

# The ups and downs of quantum criticality in cuprates.

Jan Zaanen



Universiteit  
Leiden



STANFORD



# Condensed matter physics in action.

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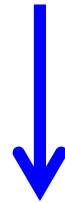
- 1. “Stoquastic” quantum criticality: an introduction.**
- 2. The “ups”: the nearly quantum critical charge order in cuprates revealed by the RIXS machine.**
- 3. The “downs”: ARPES demonstrates that the big deal cuprate strange metal has nothing to do with a quantum phase transition**
- 4. Theoretical epilogue: fermion signs, dense entanglement and the demise of semiclassics.**

# Quantum field theory = Statistical physics.



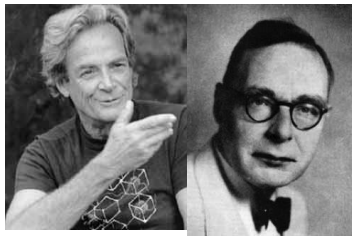
$$Z = \sum_{\text{configs.}} e^{-\frac{E_{\text{config}}}{k_B T}}$$

Path integral mapping



“Thermal QFT”, Wick rotate:

$$t \rightarrow i\tau$$



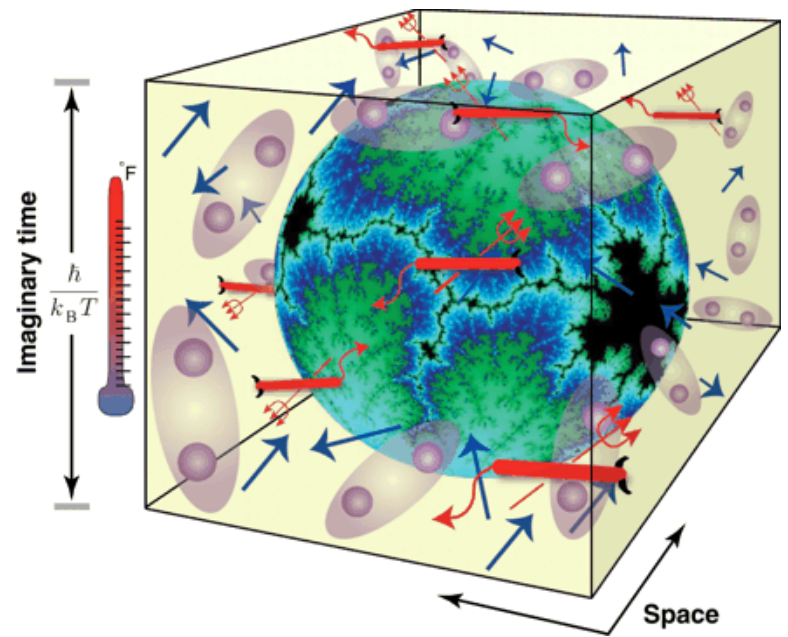
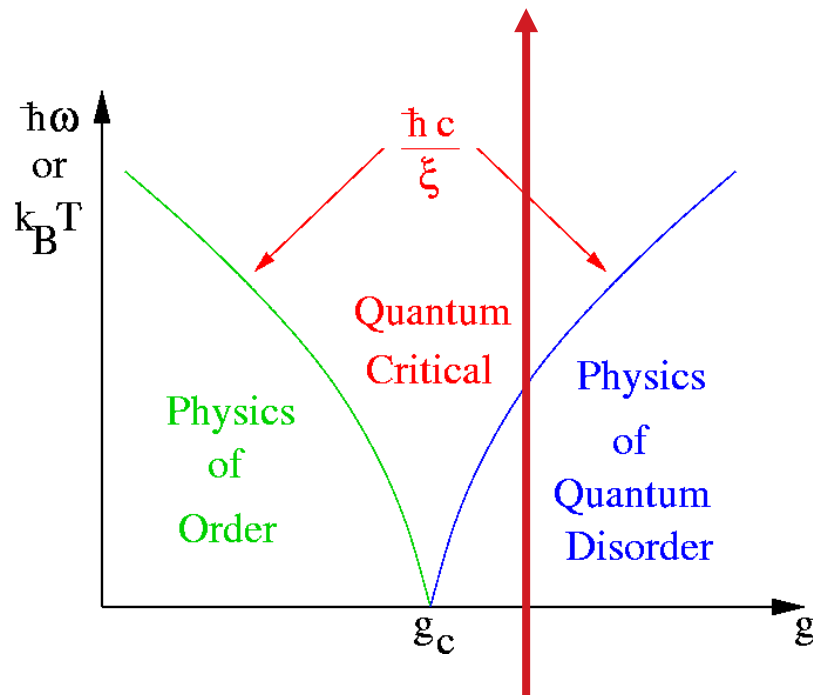
$$Z_{\hbar} = \sum_{\text{worldhistories}} e^{-\frac{S_{\text{history}}}{\hbar}}$$

**But generically: the quantum partition function is not probabilistic: “sign problem” or “non-stoquastic” = no mathematical control!**

$$Z_{\hbar} = \sum_{\text{worldhistories}} (-1)^{\text{history}} e^{-\frac{S_{\text{history}}}{\hbar}}$$

# Quantum Phase transitions

Quantum scale invariance emerges naturally at a zero temperature continuous phase transition driven by quantum fluctuations:



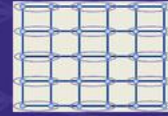
JZ, Science 319, 1205 (2008)

# Stoquastic quantum phase transitions.



Chakravarty  
1988

QUANTUM  
PHASE  
TRANSITIONS  
Second Edition



Subir Sachdev

**Quantum critical regime:**  $k_B T \gg \frac{\hbar c}{\xi}$

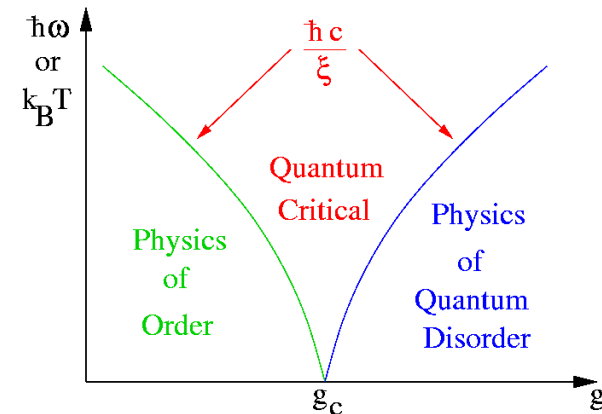
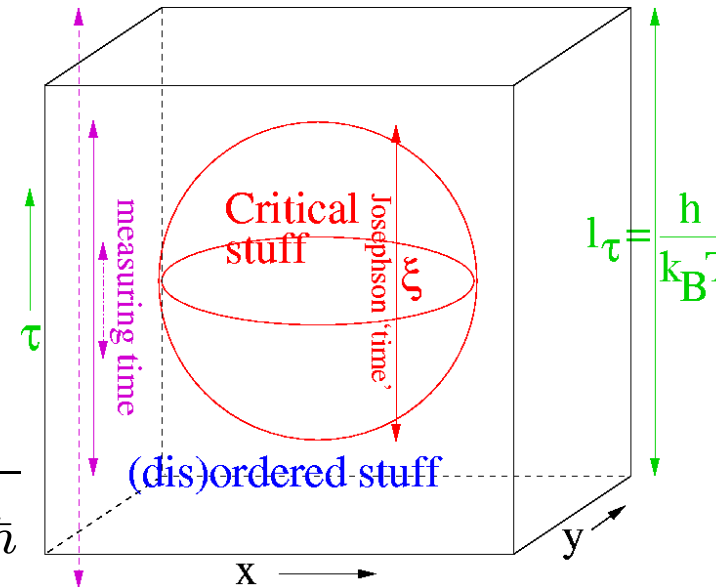
$$\hbar \omega > k_B T : \chi(\omega) \sim \frac{1}{(i\omega)^{2-\eta}}$$

**Non-conserved order parameter:**

$$\hbar \omega < k_B T : \chi(\omega) \sim \frac{1}{T^{2-\eta}} \frac{1}{1 - i\omega \tau \hbar}$$

