

# Novel quantum criticality of topological phase transitions

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# References

- B.-J. Yang, E. -G. Moon, H. Isobe, and N. Nagaosa, "Quantum criticality of topological phase transitions in 3D interacting electronic systems", Nature Physics (2014)
- H. Isobe, B.-J. Yang, A. Chubukov, J. Schmalian, and N. Nagaosa, "Emergent non-Fermi liquid at the quantum critical point of a topological phase transition in two dimensions", Phys. Rev. Lett. (2016).
- J. Ahn and B.-J. Yang, "Unconventional topological phase transition in two dimensional systems with space-time inversion symmetry", submitted.

# Quantum states in condensed matters

## " Principle of broken symmetry "

	Magnet	Superconductor
Broken symmetry	Spin rotation	Gauge
Order parameter	Magnetization	Pairing amplitude

- Order parameter ( $M$ ) : measure of broken symmetry

$M \neq 0$   
Ordered phase  
(Symmetry broken)

$M = 0$   
Disordered phase  
(Symmetric)

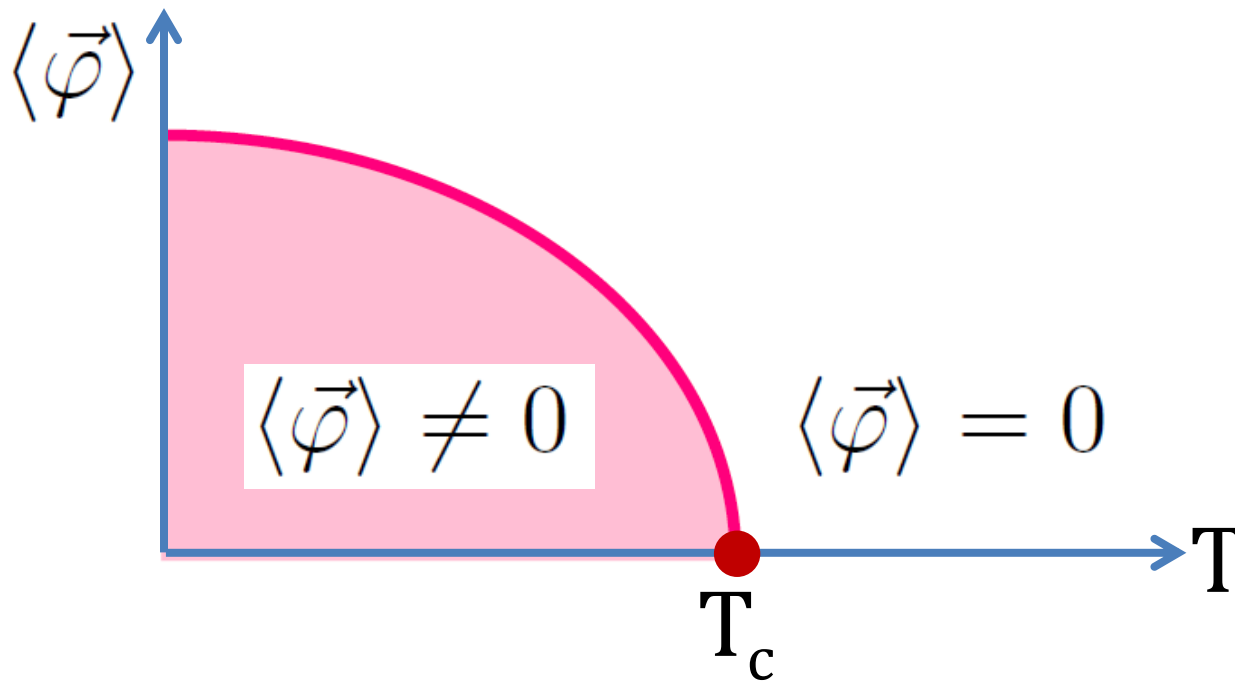


# (Quantum) phase transition

## Ginzburg-Landau theory

Effective action for 3D magnet

$$S_{\text{eff}}(\vec{\varphi}) = \int d^d x \left[ (\partial_\mu \vec{\varphi})^2 + r |\vec{\varphi}|^2 + u (|\vec{\varphi}|^2)^2 \right], \quad \vec{\varphi} \in O(3),$$

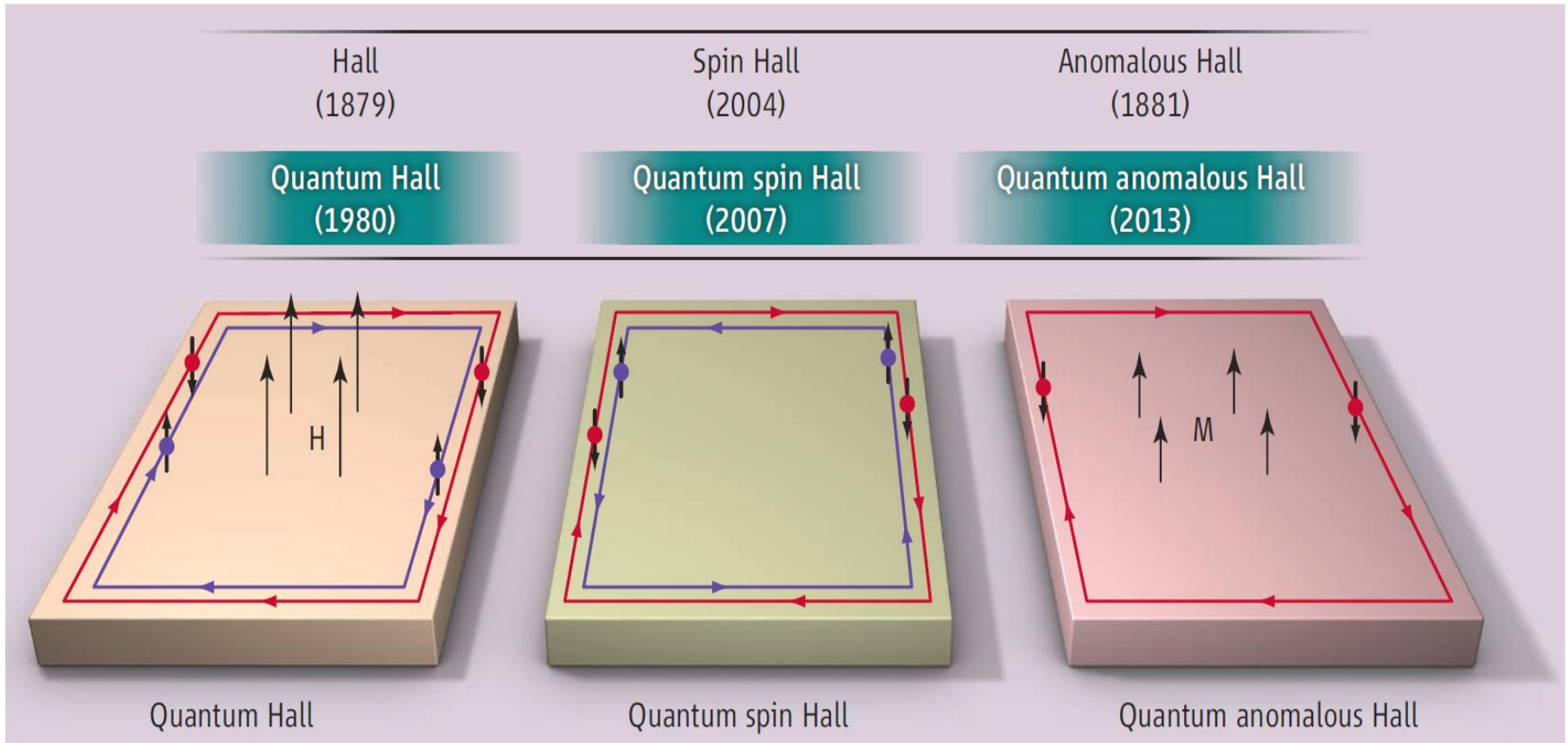


Critical point : Universality



# Topological phases

- A bulk phase is characterized by a topological invariant
- Distinguish gapped phases sharing same symmetries



Seongshik Oh (Science 2013)

# Classification of bulk topological phases

A. P. Schnyder, S. Ryu, A. Furusaki, A.W.W. Ludwig, A. Kitaev

	symmetry			$d$							
	$\mathcal{T}^2$	$\mathcal{C}^2$	$\mathcal{S}^2$	0	1	2	3	4	5	6	7
A	0	0	0	Z	0	Z	0	Z	0	Z	0
AIII	0	0	1	0	Z	0	Z	0	Z	0	Z
AI	1	0	0	Z	0	0	0	2Z	0	$Z_2$	$Z_2$
BDI	1	1	1	$Z_2$	Z	0	0	0	2Z	0	$Z_2$
D	0	1	0	$Z_2$	$Z_2$	Z	0	0	0	2Z	0
DIII	-1	1	1	0	$Z_2$	$Z_2$	Z	0	0	0	2Z
AII	-1	0	0	2Z	0	$Z_2$	$Z_2$	Z	0	0	0
CII	-1	-1	1	0	2Z	0	$Z_2$	$Z_2$	Z	0	0
C	0	-1	0	0	0	2Z	0	$Z_2$	$Z_2$	Z	0
CI	1	-1	1	0	0	0	2Z	0	$Z_2$	$Z_2$	Z

$\mathcal{T}$  : Time-reversal

$\mathcal{C}$  : Particle-hole

$\mathcal{S}$  : Chiral

- Various topological insulators can exist in nature!
- Bulk properties of topological insulators are well-established

