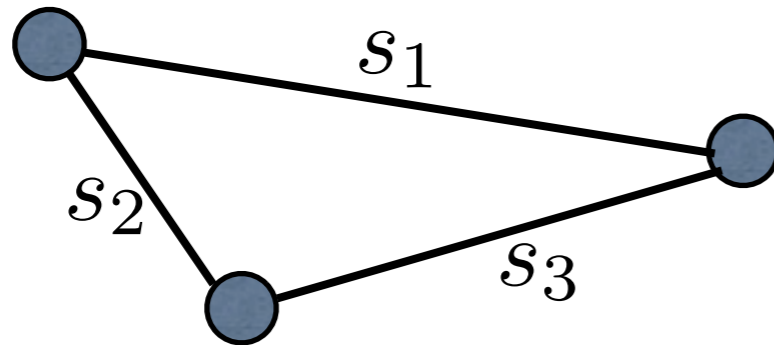
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# Three-body special wave functions

Once we know the special wave functions,  
 $\phi_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)}$ ,  $f_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)}$ ,  $g_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)}$ , etc,  
we know ALL the details of three-body  
effective interactions at low energy

The effective parameters such as the **three-body scattering hypervolume** appear in the large-distance or low-momentum expansions of these functions

# The special wave function $\phi^{(3)}$



$$w = \frac{4\pi}{3} - \sqrt{3}$$

When  $s_1$ ,  $s_2$ ,  $s_3$  are all large,

$$\phi^{(3)}(\mathbf{r}_1\mathbf{r}_2\mathbf{r}_3) \propto 1 + \left[ \sum_{i=1}^3 -\frac{a}{s_i} + \frac{4a^2\theta_i}{\pi R_i s_i} - \frac{2wa^3}{\pi\rho^2 s_i} + \frac{8\sqrt{3}wa^4(\ln \frac{\rho}{|a|} + \gamma - 1 - \theta_i \cot 2\theta_i)}{\pi^2\rho^4} \right] - \frac{\sqrt{3}D}{8\pi^3\rho^4} + O(\rho^{-5})$$

*Tan, PRA 2008*

At a three-body resonance,  $D \rightarrow \pm\infty$ , and

$$\phi^{(3)}(\mathbf{r}_1\mathbf{r}_2\mathbf{r}_3) \propto \frac{1}{\rho^4} + O(\rho^{-5})$$

which is also the wave function of the shallow three-body bound state

# The special wave function $\phi^{(3)}$

The formula  $\phi^{(3)}(\mathbf{r}_1\mathbf{r}_2\mathbf{r}_3) \propto \frac{1}{\rho^4} + O(\rho^{-5})$  at large distances

corresponds to  $\phi_{\mathbf{q}_1\mathbf{q}_2\mathbf{q}_3}^{(3)} \propto \frac{2}{q_1^2 + q_2^2 + q_3^2} + O(q^{-1})$   
at small momenta

# The special wave function $\phi^{(3)}$

There are small-momentum asymptotic expansions for

$$\phi_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(3)} \text{ and } \phi_{\mathbf{q}, -\mathbf{q}/2+\mathbf{k}, -\mathbf{q}/2-\mathbf{k}}^{(3)}$$

where  $\mathbf{q}$ 's are small but  $\mathbf{k}$  is not.

Solving the exact Schrödinger equation, we can refine the two asymptotic expansions back and forth, in a zig-zag manner.