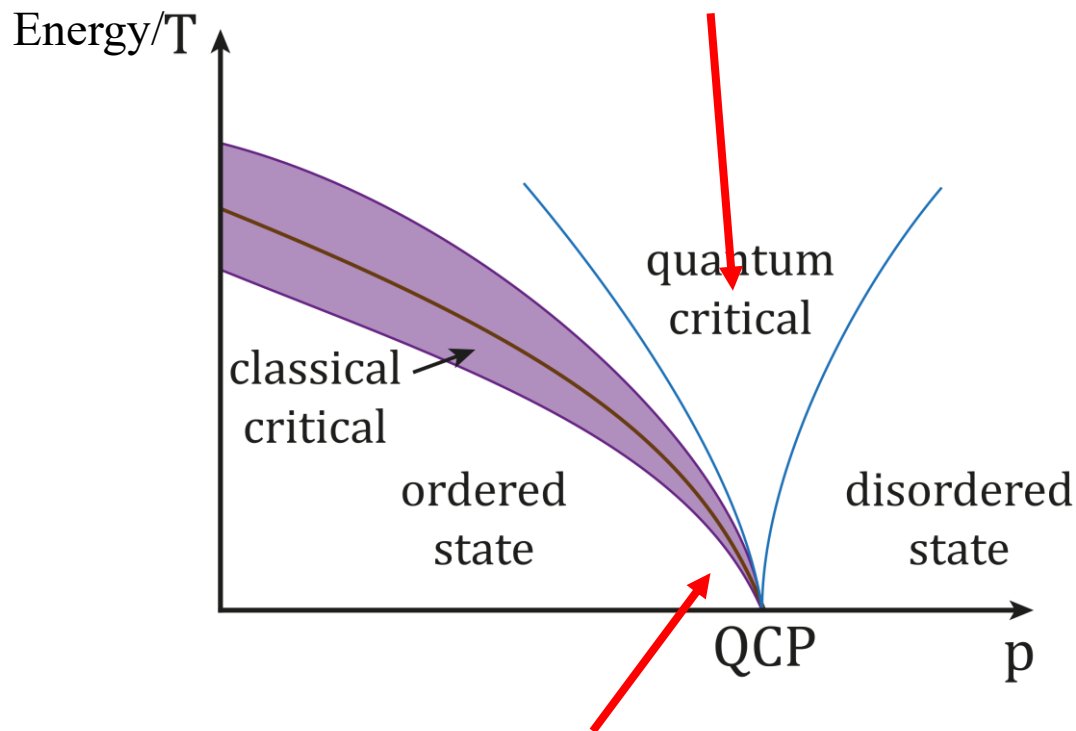
**Planckian dissipation:**

$$\tau \hbar \simeq \frac{\hbar}{k_B T}$$



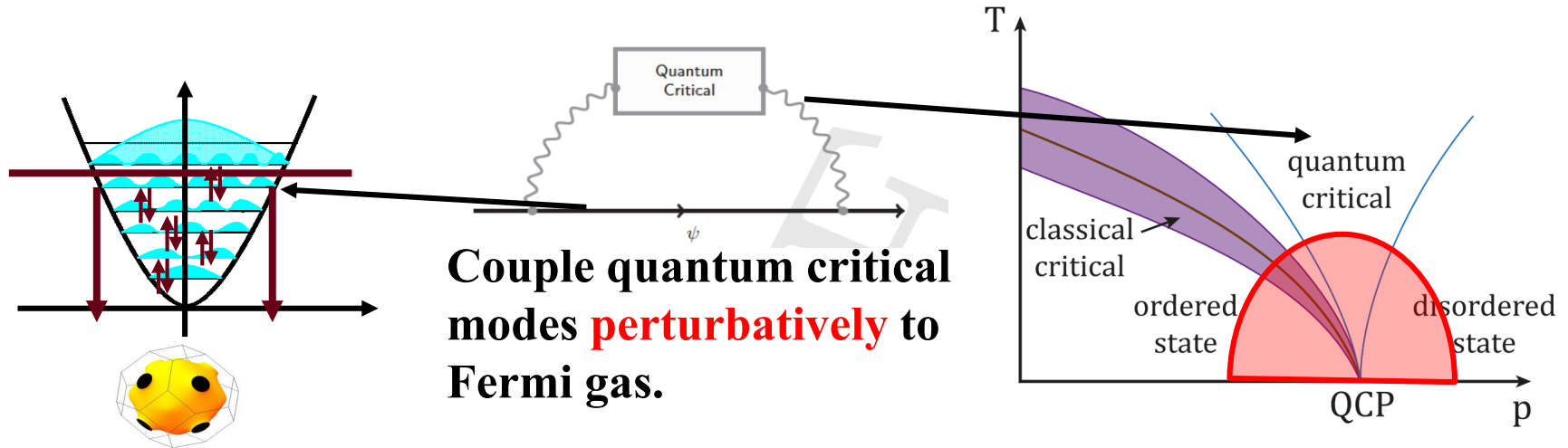
# ”Classical” gets renormalized .

**Scale invariance** is dynamically generated “inside the wedge” anchored at an **isolated point** in coupling constant space:



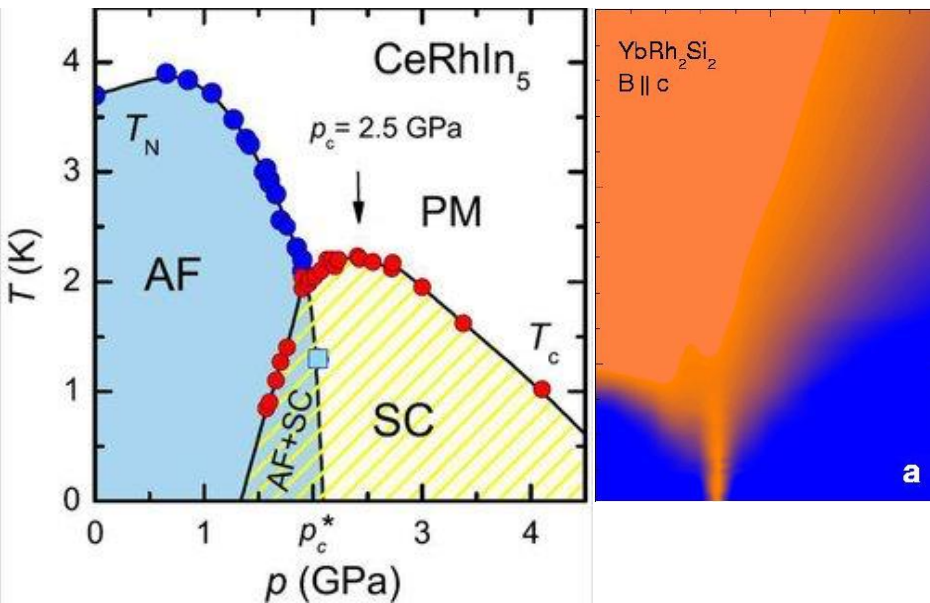
The classical order parameter and its stiffness are weakening approaching the “QCP”.

# Quantum critical points in metals: “Hertz-Millis”(1975).

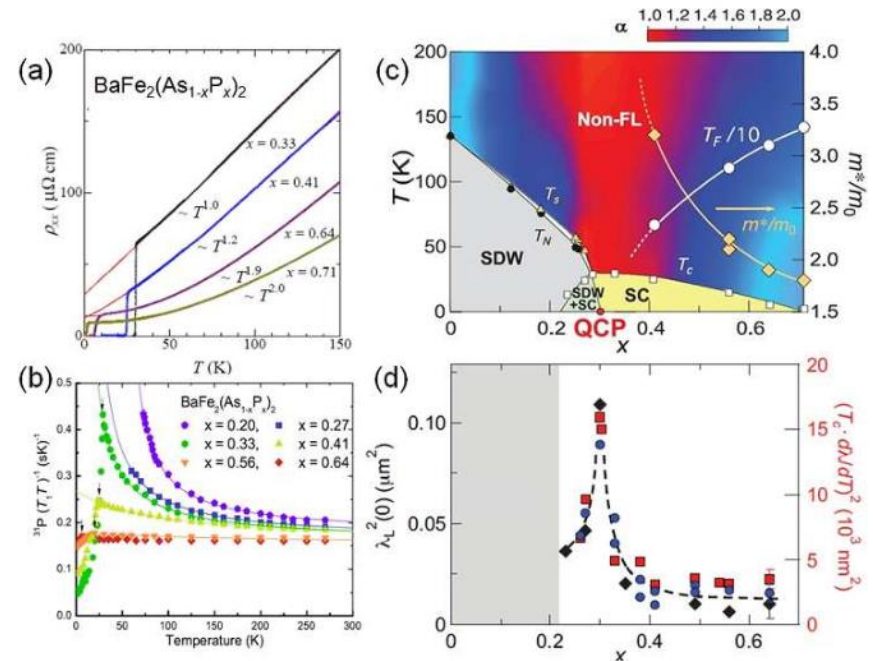


- Fermions acquire anomalous damping
- The quantum critical modes act as “pairing glue” bosons causing the **superconducting dome centered at the QCP**.

# Quantum critical points: examples.



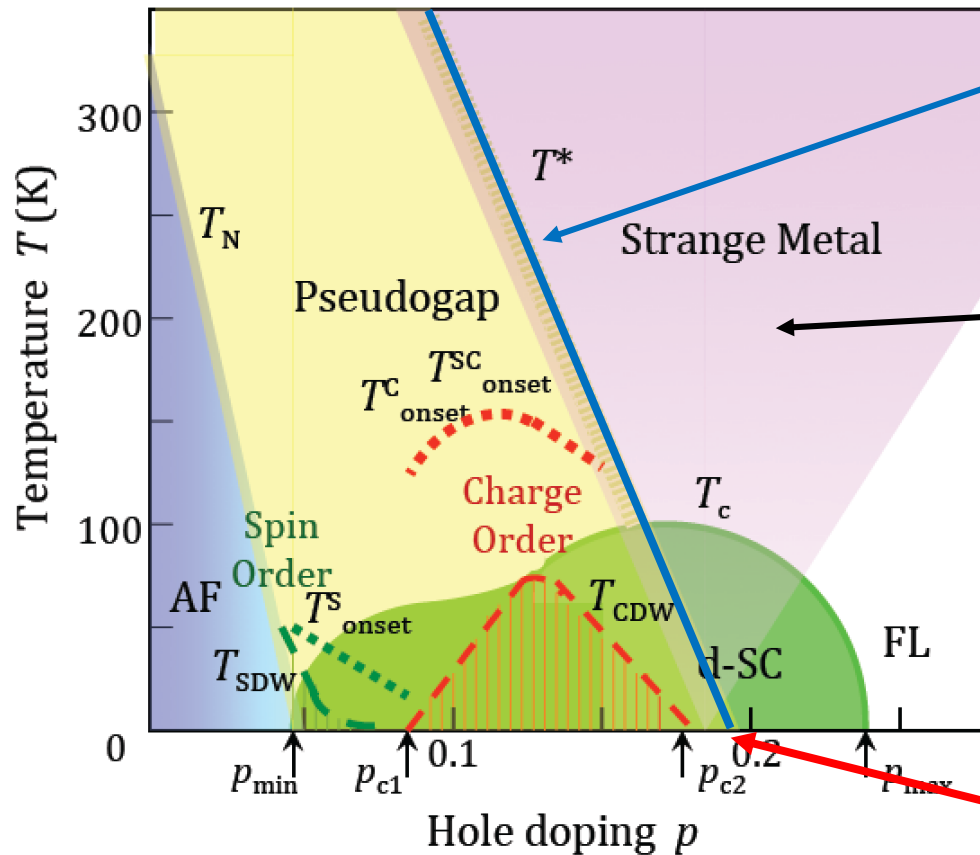
Heavy Fermion intermetallics



Iron superconductors



# Cuprate high $T_c$ superconductors?



Associated with **classical order parameter** !?

Perfect **Planckian dissipator**:  
momentum relaxation time

$$\tau_K = \tau_{\hbar} = \frac{\hbar}{k_B T}$$

**Putative quantum critical point** !?

B. Keimer et al., Nature 518, 179 (2015)

# The need for dynamical information.

Space and time are “intertwined” in densely entangled quantum systems: the crucial information is encoded in the *dynamical* response functions  $\chi_{\mathcal{O}\mathcal{O}}(\vec{q}, \omega, T) = \langle \mathcal{O}\mathcal{O} \rangle_{\vec{q}, \omega}$

$\mathcal{O}$  is the **spin operator**: **inelastic neutron scattering**, in the 1990’s evidences for “dynamical (fluctuating order) spin stripes”.

$\mathcal{O}$  is the **charge density**: **optical conductivity** only at  $q=0$ , while **EELS** has just started to deliver!

$\mathcal{O}$  is the **charge density**: Resonant Inelastic X-ray Scattering has just started to deliver!