Nonzero  
topological invariant

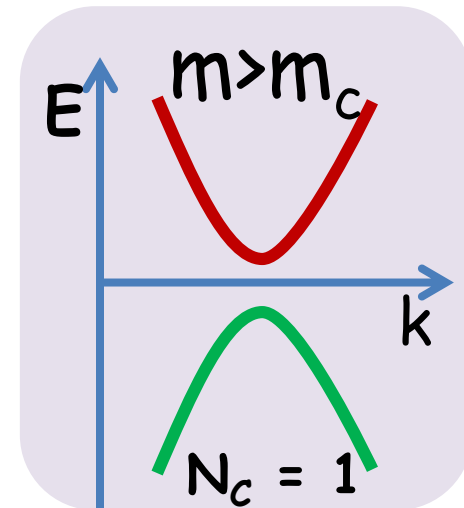
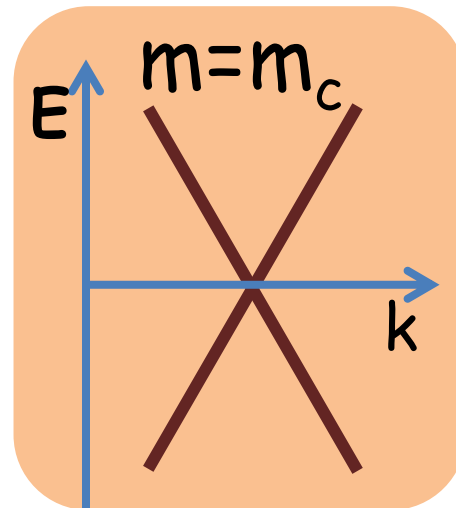
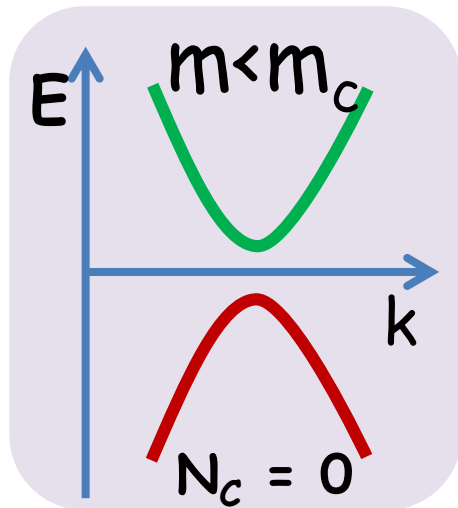
Bulk-boundary  
correspondence

Metallic states  
on the boundary



# How much do we understand topological phase transitions ?

	Broken symmetry phase	Topological phase
Bulk phase	Order parameter	Topological invariant
Phase transition	Ginzburg-Landau theory	Band-crossing theory
Low energy excitations	Critical bosons	Emergent Dirac particles



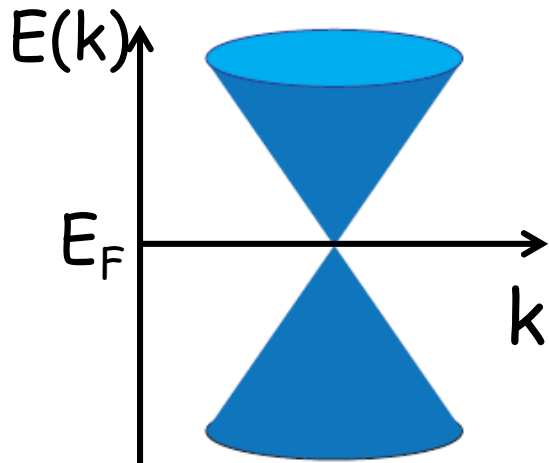
- $m$  = an external control parameter such as pressure, doping, etc.



# Quantum critical point of topological PT

"Criticality of interacting Weyl/Dirac fermions"

$$H_{\text{QCP}} = v_1 k_1 \sigma_1 + v_2 k_2 \sigma_2 + v_3 k_3 \sigma_3$$



Vanishing density of states

$$V_{sc}(r) = \frac{e^2}{\epsilon_0} \frac{1}{r} e^{-q_{TF} r}, \quad q_{TF}^2 \propto D(E_F) = 0$$

Long-range Coulomb potential!

Fermi points (similar to 1D system)

Non-Fermi liquid (Luttinger liquid)



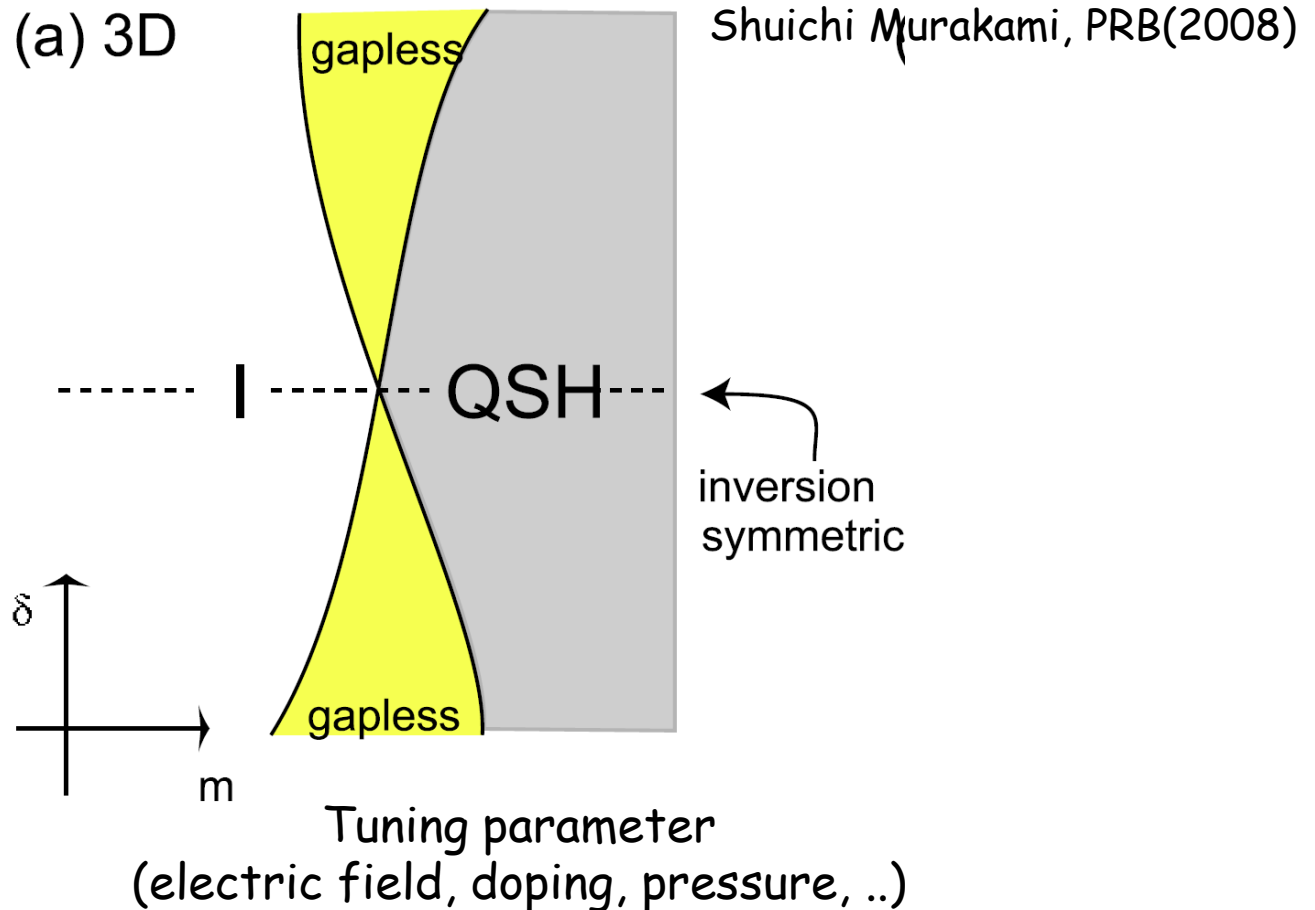
# Outline

1. Novel quantum criticality of topological PT in **3D** systems breaking P or T
2. Novel quantum criticality of topological PT in **2D** systems with PT symmetry or space-time inversion
3. Conclusion

# Symmetry and topological PT

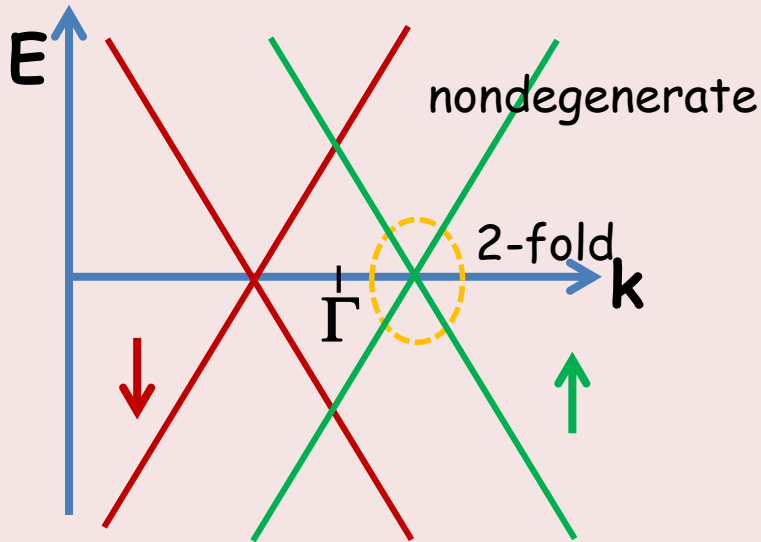
- Symmetry determines the phase diagram
- Two types of phase transitions

## 3D time-reversal symmetric systems



# Symmetry and low energy excitations

Without inversion

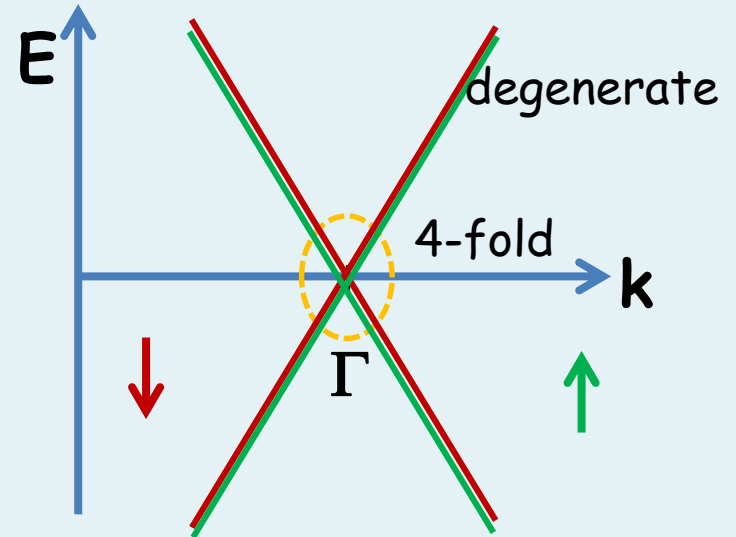


$$H(k) = k_x \sigma_x + k_y \sigma_y + k_z \sigma_z$$

2x2 matrix

"Weyl fermions"