# The special wave function $\phi^{(3)}$

Asymptotic expansions at small  $q$ 's:

$$\begin{aligned} \phi_{\mathbf{q}, -\mathbf{q}/2+\mathbf{k}, -\mathbf{q}/2-\mathbf{k}}^{(3)} = & \left[ -\frac{\sqrt{3}}{8\pi}q + \frac{a}{\sqrt{3}\pi^2}q^2 \ln(q|a|) + \left( \frac{9 + 2\sqrt{3}\pi}{72\pi^2}a^2 + \frac{3\sqrt{3}}{64\pi}ar_s \right)q^3 \right] \phi_{\mathbf{k}} \\ & + \frac{3\sqrt{3}}{32\pi}q^3 f_{\mathbf{k}} + d_{\mathbf{k}} + q^2 d_{\hat{\mathbf{q}}\mathbf{k}}^{(2)} + O(q^4) \end{aligned}$$

$$\phi_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(3)} = \frac{2}{q_1^2 + q_2^2 + q_3^2} \left\{ 1 + \sum_{i=1}^3 \left[ \frac{\sqrt{3}}{2} a q_i - \frac{4}{\sqrt{3}\pi} a^2 q_i^2 \ln(q_i |a|) \right] \right\} + \chi_0 + O(q)$$

$a$ : two-body scattering length

$r_s$ : two-body effective range

These expansions will be essential in the ultracold physics of **three or more** such particles



# The special wave function $f^{(3)}$

$$H_3 f^{(3)} = \phi^{(3)}$$

At small  $q$ 's, we get

$$f_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(3)} = r_3 (2\pi)^6 \delta(\mathbf{q}_1) \delta(\mathbf{q}_2) + O(q^{-5}),$$

where  $r_3$  is the **three-body effective range**.

It's the MOST IMPORTANT three-body parameter at a resonance (its dimension:  $1/\text{length}^2$ ).

Using the Schrödinger equation, we get

$$\int_{\mathbf{k}_1 \mathbf{k}_2} \left| \phi_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)} \right|^2 = -r_3$$

# $r_3$ as a probability constant

From the formula

$$\int_{\mathbf{k}_1 \mathbf{k}_2} \left| \phi_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)} \right|^2 = -r_3,$$

we find

$$\int_{\rho < \eta} d^3 r d^3 R \left| \phi^{(3)}(\mathbf{r}/2, -\mathbf{r}/2, \mathbf{R}) \right|^2 \propto 16\sqrt{3} \pi^3 |r_3| - \frac{1}{\eta^2} + O(\eta^{-3})$$