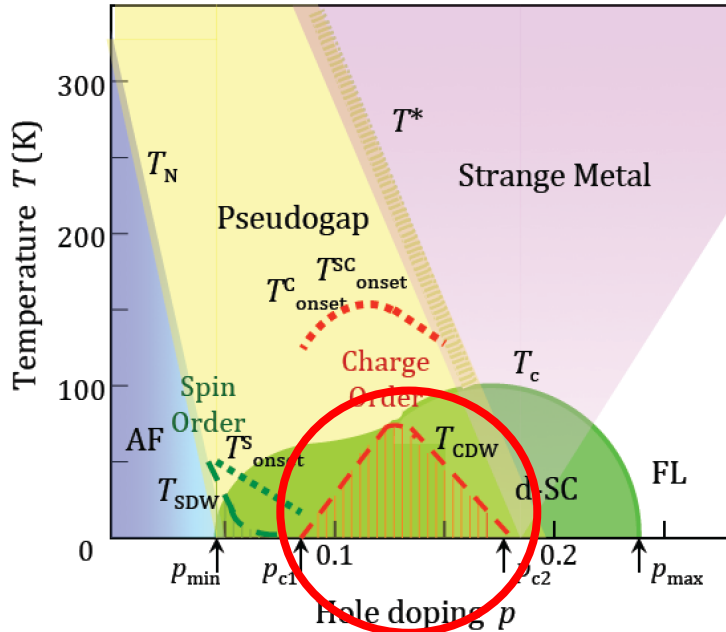
$\mathcal{O}$  is the **single electron operator**: plenty of high resolution data from **ARPES** and **STS**, pushing it in high resolution (un) particle detection.

# Condensed matter physics in action.

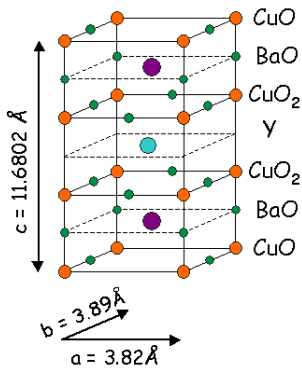
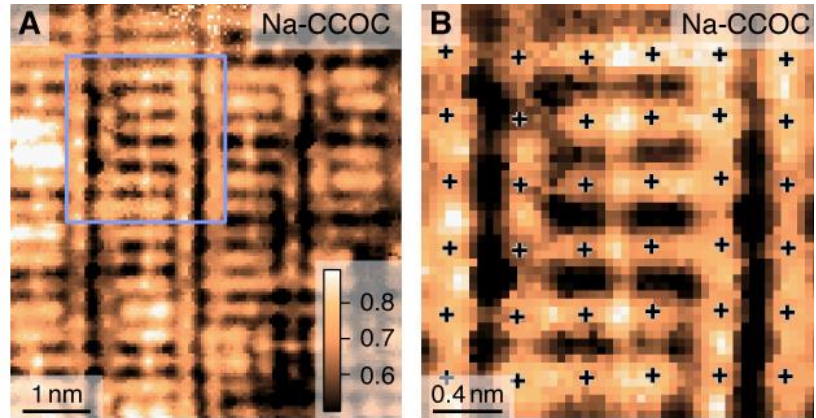
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# The “stripy” charge order.



Scanning Tunneling Spectroscopy: Cu 3d, O 2p electrons



Tiny Bragg peaks in hard X-ray diffraction: **atoms barely move.**

Big Bragg peaks in resonant X-ray diffraction: **valence electrons.**

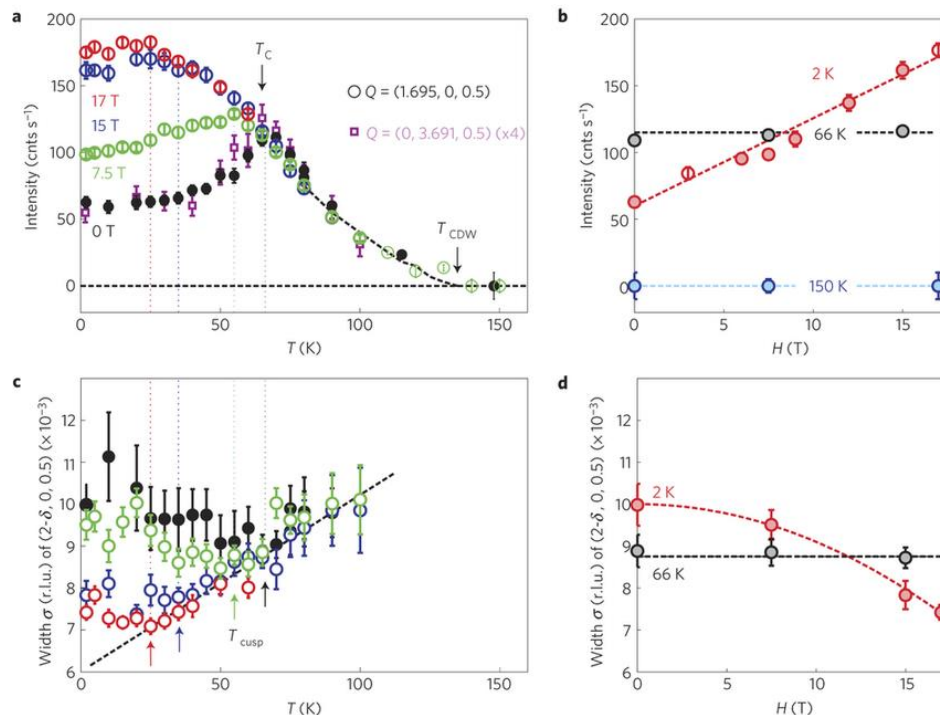
**A “crystal” formed nearly entirely from electrons!**

# Superconductivity competing with charge order.

Interpreted as Landau mean field competing order:

$$F = m_{\Psi}^2 |\Psi|^2 + w_{\Psi} |\Psi|^4 + m_{\Phi}^2 |\Phi|^2 + w_{\Phi} |\Phi|^4 + g |\Psi|^2 |\Phi|^2$$

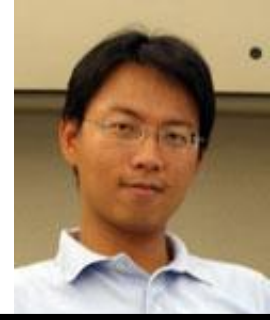
$$F \rightarrow (-|m_{\Psi}^2| + g|\Phi|^2) |\Psi|^2 + \dots$$



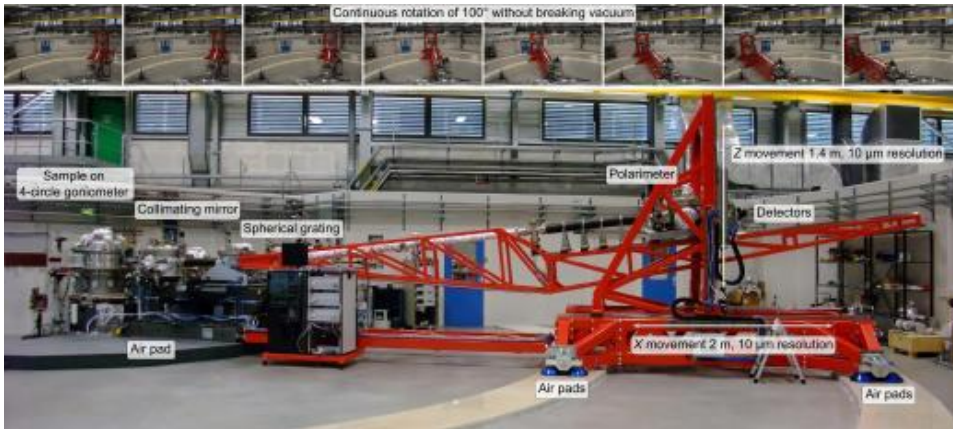
# Resonant X-ray scattering.