With inversion

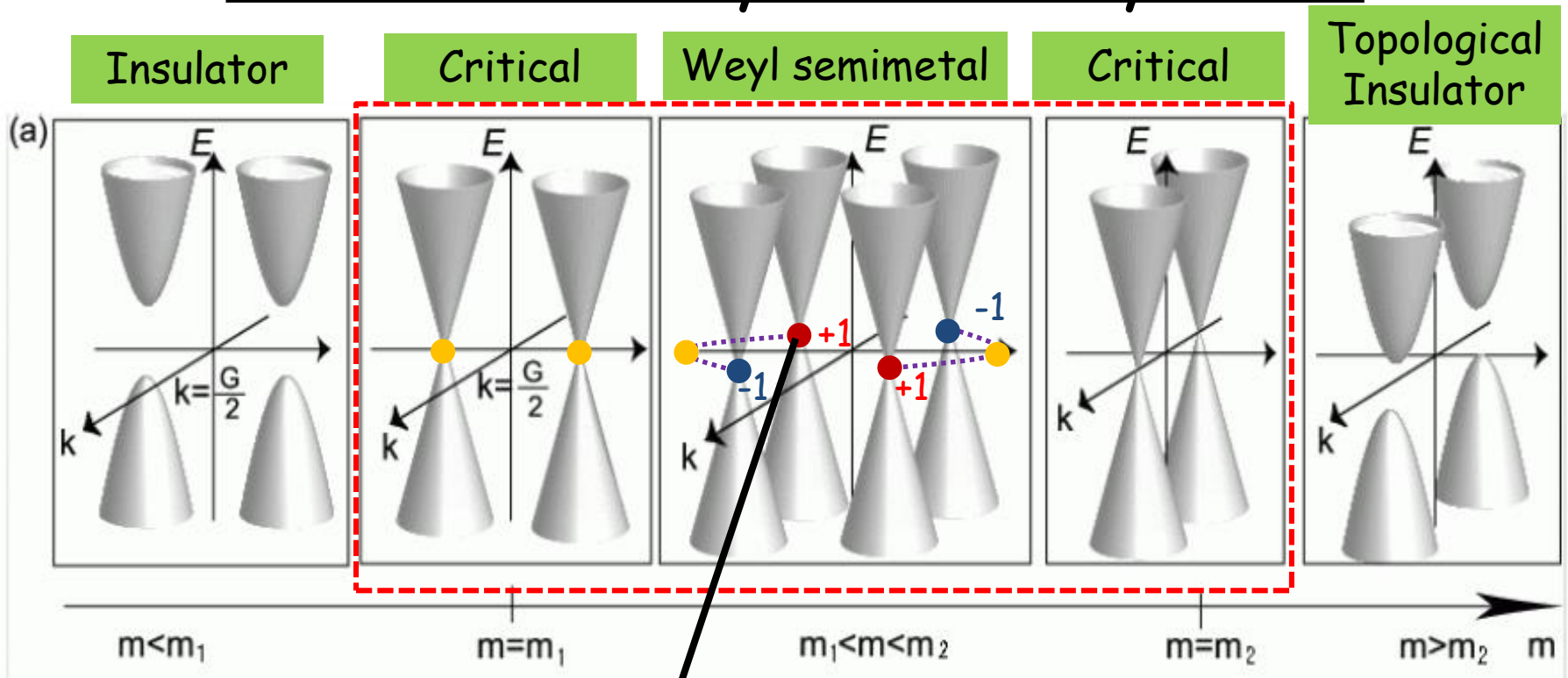


$$H(k) = \begin{bmatrix} k \cdot \sigma & 0 \\ 0 & -k \cdot \sigma \end{bmatrix}$$

4x4 matrix

"Dirac fermions"

# 3D noncentrosymmetric systems

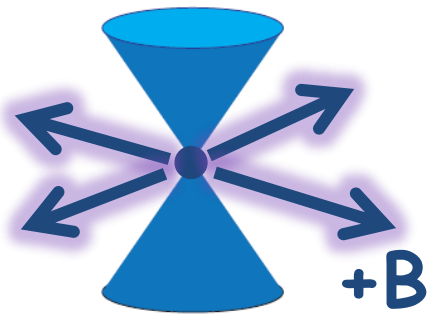


S. Murakami, New. J. Phys. (2007)

**Weyl point carries a monopole charge!**

$$\mathbf{B} = \nabla \times \mathbf{A}(\mathbf{k}) \quad \mathbf{A}(\mathbf{k}) = i \langle u_{\mathbf{k}} | \nabla_{\mathbf{k}} | u_{\mathbf{k}} \rangle$$

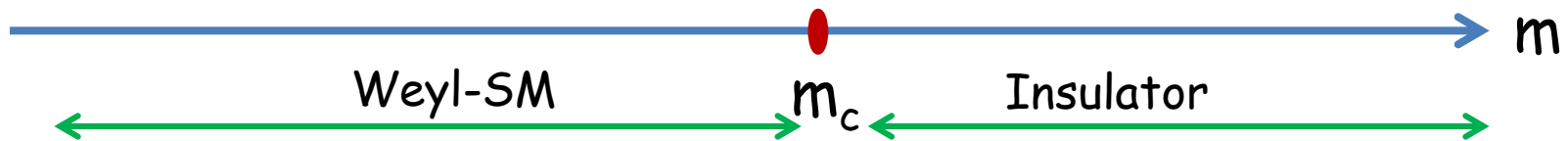
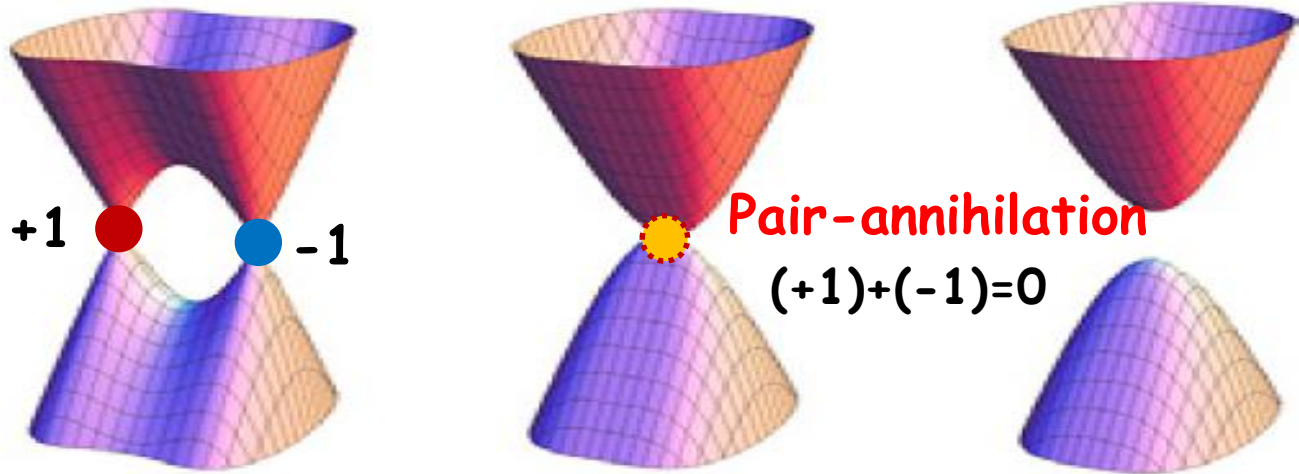
$$\frac{1}{2\pi} \nabla_{\mathbf{k}} \cdot \mathbf{B}(\mathbf{k}) = \pm \delta(\mathbf{k}) \quad \left( \mathbf{B}(\mathbf{k}) \propto \frac{1}{k^2} \hat{k} \right)$$



# Transition from a Weyl SM to an insulator

The chiral charge of a Weyl point guarantees its stability

**→** A pair-annihilation is required

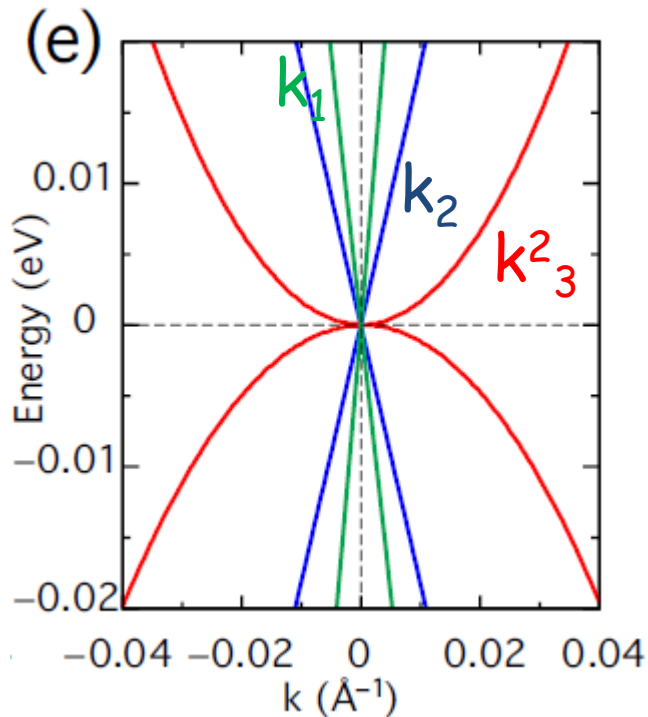


$$H = k_1 \sigma_1 + k_2 \sigma_2 + [(m - m_c) + k_3^2] \sigma_3$$

# Anisotropic Weyl fermions at QCP

$$H_{\text{QCP}} = v k_1 \sigma_1 + v k_2 \sigma_2 + A k_3^2 \sigma_3$$

(S. Murakami)

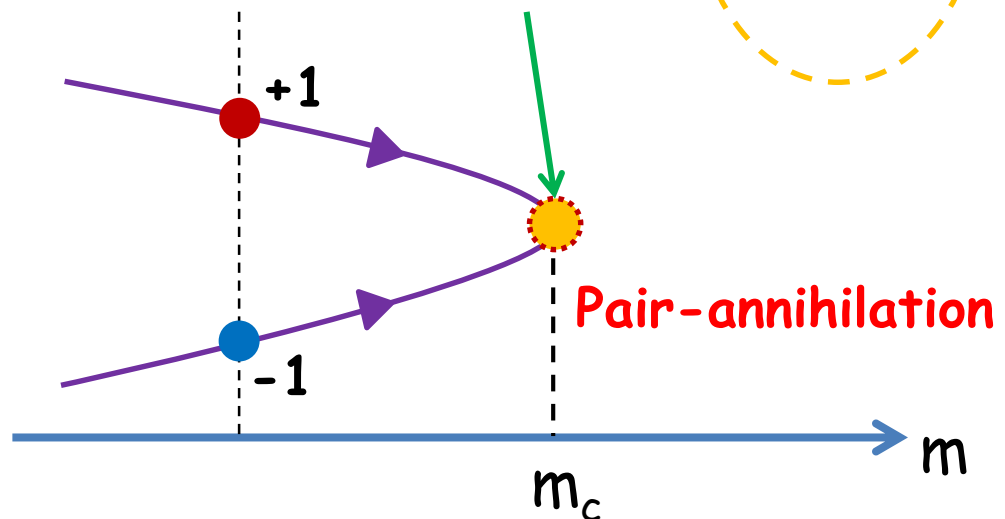


Anisotropic dispersion at QCP  
: direct consequence of zero chiral charge!