at a large cutoff hyperradius  $\eta$

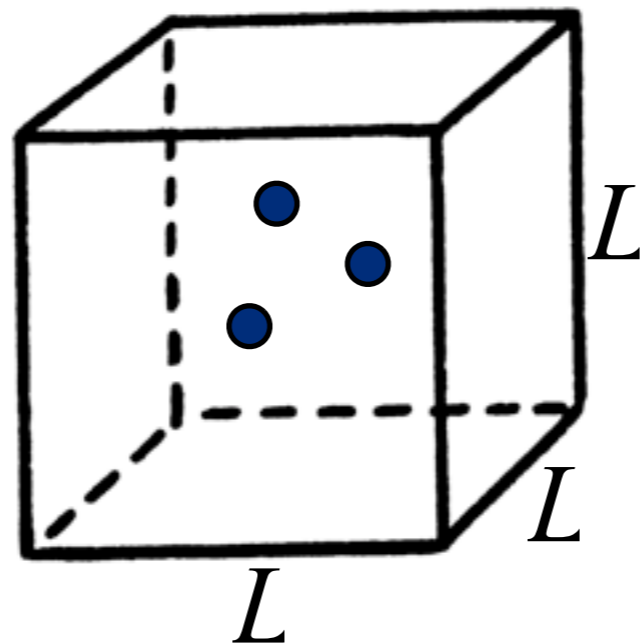
# The special wave function $f^{(3)}$

$$\begin{aligned}
 f_{\mathbf{q}, -\mathbf{q}/2+\mathbf{k}, -\mathbf{q}/2-\mathbf{k}}^{(3)} = & \left[ r_3 (2\pi)^3 \delta(\mathbf{q}) - \frac{8\pi a r_3}{q^2} + \left( 4\pi w a^2 r_3 + \frac{\sqrt{3}}{12\pi} \right) \frac{1}{q} + \left( 16w a^3 r_3 - \frac{a}{2\sqrt{3}\pi^2} \right) \ln(q|a|) \right. \\
 & \left. + \left( 24\sqrt{3} w a^4 r_3 + \frac{a^2}{4\pi^2} \right) q \ln(q|a|) + c_1 q \right] \phi_{\mathbf{k}} - \left( 3\pi w a^2 r_3 + \frac{3\sqrt{3}}{16\pi} \right) q f_{\mathbf{k}} \\
 & + \left[ 10\pi a r_3 - 10\pi (2\pi - 3\sqrt{3}) a^2 r_3 q \right] \phi_{\hat{\mathbf{q}}\mathbf{k}}^{(d)} + \hat{d}_{\mathbf{k}} + O(q^2)
 \end{aligned}$$

$$\begin{aligned}
 f_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(3)} = & r_3 (2\pi)^6 \delta(\mathbf{q}_1) \delta(\mathbf{q}_2) + \left( \frac{2}{q_1^2 + q_2^2 + q_3^2} \right)^2 \left\{ 1 + \sum_{i=1}^3 \left[ \frac{\sqrt{3}}{2} a q_i - \frac{4}{\sqrt{3}\pi} a^2 q_i^2 \ln(q_i |a|) \right] \right\} \\
 & + \frac{2}{q_1^2 + q_2^2 + q_3^2} \sum_{i=1}^3 \left[ -4\pi a r_3 (2\pi)^3 \delta(\mathbf{q}_i) + \frac{32\pi^2 a^2 r_3}{q_i^2} - \left( 16\pi^2 w a^3 r_3 + \frac{a}{\sqrt{3}} \right) \frac{1}{q_i} \right. \\
 & \left. + \left( -64\pi w a^4 r_3 + \frac{2}{\sqrt{3}\pi} a^2 \right) \ln(q_i |a|) \right] \\
 & + u_0 r_3 \sum_{i=1}^3 \left[ (2\pi)^3 \delta(\mathbf{q}_i) - \frac{8\pi a}{q_i^2} \right] + O(q^{-1}),
 \end{aligned}$$

$$c_1 \equiv \left[ -8 \left( \sqrt{3} - \frac{\pi}{3} \right) w a^4 - \frac{3}{2} \pi w a^3 r_s \right] r_3 + \left( \frac{1}{4\pi^2} - \frac{1}{12\sqrt{3}\pi} \right) a^2 - \frac{3\sqrt{3}}{32\pi} a r_s$$

Now place the 3 particles in a large cubic box, and impose the periodic boundary condition



Question: how does the energy scale with  $L$ ?

My previous conjecture:  $E = -\frac{\#}{|r_3|L^4} + O(L^{-5})$

But this turns out to be incorrect :(

And even the question itself is slightly incorrect!

Before solving the above problem, consider an analogous, but easier problem:

**ONE body in 6 dimensions, at a resonance**

$$-\nabla^2 \psi(\mathbf{r}) + \int d^6 r' V(\mathbf{r}, \mathbf{r}') \psi(\mathbf{r}') = E \psi(\mathbf{r}) \quad (2m = \hbar = 1)$$

$V$ : rotationally invariant, and short-ranged  
(vanishes outside a finite 6d sphere around the origin)

Effective-range expansion for the  $s$ -wave phase shift  $\delta$ :

$$k^4 \cot \delta = -\frac{1}{a} + \frac{1}{2} r_s k^2 + \frac{2}{\pi} k^4 \ln(k r'_s) + O(k^6)$$

$r_s$ : effective range (dimension:  $1/\text{length}^2$ )

$a = \pm \infty$  at resonance

# ONE body in 6 dimensions at a resonance

$$-\nabla^2 \psi(\mathbf{r}) + \int d^6 r' V(\mathbf{r}, \mathbf{r}') \psi(\mathbf{r}') = E \psi(\mathbf{r})$$