Tom  
Devereaux

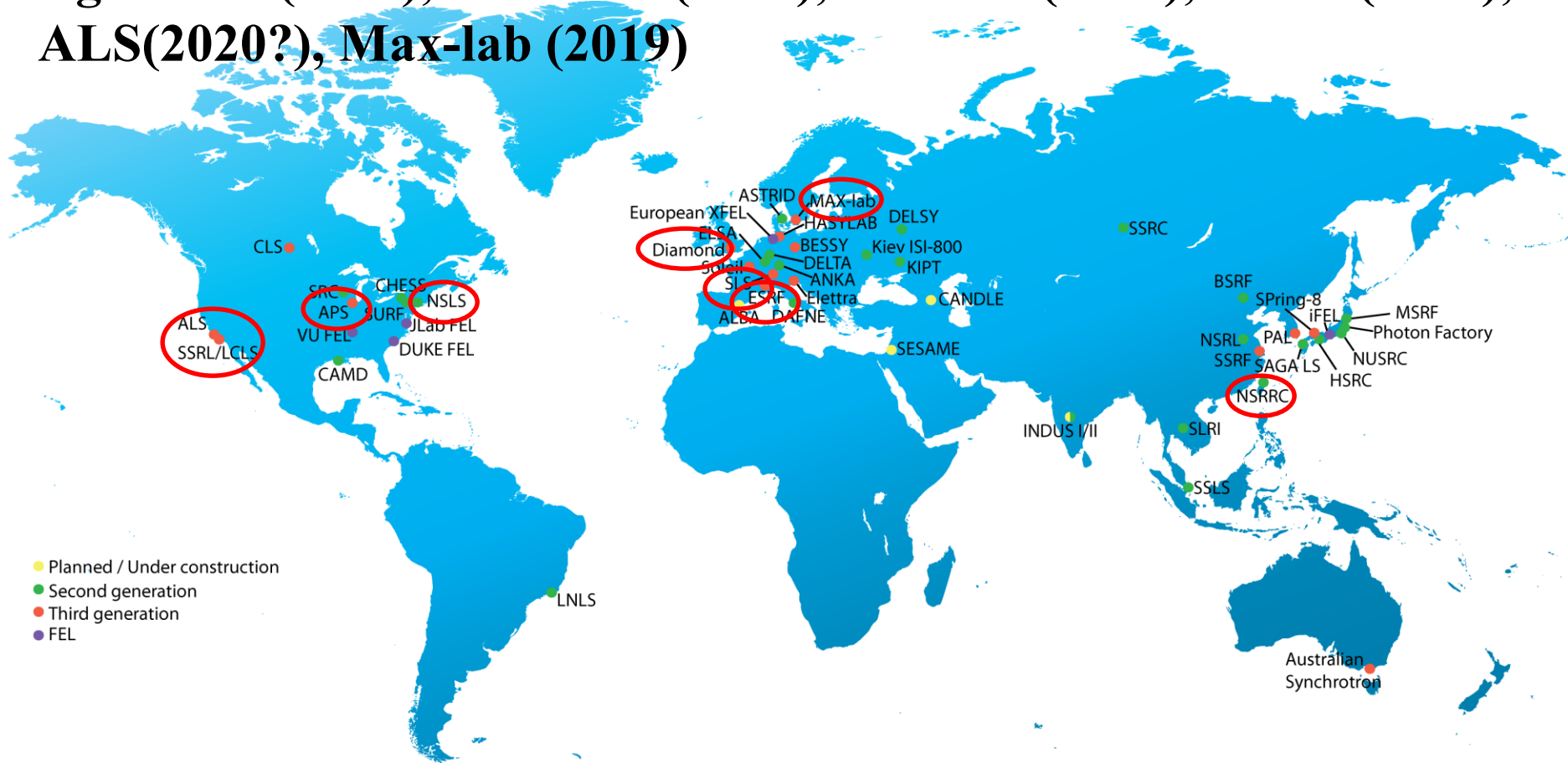


Wei-Sheng  
Lee

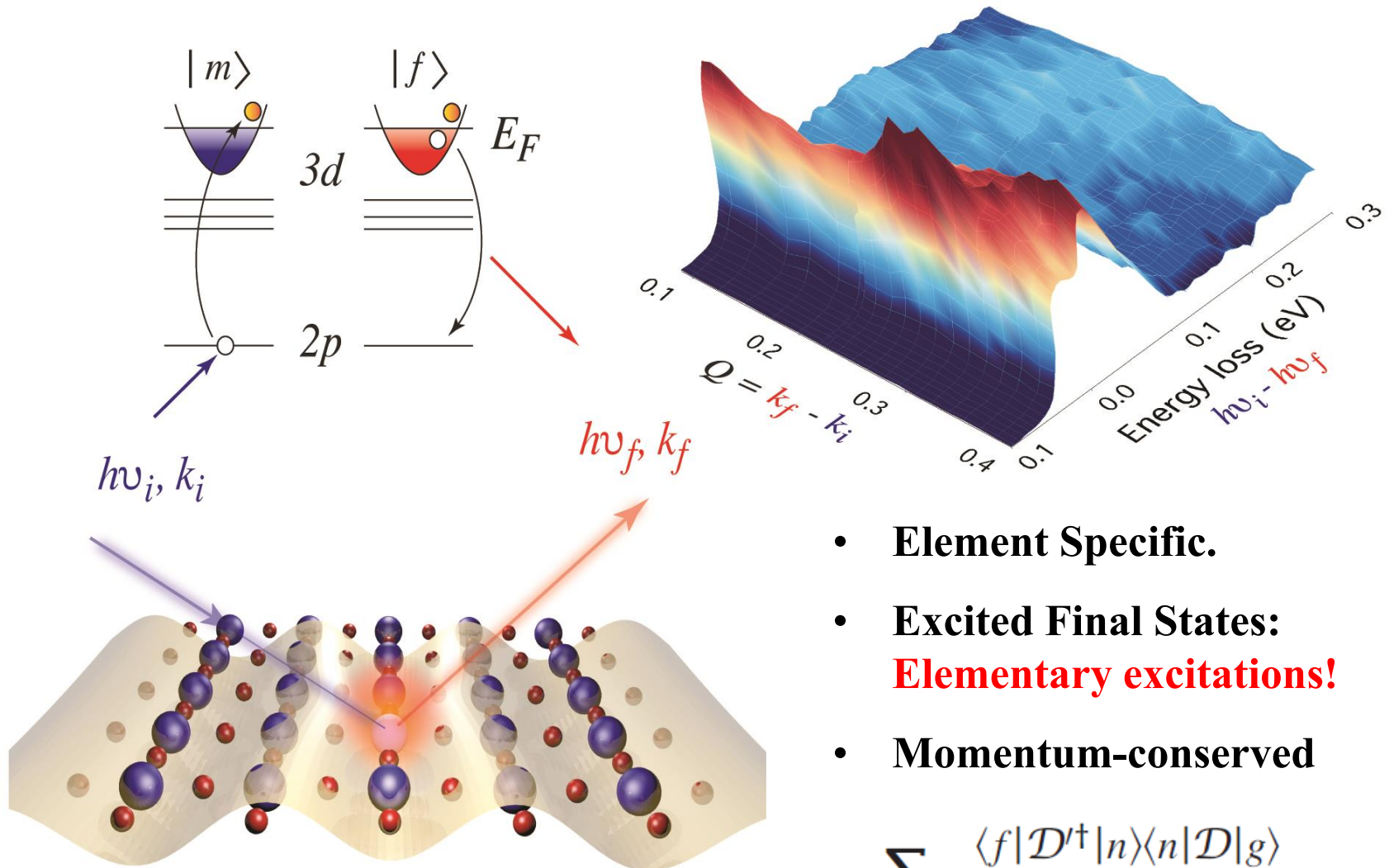


# Map of the new RIXS instruments

e.g. ESRF (2015), Diamond (2017), NSRRC (2017), NSLS (2017), ALS(2020?), Max-lab (2019)



# Resonant Inelastic X-ray Scattering (RIXS)



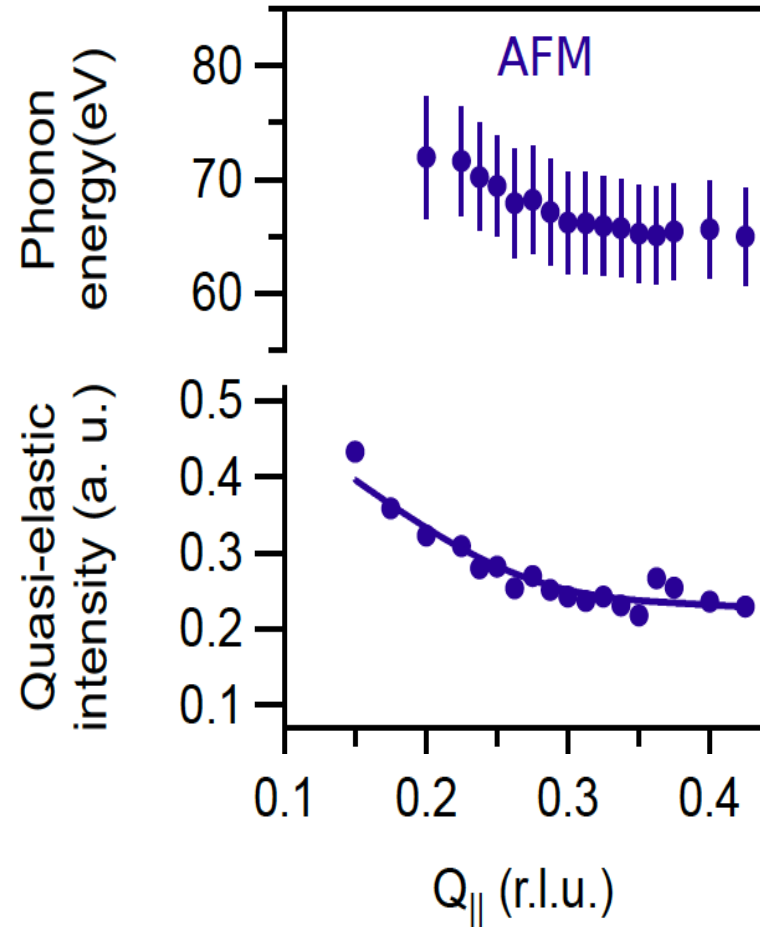
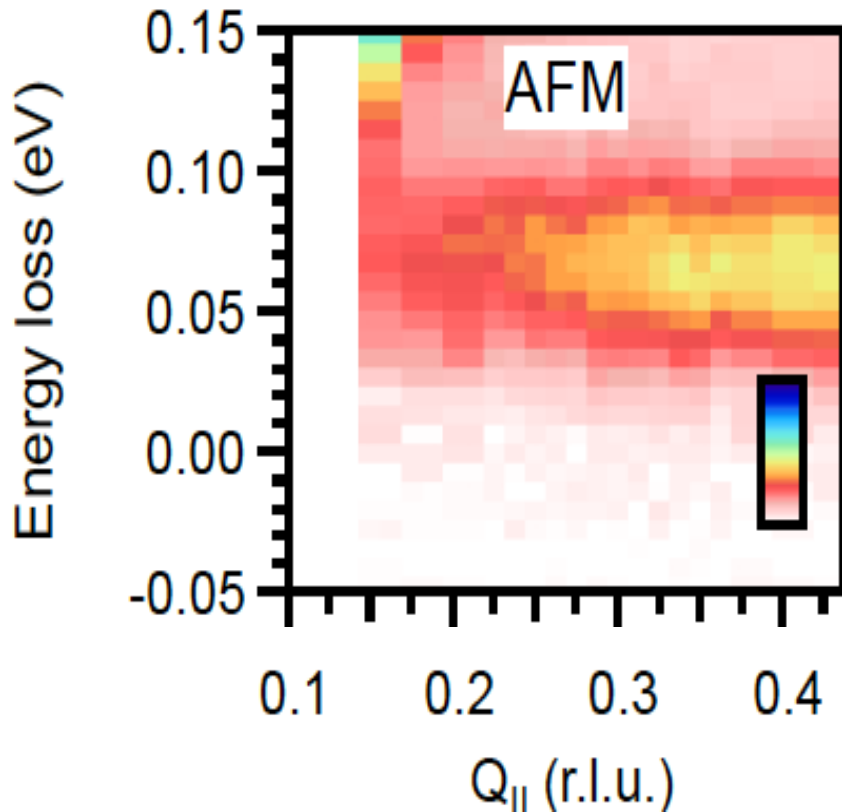
- **Element Specific.**
- **Excited Final States:**  
**Elementary excitations!**
- **Momentum-conserved**

$$\sum_n \frac{\langle f | \mathcal{D}^\dagger | n \rangle \langle n | \mathcal{D} | g \rangle}{E_g + \hbar\omega_{\mathbf{k}} - E_n + i\Gamma_n'}$$



# Reference: a case of no CDW

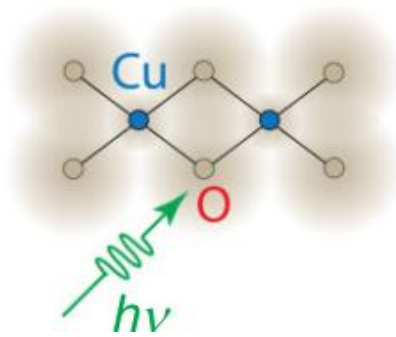
AFM-Bi2212,  $p < 0.03$



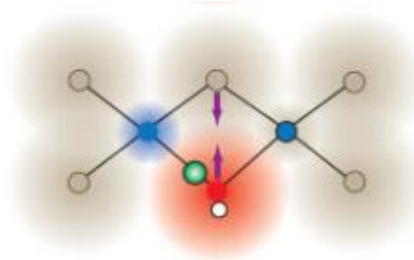
H. Lu *et al.*, *in preparation*

# E-ph coupling via RIXS

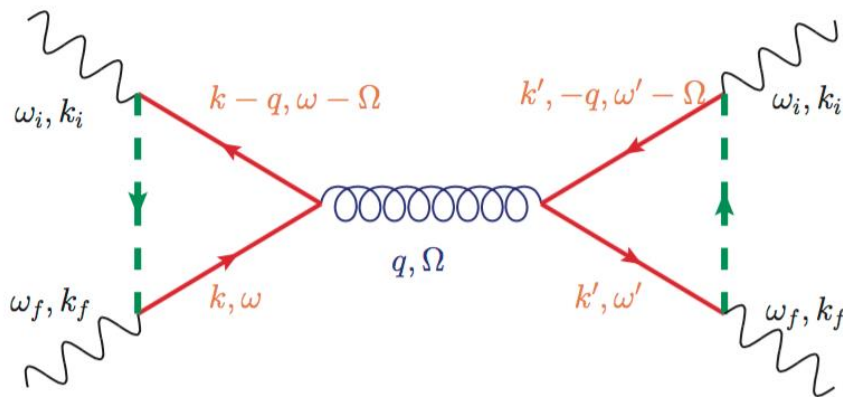
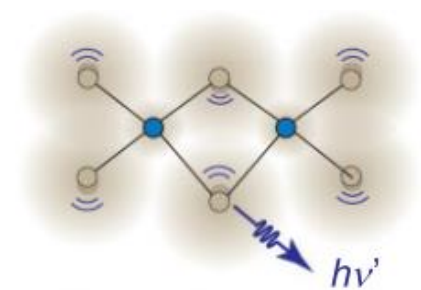
Initial state