$$H_{+Weyl} = v k_1 \sigma_1 + v k_2 \sigma_2 + v k_3 \sigma_3$$

+

$$H_{-Weyl} = v k_1 \sigma_1 + v k_2 \sigma_2 - v k_3 \sigma_3$$



# Screening at QCP

- Polarization

$$\Pi(\mathbf{q}) = \text{Diagram} = -B_{\perp} q_{\perp}^{3/2} - B_3 q_3^2$$

- Screened Coulomb interaction

$$V_C(\mathbf{q}) \sim \frac{1}{q_{\perp}^{3/2} + \eta q_3^2}$$

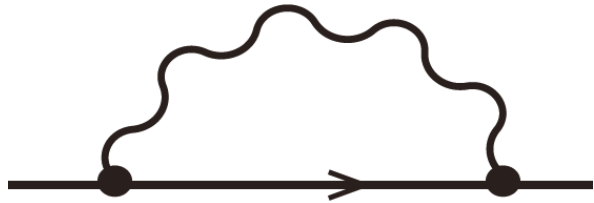
“Anisotropic partial screening!”

In real space :  $V_C(r_{\perp}, z = 0) \sim \frac{1}{r_{\perp}^{5/4}}, \quad V_C(r_{\perp} = 0, z) \sim \frac{1}{|z|^{5/3}},$

Effective Coulomb interaction between fermions became weaker !  
(Screened Coulomb interaction is irrelevant)

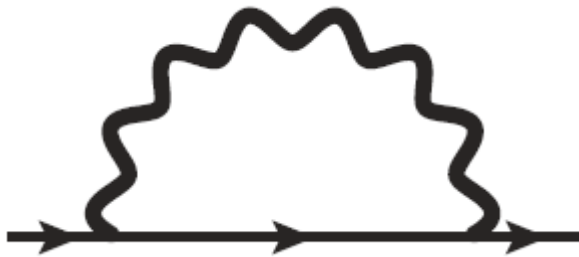
# Irrelevance of screened Coulomb potential

Bare Coulomb potential



$$\sim \text{Log}(\Lambda/E)$$

Screened Coulomb potential



$$\sim \text{finite}$$



# New quantum criticality in 3D

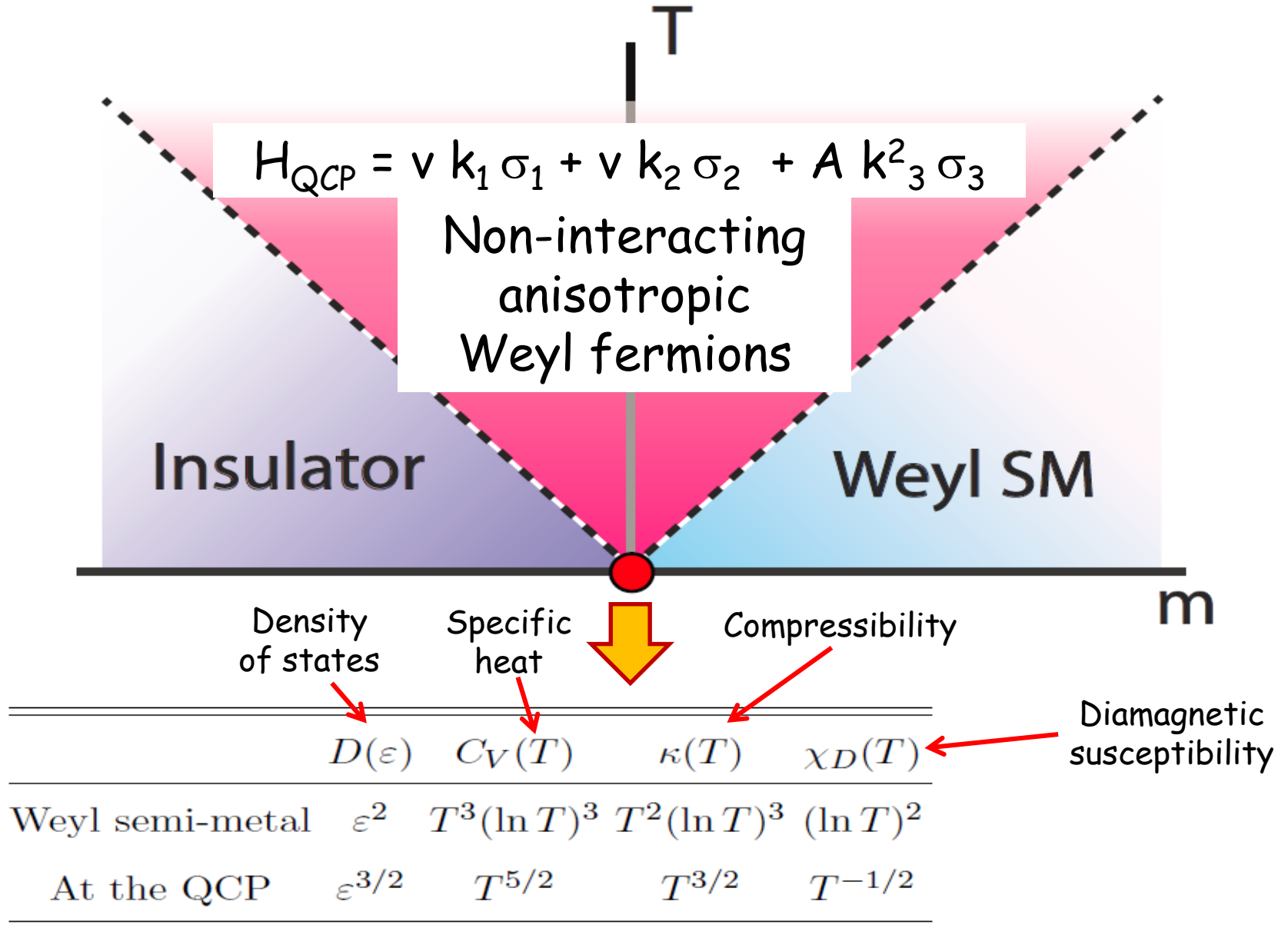
## Unique metallic properties at the QCP!

- Novel screening effect
- New emergent fermions

	Screened Coulomb potential $V_c(q)$	Effective interaction between fermions
Conventional 3D Metal	$\frac{1}{q^2 + q_{TF}^2}$	Marginal
3D isotropic Weyl/Dirac SM	$\frac{1}{q^2}$	Marginally irrelevant
Anisotropic QCP	$\frac{1}{q_{\perp}^{3/2} + q_3^2}$	Irrelevant

(B.-J. Yang, E. G. Moon, H. Isobe, N. Nagaosa, Nature Physics, 2014)

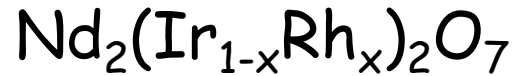
# Physical quantities at QCP



(B.-J. Yang, N. Nagaosa et al., PRL, 2013)

# Candidate 1: pyrochlore iridates

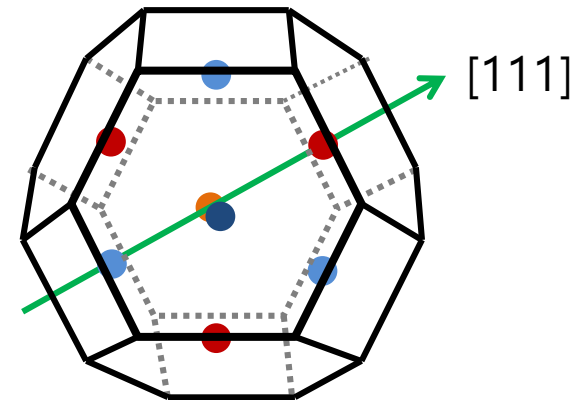
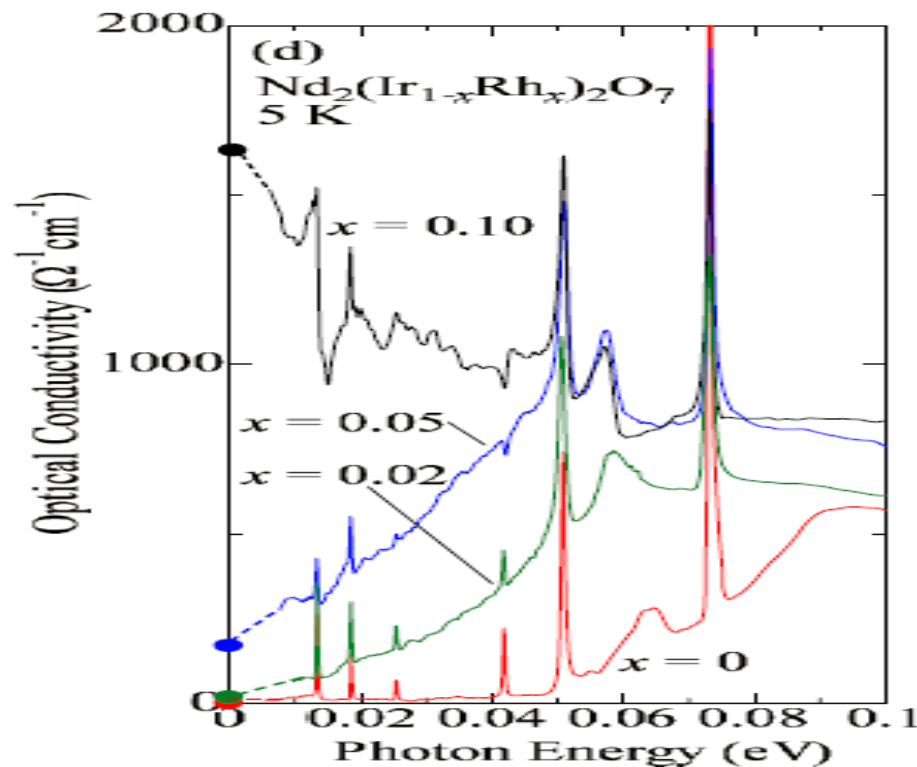
Insulator-semimetal transition is achieved