## s-wave special wave functions

In real space (outside the range of potential):

$$\phi(\mathbf{r}) = \frac{1}{4\pi^3 r^4}$$

$$f(\mathbf{r}) = \frac{r_s}{256\pi^2} + \frac{1}{16\pi^3 r^2}$$

In momentum space:

$$\phi_{\mathbf{k}} = \frac{1}{k^2} + (\text{smooth function of } \mathbf{k})$$

$$f_{\mathbf{k}} = \frac{r_s}{256\pi^2} (2\pi)^6 \delta(\mathbf{k}) + \frac{1}{k^4} + (\text{smooth function of } \mathbf{k})$$

# ONE body in 6 dimensions at a resonance

Now impose the periodic boundary condition:

$$\psi(x_1 + L, x_2, x_3, x_4, x_5, x_6) = \dots = \psi(x_1, x_2, x_3, x_4, x_5, x_6)$$

**Result:**

$$E = \pm \frac{16\pi}{\sqrt{|r_s|}} L^{-3} + \frac{32\alpha_1}{r_s} L^{-4} \pm \frac{32(\alpha_1^2 - 4\alpha_2)}{\pi|r_s|^{3/2}} L^{-5} + O(L^{-6})$$

**There are TWO states with energies close to zero!**

The energy of each state scales like  $1/L^3$  at large  $L$ , rather than  $1/L^4$  as I previously conjectured.

$$\alpha_1 \equiv \sum'_{n \neq 0} \frac{1}{n^2} = -3.37968478344314798726129011$$
$$\alpha_2 \equiv \sum'_{n \neq 0} \frac{1}{n^4} = \pi\alpha_1$$

## ONE body in 6 dimensional box

When  $V=0$ , we know the energy-momentum eigenstates:

$$E = \frac{(2\pi|\mathbf{n}|)^2}{L^2} \quad \mathbf{p} = \frac{2\pi\mathbf{n}}{L}$$

Ground state: nondegenerate  $\longrightarrow$  energy gap  $\sim 1/L^2$   
First excited state: 12-fold degenerate  $\longrightarrow$

But at resonance, there are TWO low energy states, with energies

$$E_- \approx -\frac{16\pi}{\sqrt{|r_s|}} L^{-3} \quad E_+ \approx +\frac{16\pi}{\sqrt{|r_s|}} L^{-3}$$

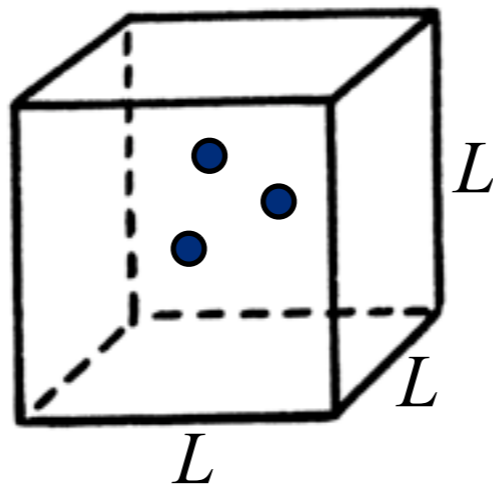
So where does the positive energy state,  $E_+$ , come from?