Intermediate state



Final state



## RIXS phonon cross section

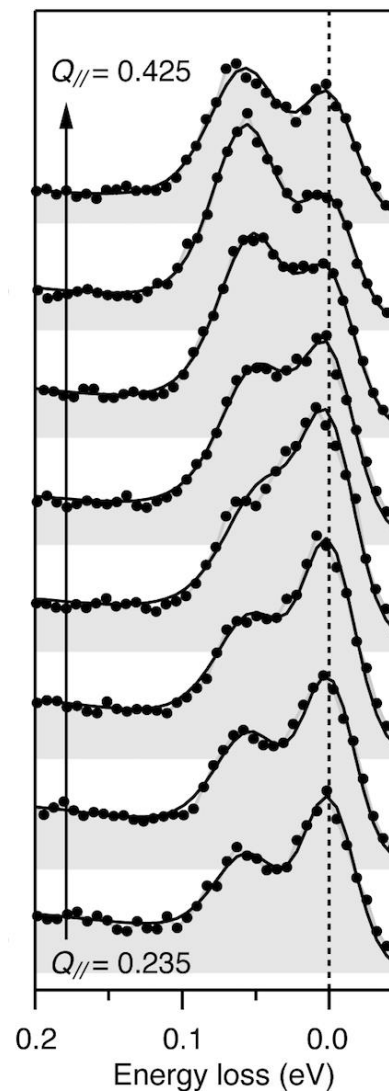
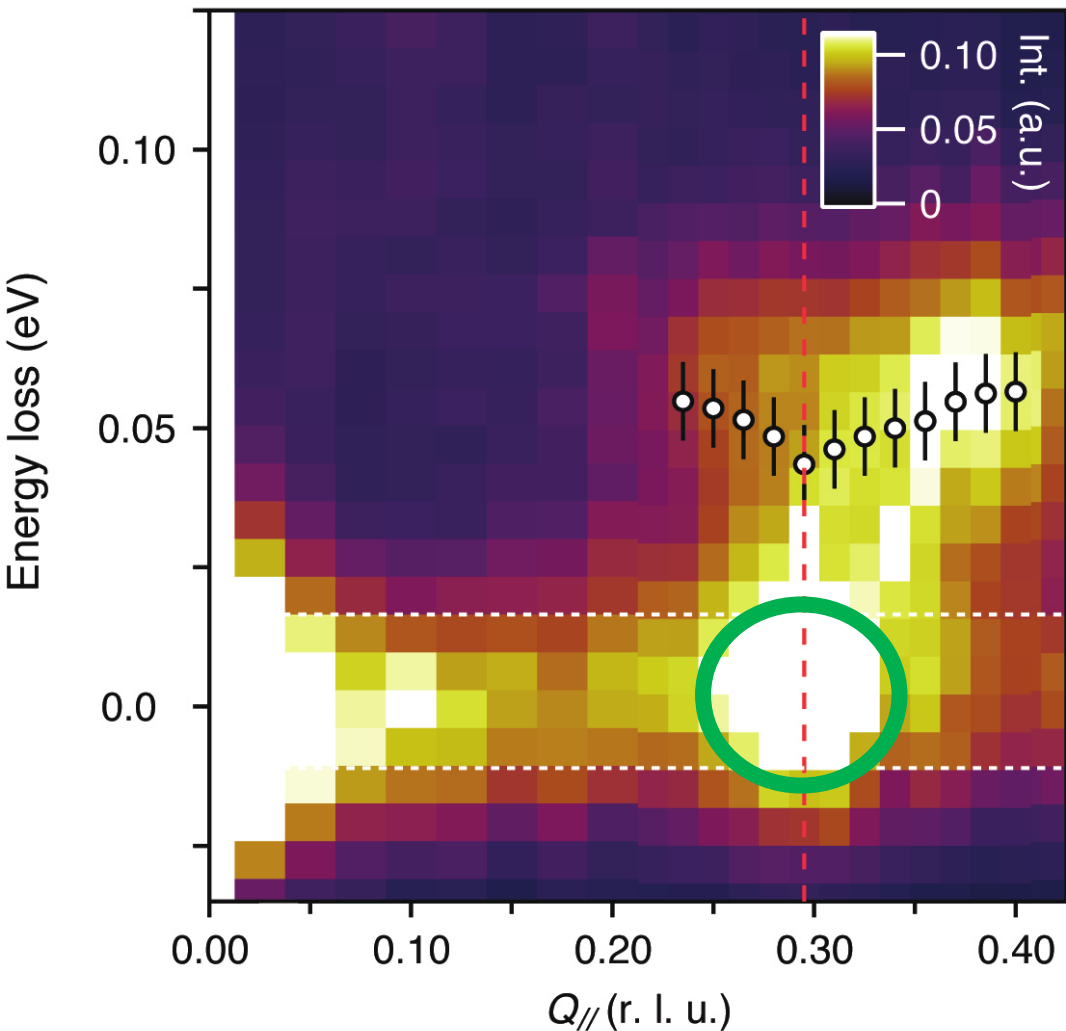
- directly reflects the e-ph strength.
- Fano interference with underlying charge modes/continuum.

L. J. P. Ament *et al.*, EPL 95, 27008 (2011).

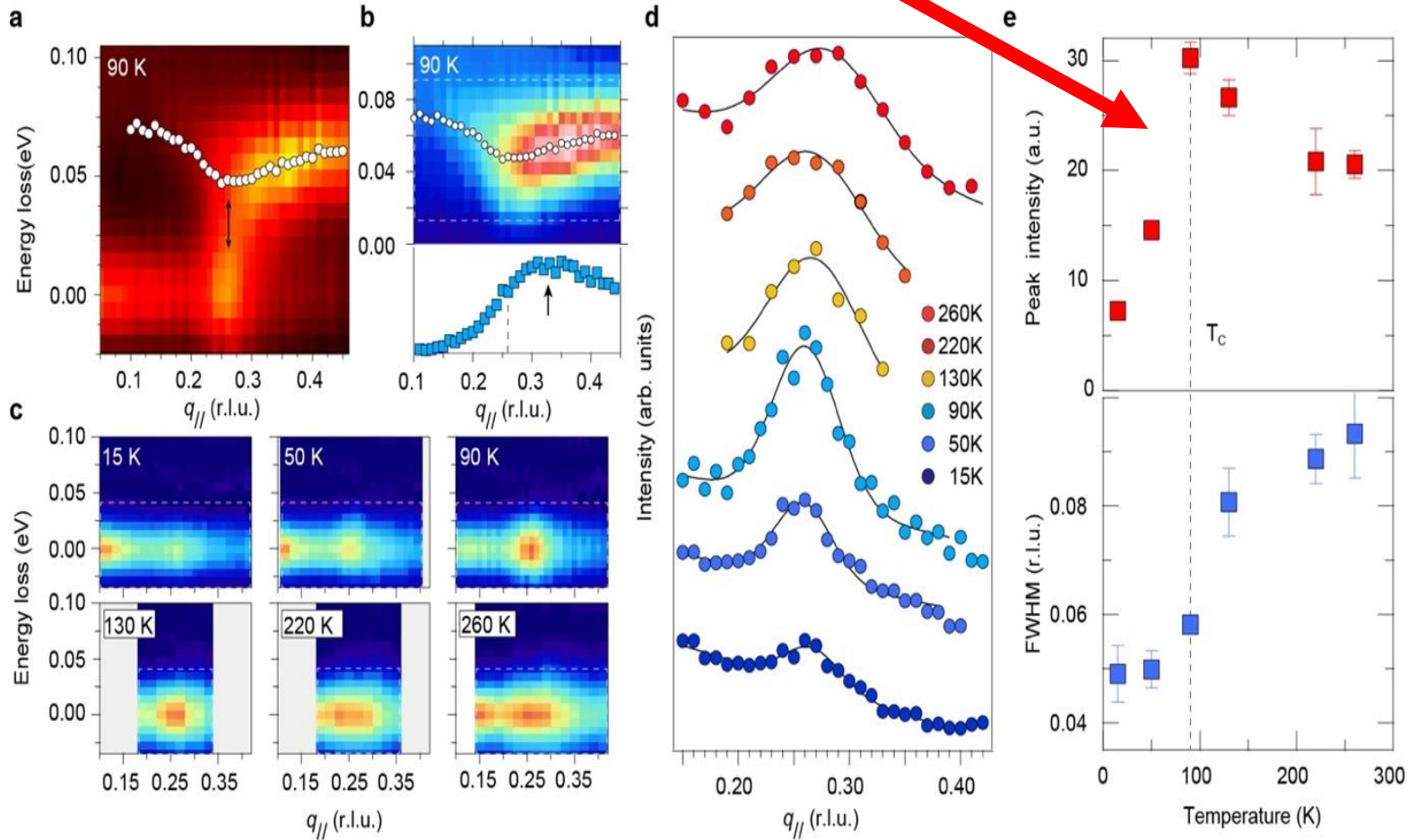
T.P. Devereaux *et al.*, PRX 6, 041019 (2016).