Gapped  
Insulator

3D Weyl  
Semimetal

x



$$\sigma^{(N)}(\omega) \approx N \frac{e^2}{12h} \frac{\omega}{v_F}$$

Hosur, Vishwanath

Ueda, Fujioka, Tokura, PRL (2012)

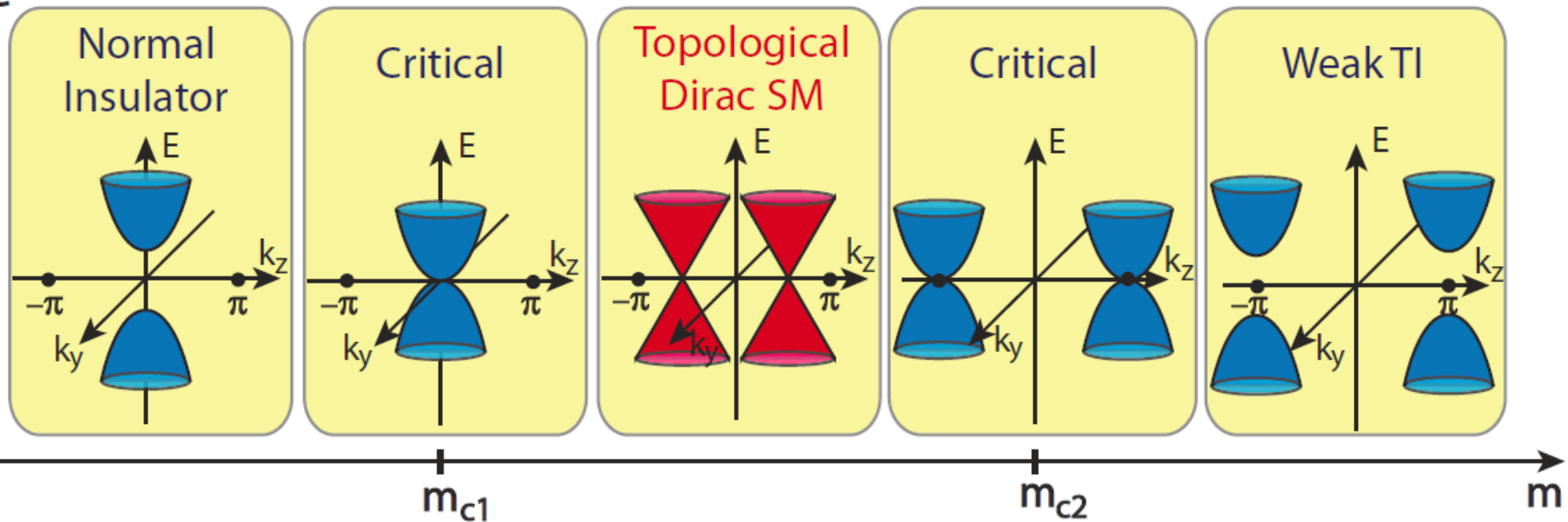
# Candidate 2: 3D Dirac semimetals

$\text{Cd}_3\text{As}_2$ ,  $\text{Na}_3\text{Bi}$ ,  $\text{ZrTe}_5$  (Q.Li's and I. Pletikosie's talks)

Liu, Shen, Fang, Dai, Chen (Science,2014); Xu, Bansil, Cava, Hasan (arXiv:1312.7624);  
Neupane, Hasan (arXiv:1309.7892); Borisenko, Cava (arXiv:1309.7978);

- Time-reversal, inversion, uniaxial rotation symmetries

C



B.J. Yang and N. Nagaosa, Nature Comm.2014

- A single anisotropic Weyl fermion appears at QCP
- Quadratic dispersion along k<sub>z</sub> direction at QCP

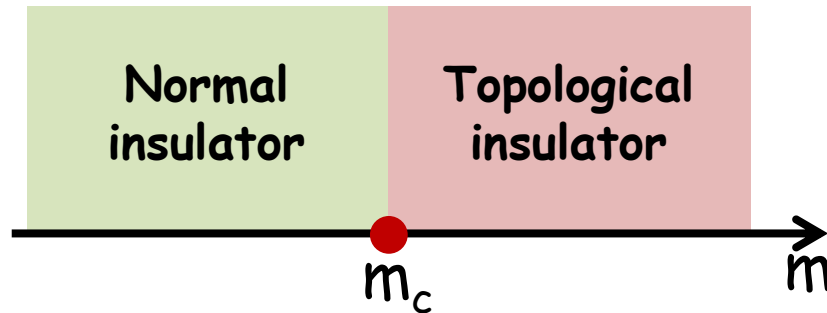
# Outline

1. Novel quantum criticality of topological PT in 3D systems breaking P or T

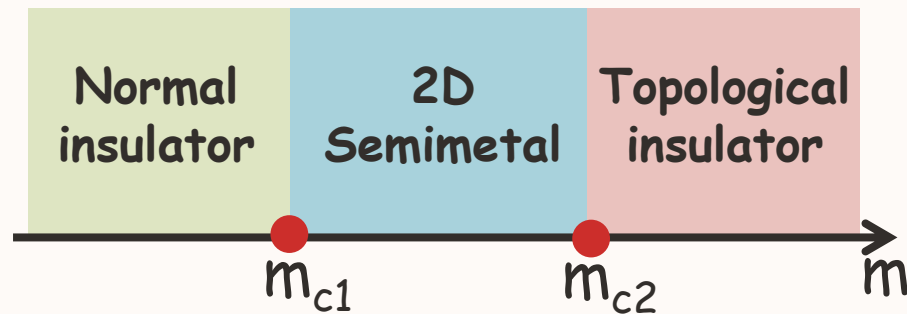
2. Novel quantum criticality of topological PT in 2D systems

3. Conclusion

# Topological phase transition in 2D

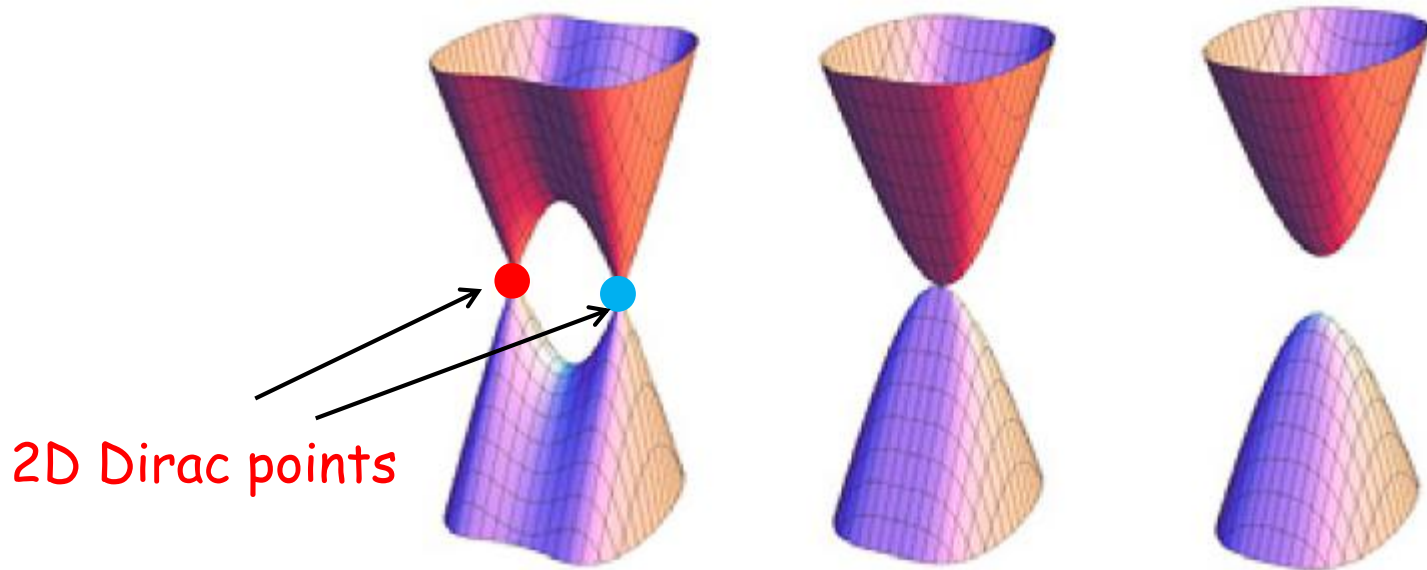


Single gapless point



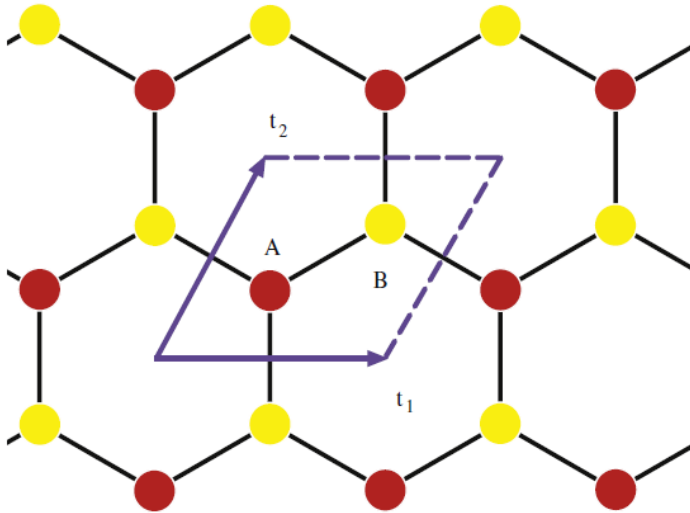
Stable gapless phase

# Merging transitions in 2D ?

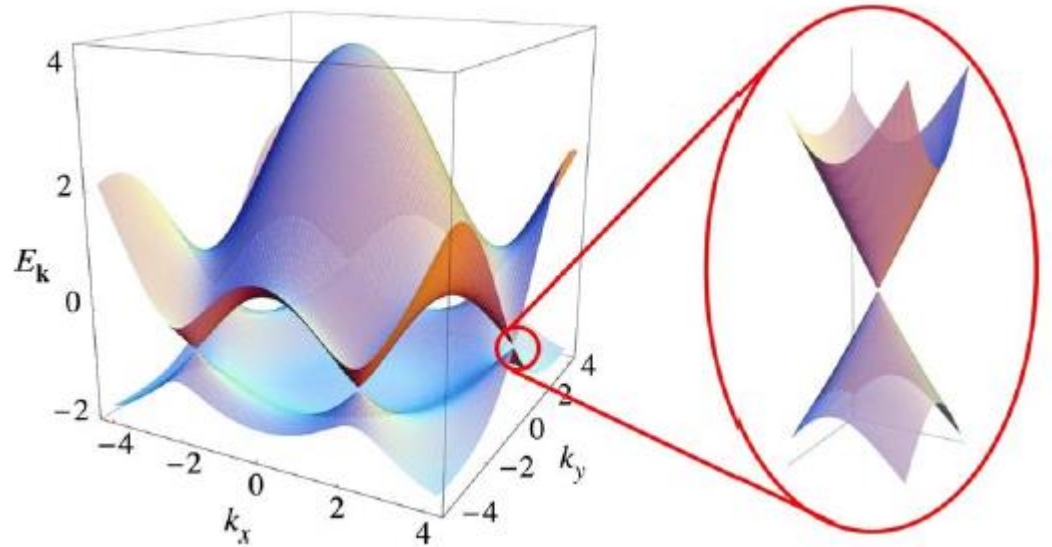


- Each gap-closing point should be stable (quantized topological charge)
- The location of gap-closing point should be tunable