Answer: it has evolved from the equal superposition of the 12 first excited states.

confirmed using a separable potential  $V_{\mathbf{k}\mathbf{k}'} = -\eta e^{-\frac{k^2}{2}} e^{-\frac{k'^2}{2}}$



Now return to the 3 particles in the 3-dimensional box



Strategy: in the momentum space,  
expand the wave function and energy in powers of  $\varepsilon \equiv 1/L$ :

$$\psi_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3} = \mathcal{R}_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(0)} + \mathcal{R}_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(1)} + \mathcal{R}_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(2)} + \dots$$

$$\psi_{\mathbf{q}, -\mathbf{q}/2 + \mathbf{k}, -\mathbf{q}/2 - \mathbf{k}} = \mathcal{S}_{\mathbf{k}}^{(0)\mathbf{q}} + \mathcal{S}_{\mathbf{k}}^{(1)\mathbf{q}} + \mathcal{S}_{\mathbf{k}}^{(2)\mathbf{q}} + \dots$$

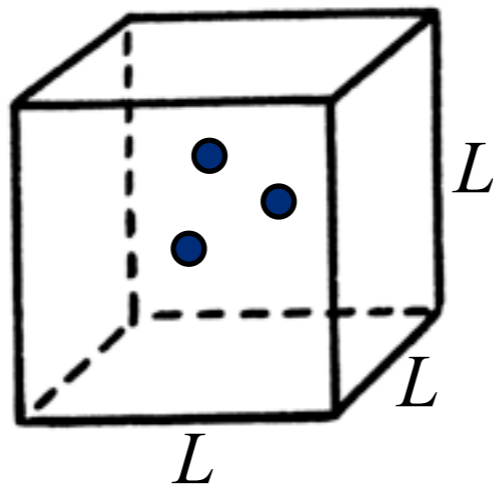
$$\psi_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3} = \mathcal{T}_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(-3)} + \mathcal{T}_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(-2)} + \mathcal{T}_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(-1)} + \dots$$

$$E = E^{(3)} + E^{(4)} + E^{(5)} \dots$$

where  $\mathbf{q}$ 's are of order  $\varepsilon$ , and  $\mathbf{k}$ 's are independent of  $\varepsilon$ , and

$$X^{(s)} \sim \varepsilon^s$$

### 3 particles at a resonance in the 3-dimensional box



Solving the Schrödinger equation perturbatively in powers of  $\epsilon$ , I find, eg,

$$\mathcal{R}_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(0)} = \phi_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)}$$

$$\mathcal{R}_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)} = E^{(3)} f_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3}^{(3)} + (\text{terms that are less singular at or}$$

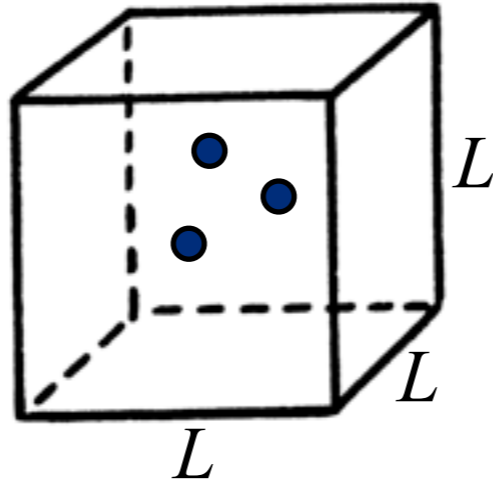
$$\mathcal{T}_{\mathbf{q}_1 \mathbf{q}_2 \mathbf{q}_3}^{(-3)} = j \epsilon^3 (2\pi)^6 \delta(\mathbf{q}_1) \delta(\mathbf{q}_2)$$

$$\mathcal{S}_{\mathbf{k}}^{(0)\mathbf{q}} = (2\pi\epsilon)^3 j \delta(\mathbf{q}) \phi_{\mathbf{k}} + d_{\mathbf{k}} \sum_{\mathbf{n}} (2\pi\epsilon)^3 \delta(\mathbf{q} - 2\pi\epsilon\mathbf{n})$$