# RIXS spectrum on under-doped

Bi-2212  $T_c = 45$  K Bi2212

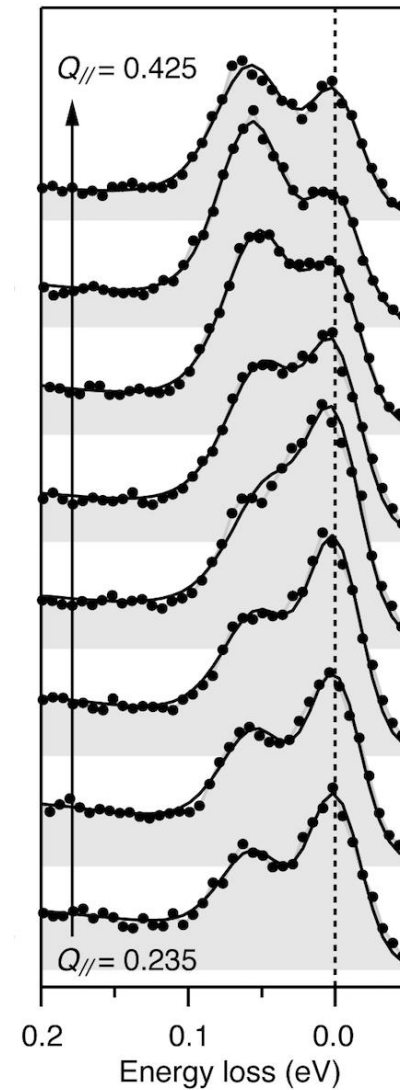
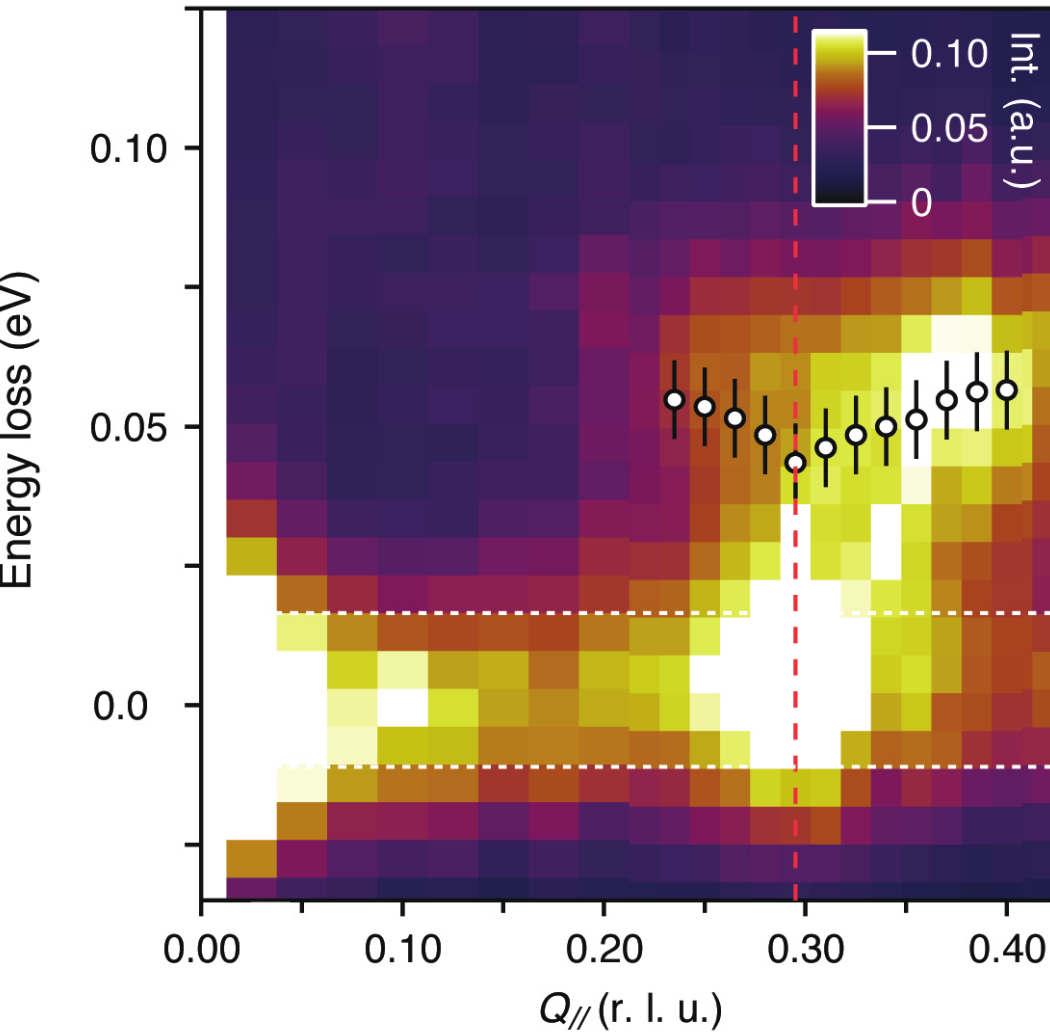


# RIXS: elastic peak and the charge order.

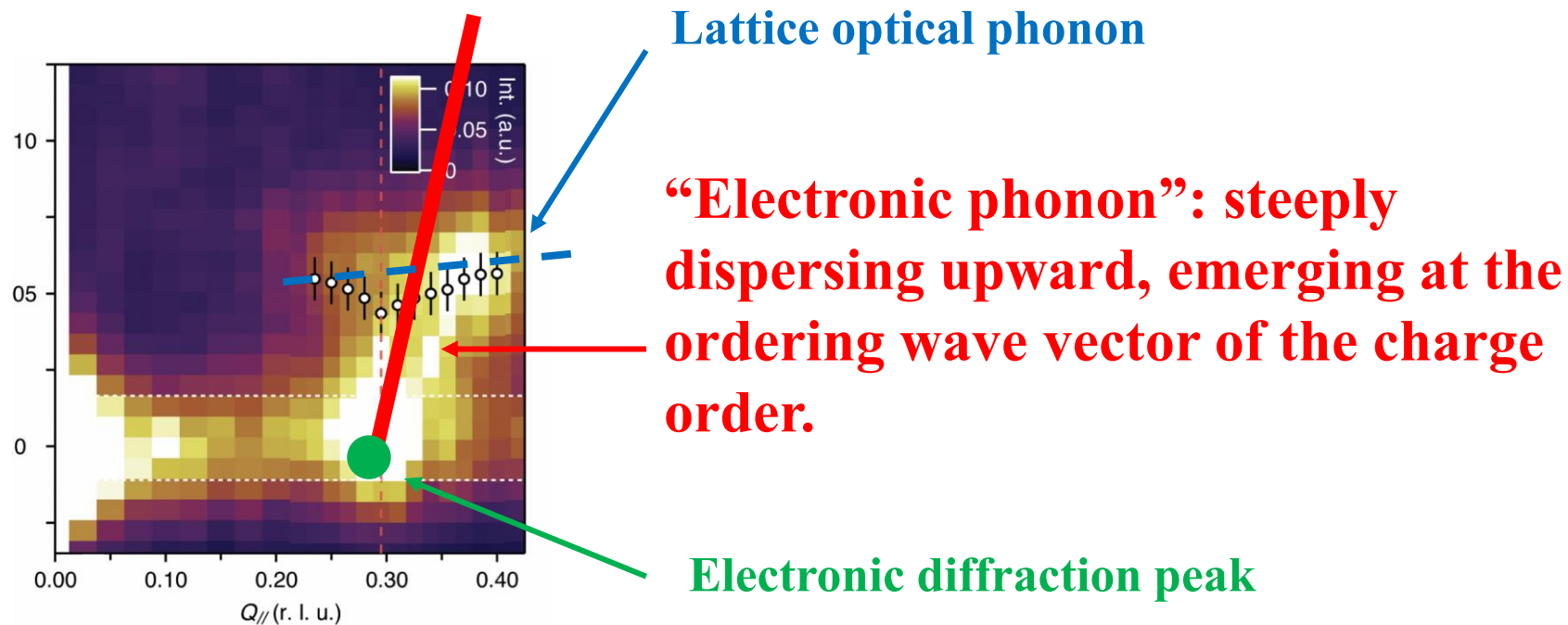
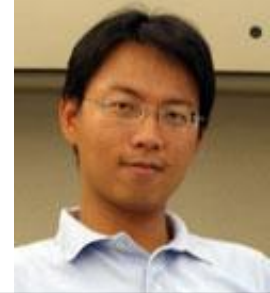


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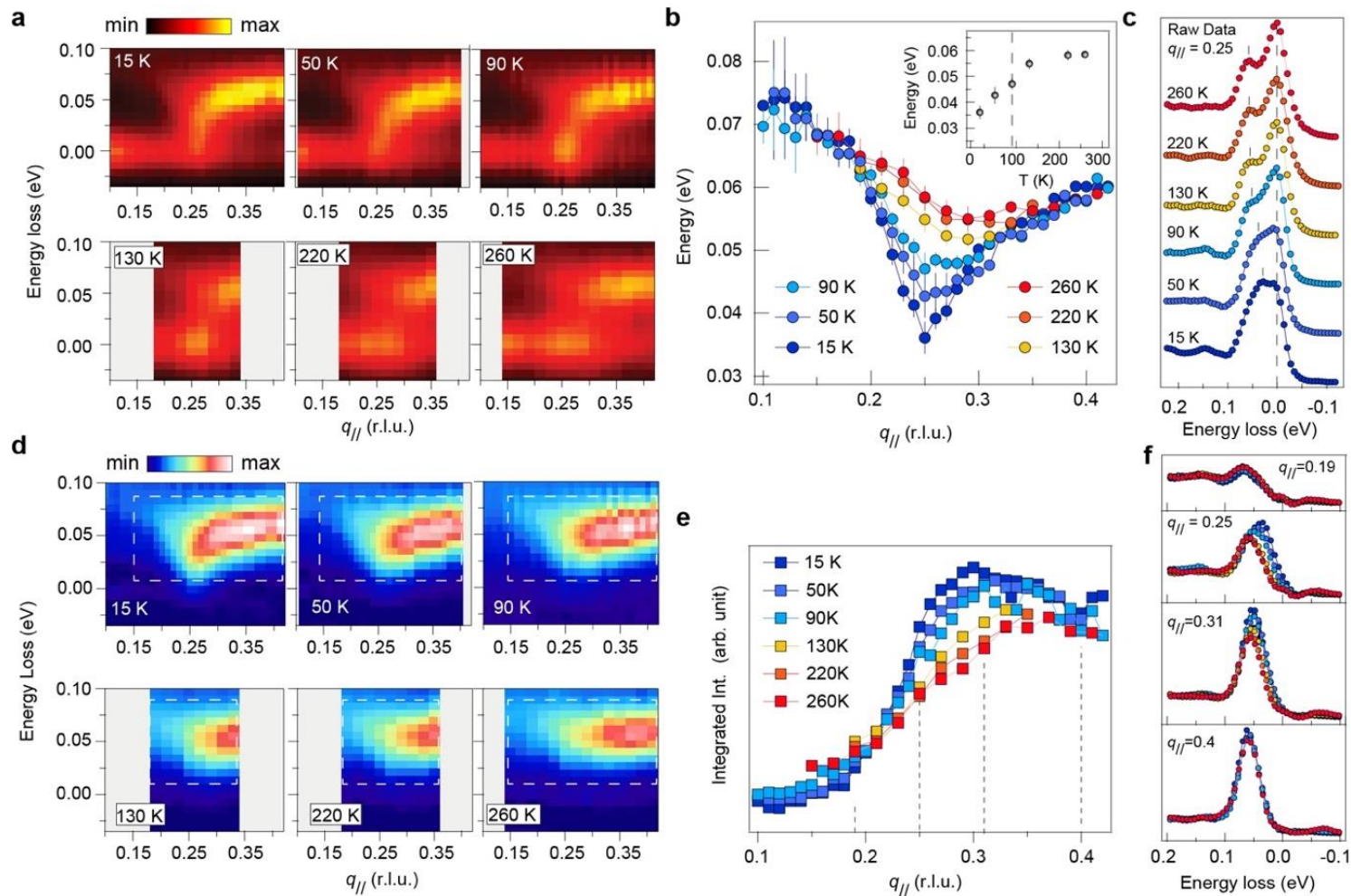