# Stable Dirac points in graphene



Vozmediano et al. Phys. Rep. (2010)



Castro Neto et al. Rev. Mod. Phys. (2009)

Quantized Berry phase:  $\exp i \oint_C \mathbf{A} \cdot d\mathbf{k} = -1$

- i) Time-reversal and inversion symmetries
- ii) Vanishing spin orbit coupling

Time-reversal:  $F(\mathbf{k}) = -F(-\mathbf{k})$

Inversion:  $F(\mathbf{k}) = F(-\mathbf{k})$

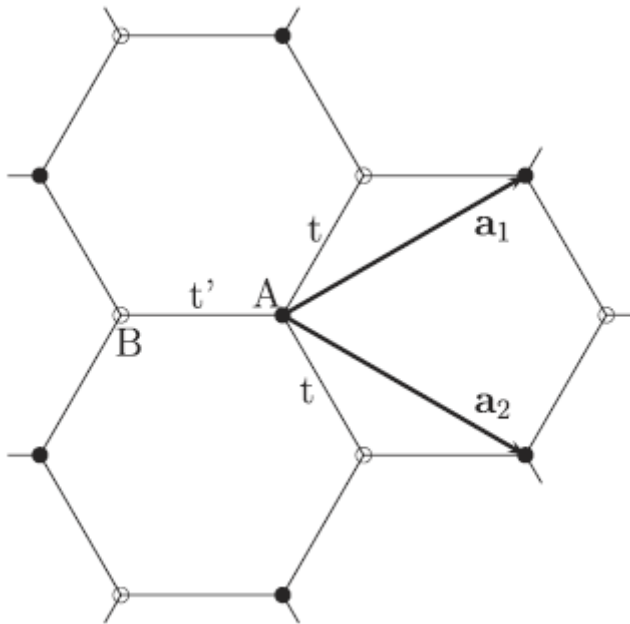
$$F(\mathbf{k}) = 0$$



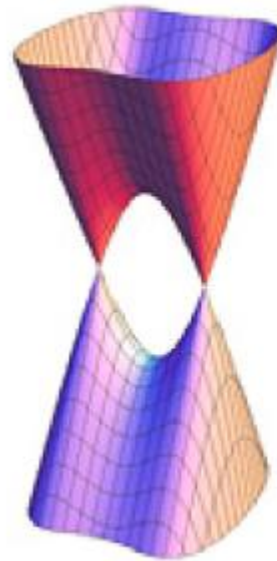
# How to move the Dirac points

- Modulate n.n. hopping amplitudes

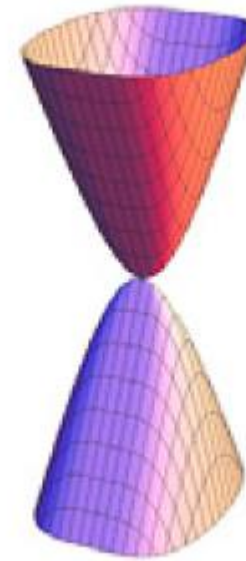
(Hasegawa , Konno, Nakano, Kohmoto (2006))



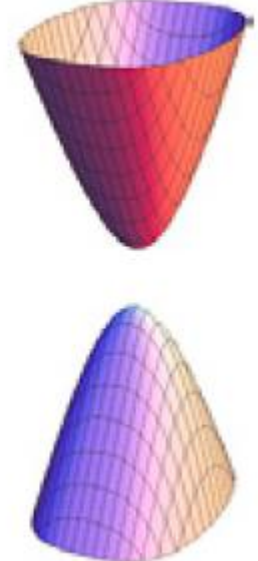
$t < t' < 2t$



$t' = 2t$



$t' > 2t$

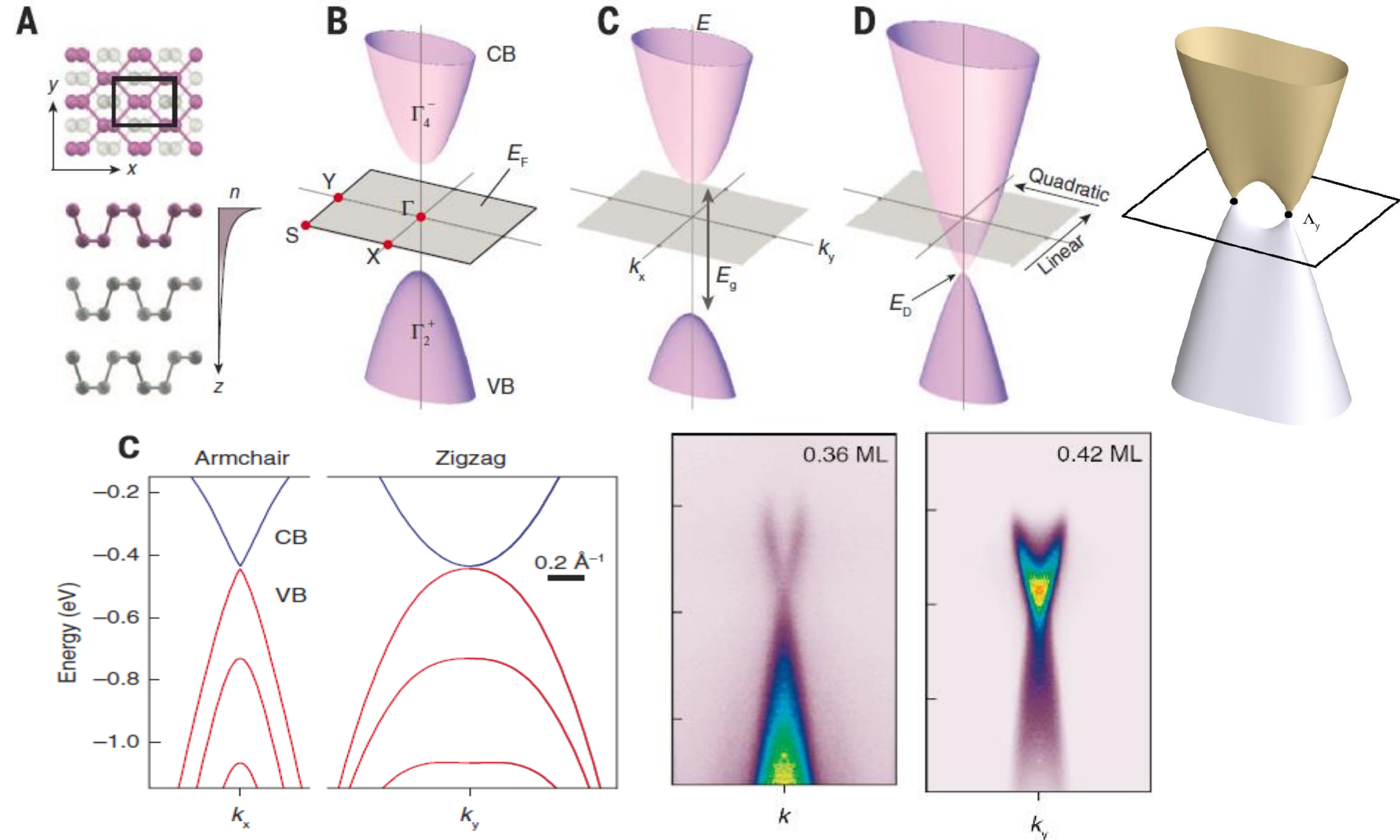


$$H = [(t' - 2t) + k_1^2] \sigma_1 + k_2 \sigma_2$$

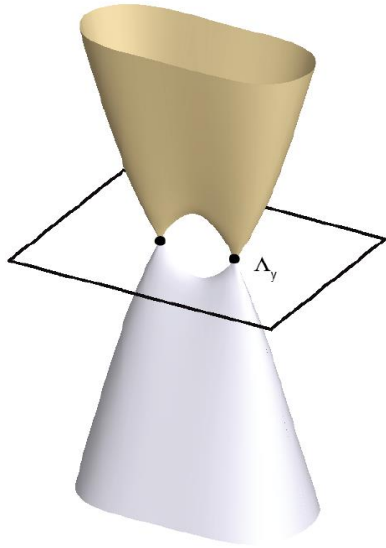
# 2D anisotropic Weyl in black phosphorus

(J Kim, S. S. Baik, H.J.Choi, K.S.Kim, etal. Science (2015))

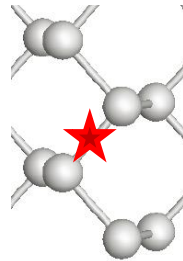
E field  $\rightarrow$



# Symmetry protection of Dirac points?



- Inversion is broken due to vertical electric field
- However,  $C_{2z}$  is effectively an inversion in 2D



$$C_{2z} : (x, y, z) \rightarrow (-x, -y, z)$$

$$F(k_x, k_y) = F(-k_x, -k_y)$$

- Space-time inversion  $I_{ST} = C_{2z} T : (x, y, t) \rightarrow (-x, -y, -t)$

(C. Fang and L. Fu, PRB)