$$(12\pi a - E^{(3)} \epsilon^{-3}) j = 1$$

$$j = E^{(3)} \epsilon^{-3} r_3$$

## 3 particles at a resonance in the 3-dimensional box



Solving the equations

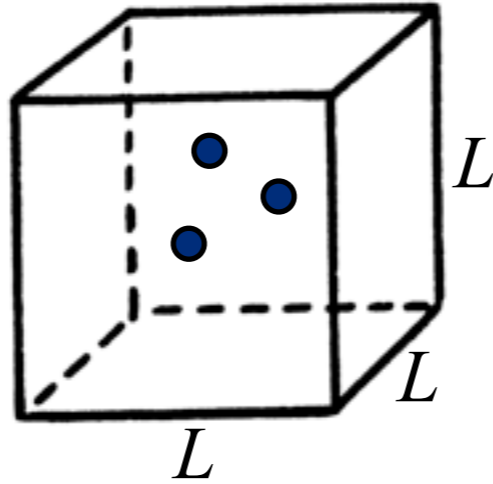
$$(12\pi a - E^{(3)} \epsilon^{-3})j = 1$$

$$j = E^{(3)} \epsilon^{-3} r_3$$

we get TWO low energy states, with energies

$$E = \frac{6\pi a \pm \sqrt{(6\pi a)^2 + \frac{1}{|r_3|}}}{L^3} + O(L^{-4})$$

### 3 particles at a resonance in the 3-dimensional box



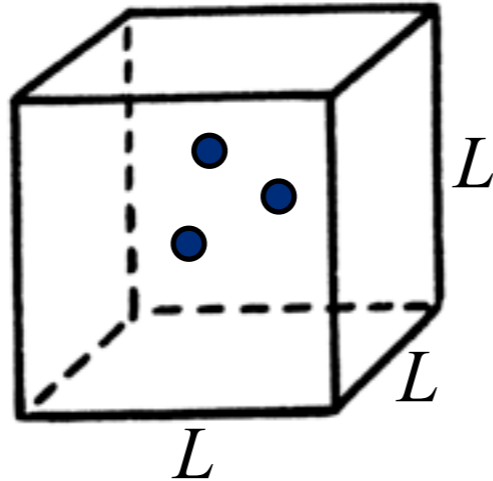
$$E = \frac{6\pi a \pm \sqrt{(6\pi a)^2 + \frac{1}{|r_3|}}}{L^3} + O(L^{-4})$$

If the two scattering length  $a = 0$ ,

$$E \approx \pm \frac{1}{\sqrt{|r_3|} L^3}$$

analogous to the one body at a resonance in 6-dimensional box

### 3 particles at a resonance in the 3-dimensional box



$$E = \frac{6\pi a \pm \sqrt{(6\pi a)^2 + \frac{1}{|r_3|}}}{L^3} + O(L^{-4})$$

If the resonance is very narrow ( $r_3 \rightarrow -\infty$ ),

$$E_1 \approx \frac{12\pi a}{L^3} \quad \text{(3-body state with an energy mainly due to two-body interactions)}$$

$$E_2 \approx -\frac{1}{12\pi a |r_3| L^3} \quad \text{(another 3-body state)}$$

# Other results

If the interaction is slightly more attractive than the critical interaction, so that  $D$  is large and positive, there is a shallow three-body bound state with energy

$$E \approx -\frac{1}{|r_3|D}$$

But if the interaction is slightly less attractive than the critical interaction, so that  $D$  is large and negative, there is a metastable three-body state with energy

$$E \approx +\frac{1}{|r_3 D|} - i(\text{small imaginary part})$$

# Summary

- Determined the special three-body wave functions at a three-body resonance in powers of  $1/\{\textit{size of the system}\}$ .
- Defined the **three-body effective range** in terms of the special wave functions
- Determined the low lying energy eigenstates in a large periodic volume. Found TWO such states.

# Future directions on this subject

- Three particles at a three-body resonance in a harmonic trap
- Definition of three-body effective range **away from resonance**
- More precise formula for the three-body bound state (or metastable state) energy slightly off resonance
- Three-body resonances in the presence of long-range Van der Waals potential
- Three-body resonances for identical fermions
- ...