# Detecting the electronic “charge mode”.

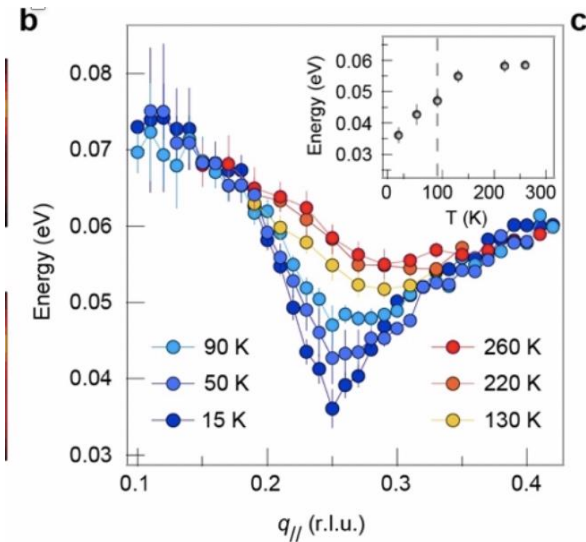
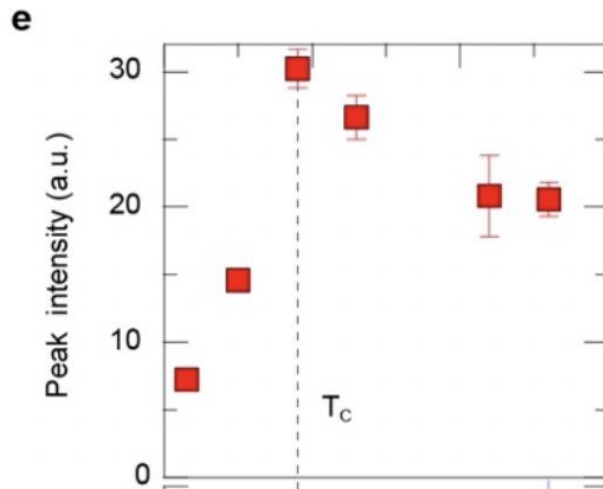


**L. Chaix *et al.*, Nature Phys. 13, 952 (2017).**

# The “phonon dip” paradox (I).



# The “phonon dip” paradox (II).



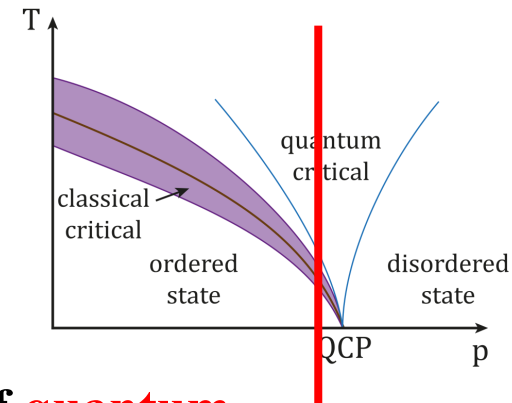
Upon cooling through the superconducting  $T_c$  the charge order parameter **collapses**, but its influence on the spectrum **increases**.

This makes no sense departing from **Landau competing order**: the oscillator **strength** of the “electronic phonon” should follow the order parameter!



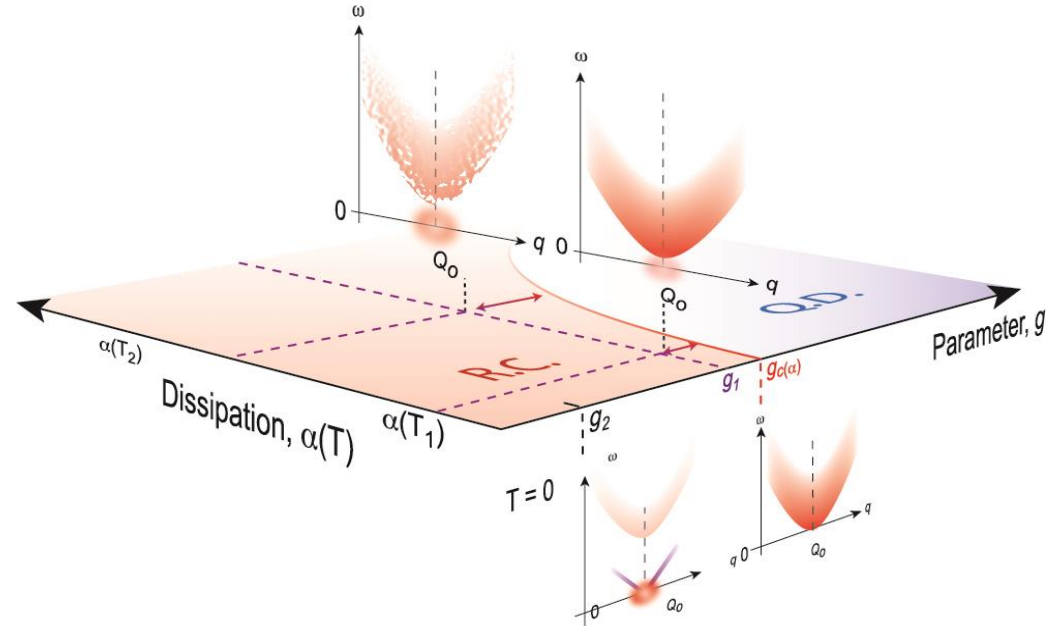
# The resolution of the “phonon dip” mystery (I).

Let us assume instead that the charge order is on the verge of undergoing a order-disorder quantum phase transition!

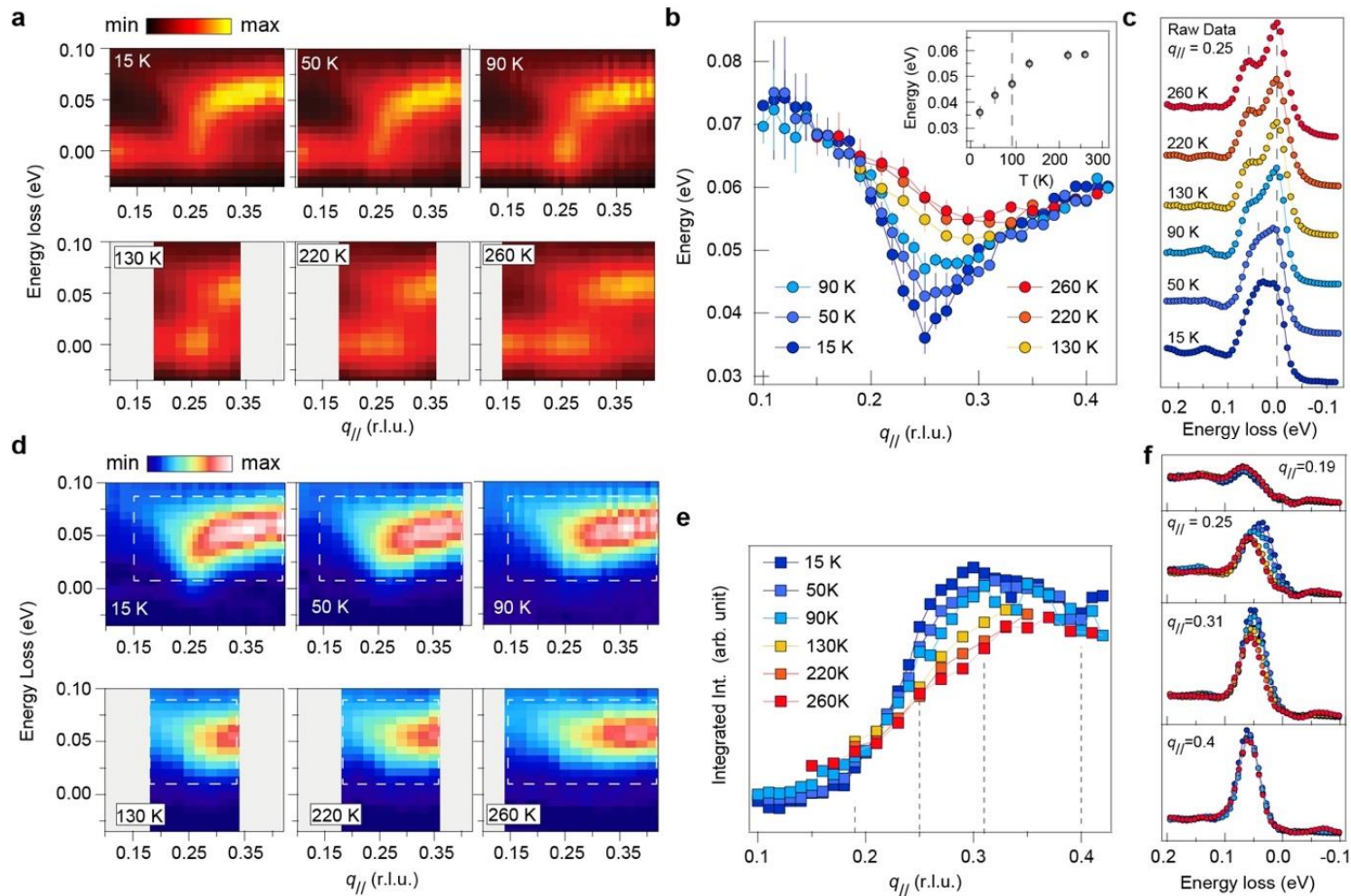


1. The “charge mode” becomes instead the continuum of **quantum critical fluctuations**.
2. The excitations of the metal form a heat bath and these will generically **suppress the quantum fluctuations!**
3. These are suppressed in the **superconducting state and the charge order moves therefore closer to the QCP!**
4. Finally some hassle with the RIXS process hiding the QC fluctuations to a degree by the **Fano** lineshape ...