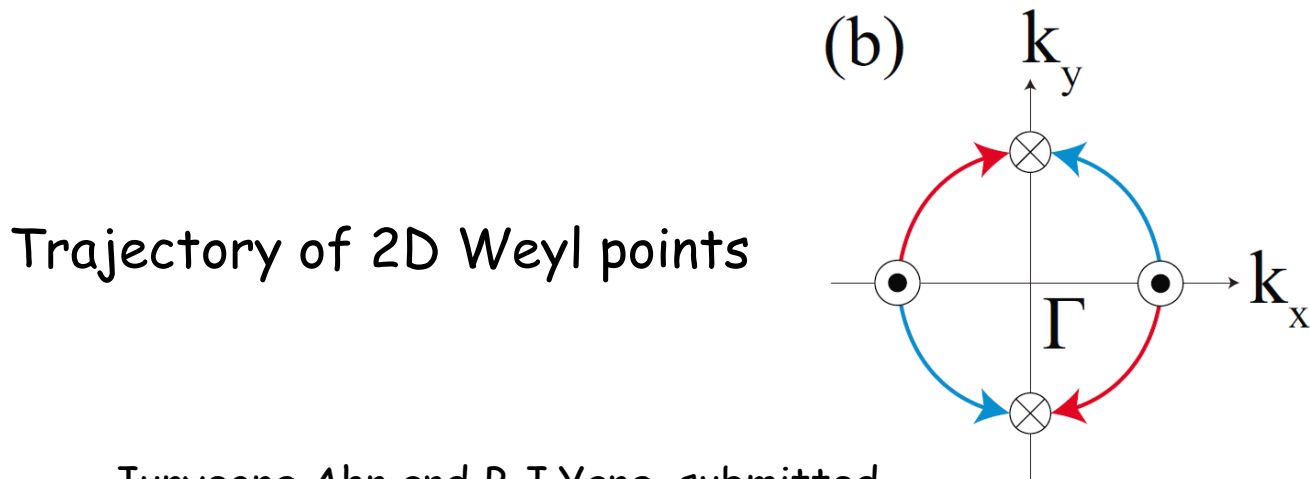
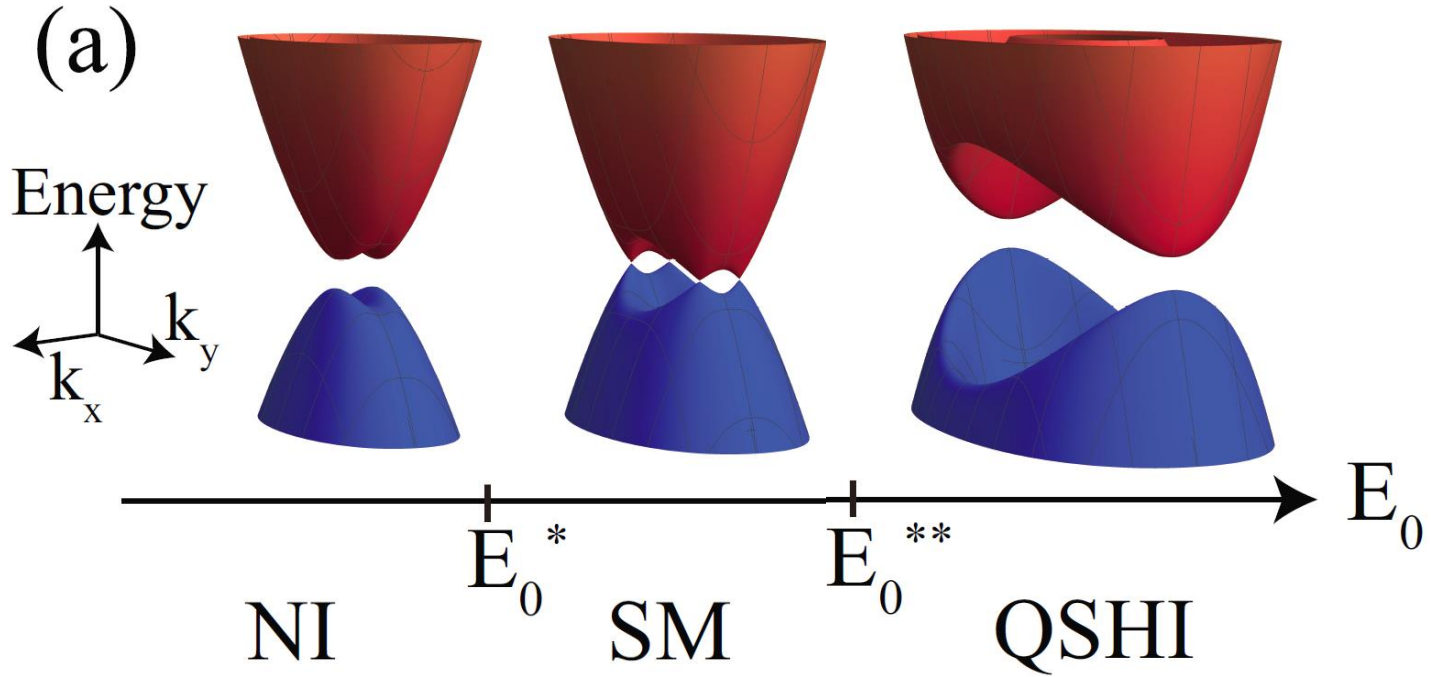
$$F(k_x, k_y) = 0$$

“Quantized Berry phase”

- $(I_{ST})^2 = 1$  with/without spin-orbit coupling (No Kramers degeneracy)  
cf)  $(PT)^2 = -1$  (+1) with (without) spin-orbit coupling in graphene
- Berry phase is also quantized in the presence of spin-orbit coupling

**Unique property of black phosphorus system!**

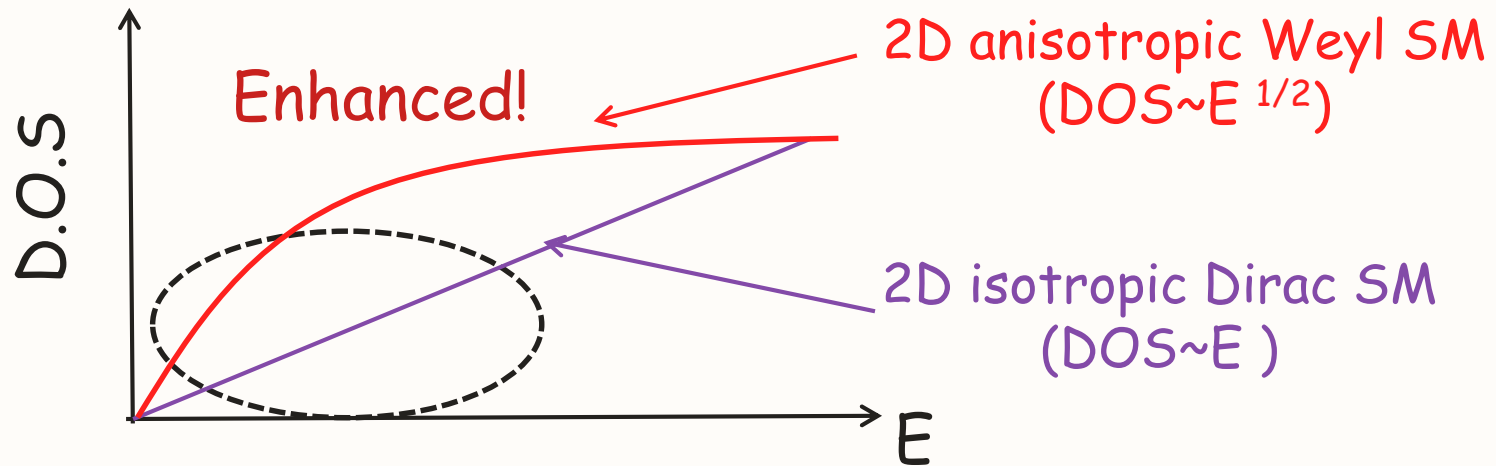
# Band crossing in the presence of SOC



# Interacting 2D anisotropic Weyl fermion

$$\mathcal{S} = \int_{\mathbf{r}, \tau} \psi^\dagger \{ \partial_\tau - A\sigma_x \partial_x^2 - i v \sigma_y \partial_y \} \psi + \frac{e^2}{2\epsilon} \int_{\mathbf{r}, \mathbf{r}', \tau} \frac{\psi^\dagger(\mathbf{r}) \psi(\mathbf{r}) \psi^\dagger(\mathbf{r}') \psi(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

## Enhanced density of states



# Anisotropic screening

- Static polarization:

$$\Pi(\mathbf{q}) = -b_x |q_x| - b_y \sqrt{|q_y|}$$

$$V_C(\mathbf{q}) = \frac{1}{\sqrt{q_x^2 + q_y^2} + b_x |q_x| + b_y \sqrt{|q_y|}} \sim \frac{1}{|q_x| + \sqrt{|q_y|}}$$

(See also Gil-Young Cho and Eun-Gook Moon, arXiv:1508.03777)

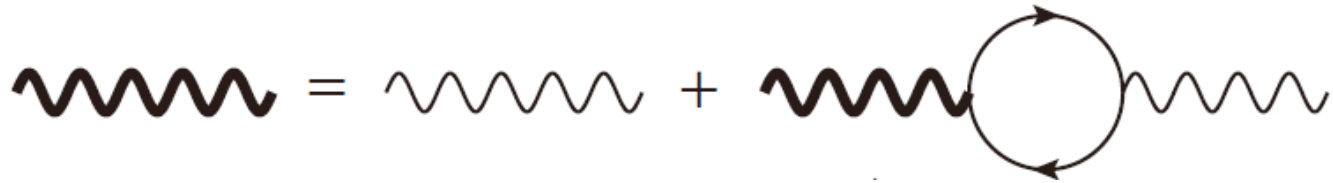
- Anisotropic Coulomb potential

$$V_C(x, y = 0) \sim \frac{1}{x^2}, \quad V_C(x = 0, y) \sim \frac{1}{|y|},$$

cf) 3D QCP:  $V_C(r_\perp, z = 0) \sim \frac{1}{r_\perp^{5/4}}, \quad V_C(r_\perp = 0, z) \sim \frac{1}{|z|^{5/3}},$

# One loop RG with large-N expansion

$$S = \int d\tau d^2x \psi_a^\dagger [(\partial_\tau + ig\phi) + H_0] \psi_a + \frac{1}{2} \int d\tau d^3x (\partial_i \phi)^2,$$



The diagram shows a wavy line on the left, followed by an equals sign, then a wavy line, a plus sign, and another wavy line. This second wavy line is connected to a circular loop with two arrows indicating a clockwise direction. The loop is then connected to a final wavy line on the right.

$$D^{-1}(\Omega, \mathbf{q}) = |\mathbf{q}| - N\alpha\Pi(\Omega, \mathbf{q}),$$

- Coupling constant:  $N\alpha = N e^2/v$
- Both weak coupling ( $N\alpha \ll 1$ ) and strong coupling ( $N\alpha \gg 1$ ) can be studied
- Dynamics of polarization is fully considered:

Quasi-particle residue:

$$Z = \frac{1}{1 + \frac{\partial \Sigma(\omega)}{\partial (i\omega)}}$$

# One loop RG with large-N expansion



$$H_{\text{QCP}} = A k_1^2 \sigma_1 + v k_2 \sigma_2$$

- RG equations for quasiparticle residue ( $Z$ ), velocity ( $v$ ), and inverse mass ( $A$ )

$$\dot{Z}(l) = -\gamma_z(l)Z(l), \quad \dot{v}(l) = \gamma_v v(l), \quad \dot{A}(l) = \gamma_A A(l)$$



# Strong coupling limit $N\alpha \gg 1$

$$\frac{v(E)}{v} = \left(\frac{\Lambda}{E}\right)^{\gamma_v}, \quad \frac{A(E)}{A} = \left(\frac{\Lambda}{E}\right)^{\gamma_A}, \quad Z(E) = \left(\frac{\Lambda}{E}\right)^{-\gamma_z + \frac{\sqrt{15}}{\pi^{3/2}} \frac{\gamma_v}{N} l}$$

$$\gamma_v = \frac{0.3625}{N}, \quad \gamma_A = \frac{0.1261}{N}, \quad \gamma_z = \frac{\sqrt{15} \log N}{\pi^{3/2} N}$$

- $v, A$  all acquire finite anomalous dimension

➔ **Reduced dynamical exponent, enhanced anisotropy**

$$\omega(k_x) \sim Ak_x^2 \sim k_x^{2-2\gamma_A} \quad \omega(k_y) \sim vk_y \sim k_y^{1-\gamma_v}$$

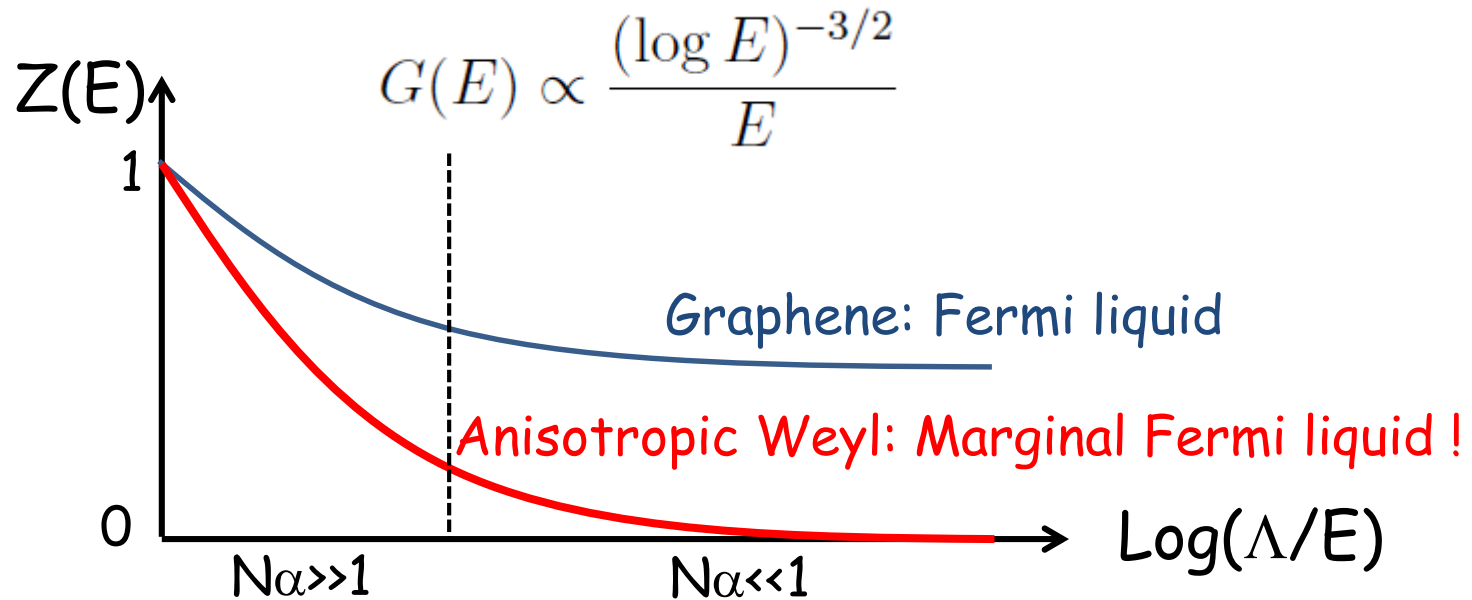
- Fermion propagator acquires a **non-Fermi liquid** form

$$G(E) \propto \frac{1}{E^{1-\gamma_z}}$$

- Similar to the strong coupling behavior in graphene (D.T. Son, 2007)

# Weak coupling limit $N\alpha \ll 1$

- Fermion propagator acquires a **marginal-Fermi liquid** form

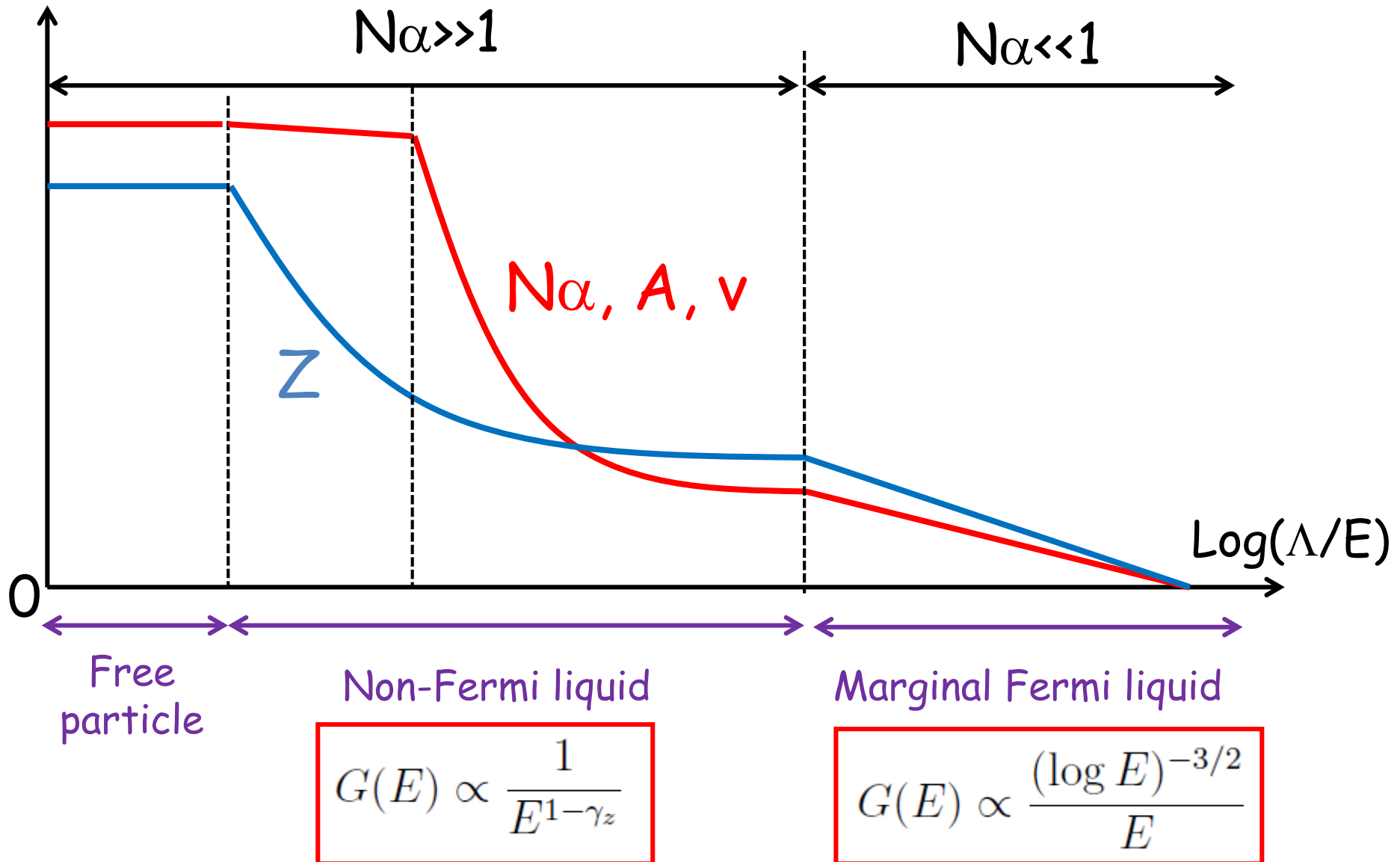


$$D^{-1}(\Omega = 0, \mathbf{q}) = |\mathbf{q}| + N\alpha \left[ |q_x| + \sqrt{|q_y|} \right]$$

cf) in graphene:  $D^{-1}(\Omega = 0, \mathbf{q}) = |\mathbf{q}| + N\alpha |\mathbf{q}|,$

$$v(l) = \frac{g^2}{4\pi^2} l, \quad Z(l) = l^{-3/2}, \quad A(l) = Ae^{\log^2 l}$$

# Evolution of quasi-particles properties

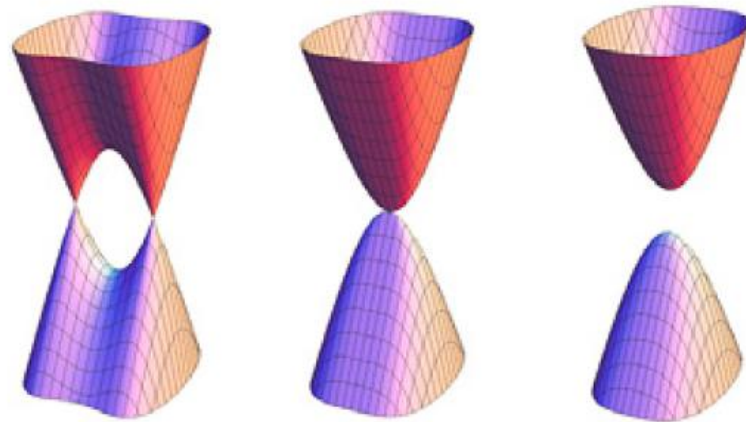


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1. Novel quantum criticality of topological PT in **3D** systems breaking P or T
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# Novel quantum criticality of TPT

- Critical point of semimetal-insulator transition



	Screened Coulomb potential $V_c(q)$	Quasi-particle
2D anisotropic QCP	$\frac{1}{ q_x  +  q_y ^{1/2}}$	Marginal Fermi liquid
3D Anisotropic QCP	$\frac{1}{q_{\perp}^{3/2} + q_3^2}$	Free fermions