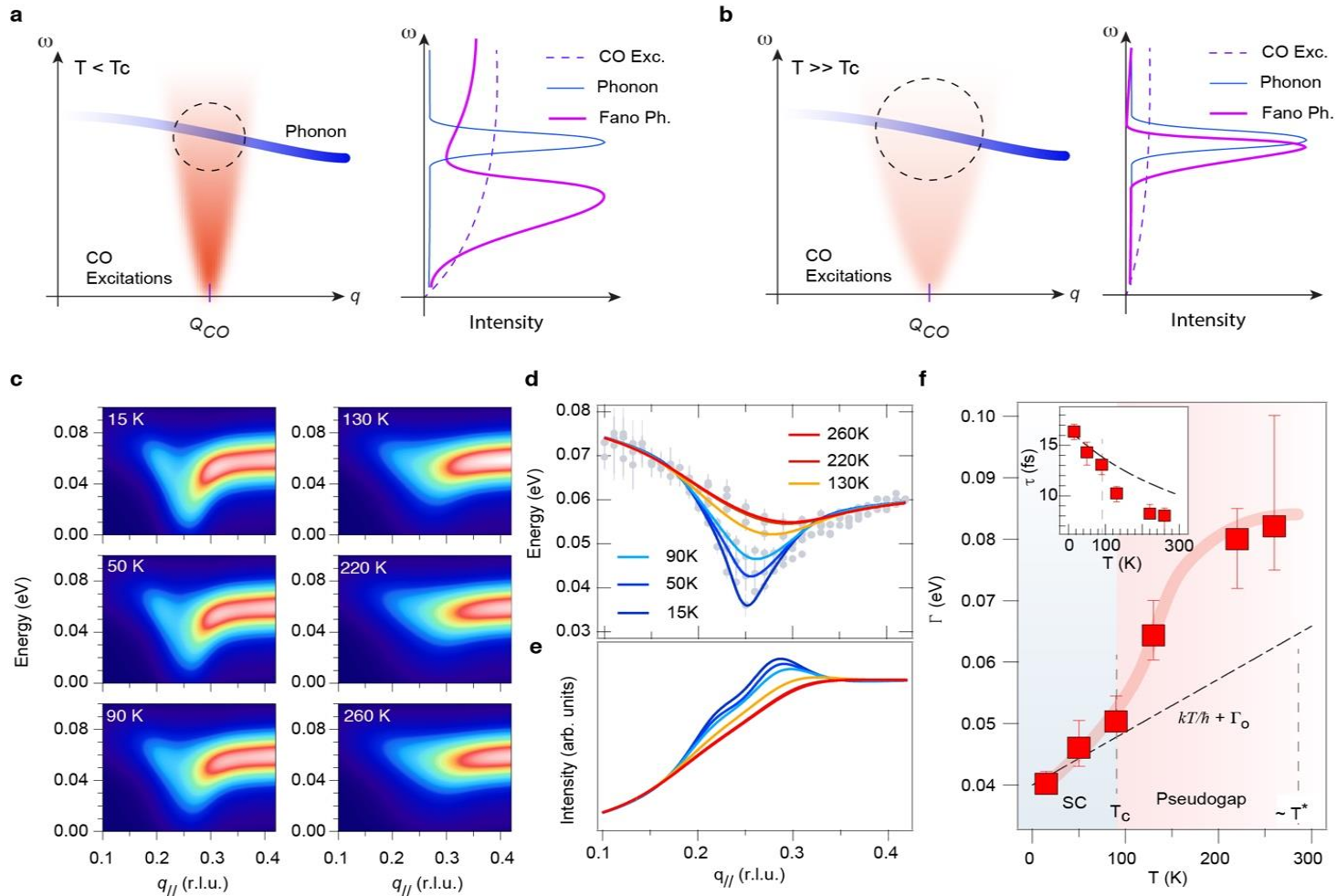
# The resolution of the “phonon dip” mystery: the cartoon.



# The “phonon dip” paradox (I).



# The resolution of the “phonon dip”: a glorious fit!



# Condensed matter physics in action.

---

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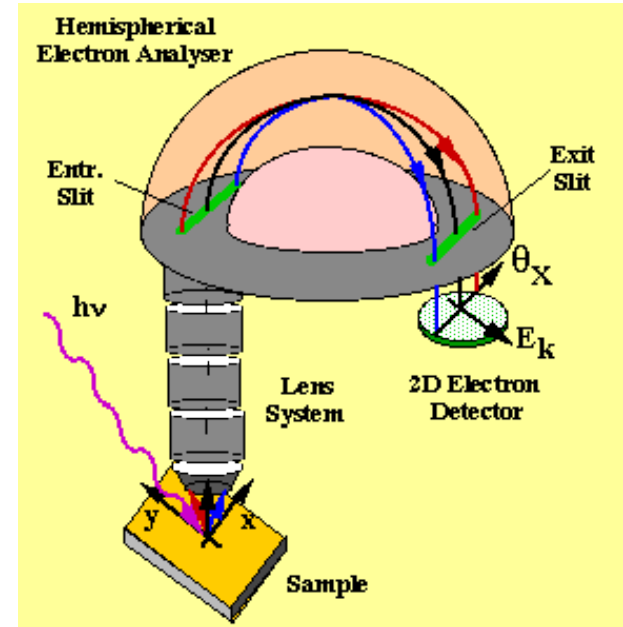
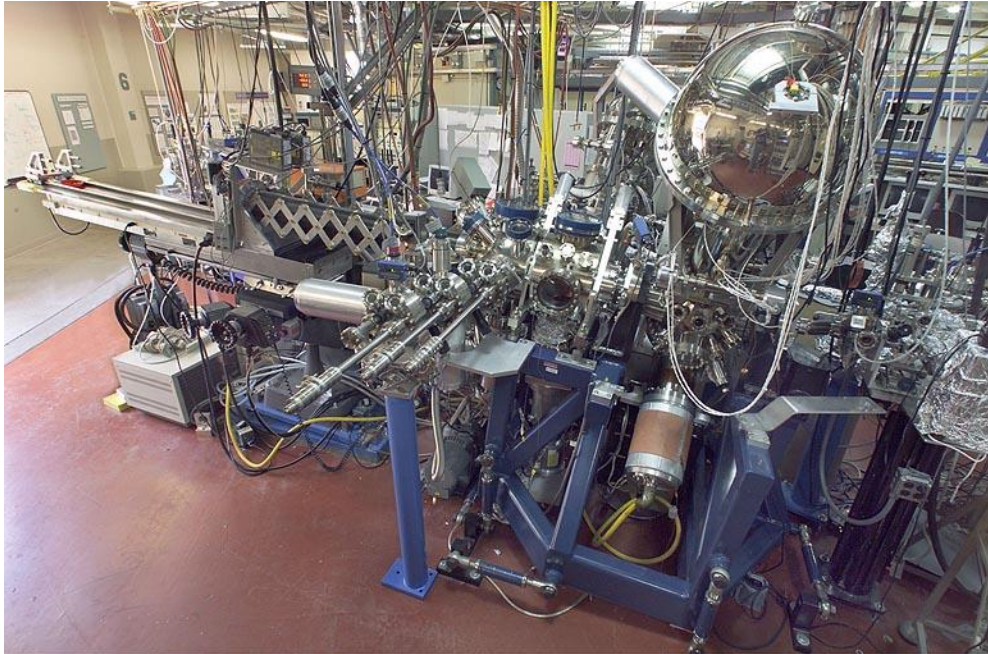
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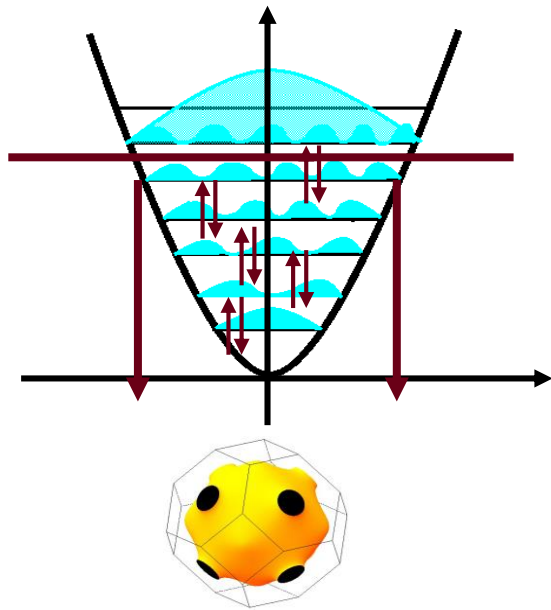
# Angular Resolved Photoemission: the fermionic precision telescope.



**Measures the electron spectral function: the probability to remove an electron at a given energy and momentum (Kelvin energy and 10 nm spatial resolution).**

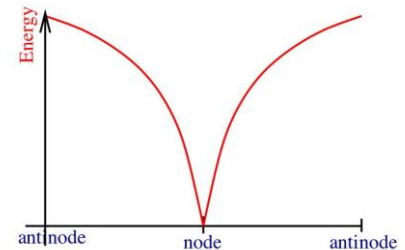
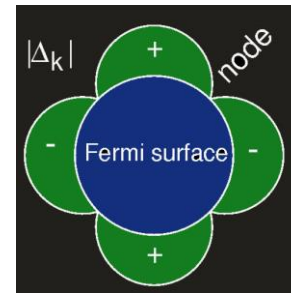
# Fermions: the tiny repertoire ...

## Fermiology



## BCS superconductivity

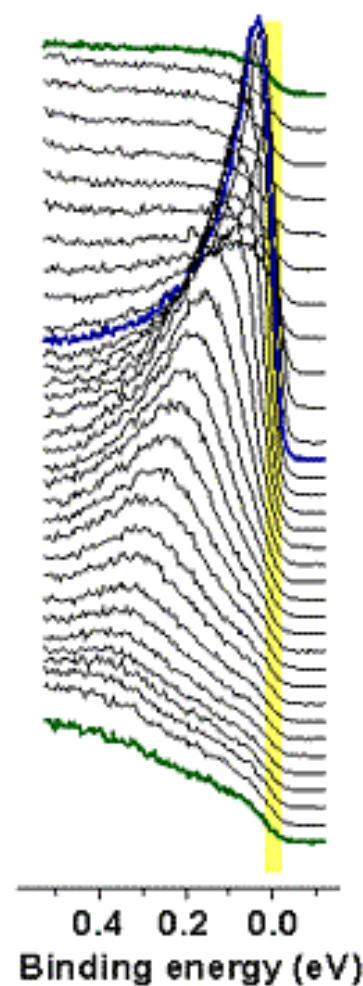
$$Y_{BCS} = \prod_k \left( u_k + v_k c_{k-}^+ c_{-k-}^+ \right) |vac.\rangle$$



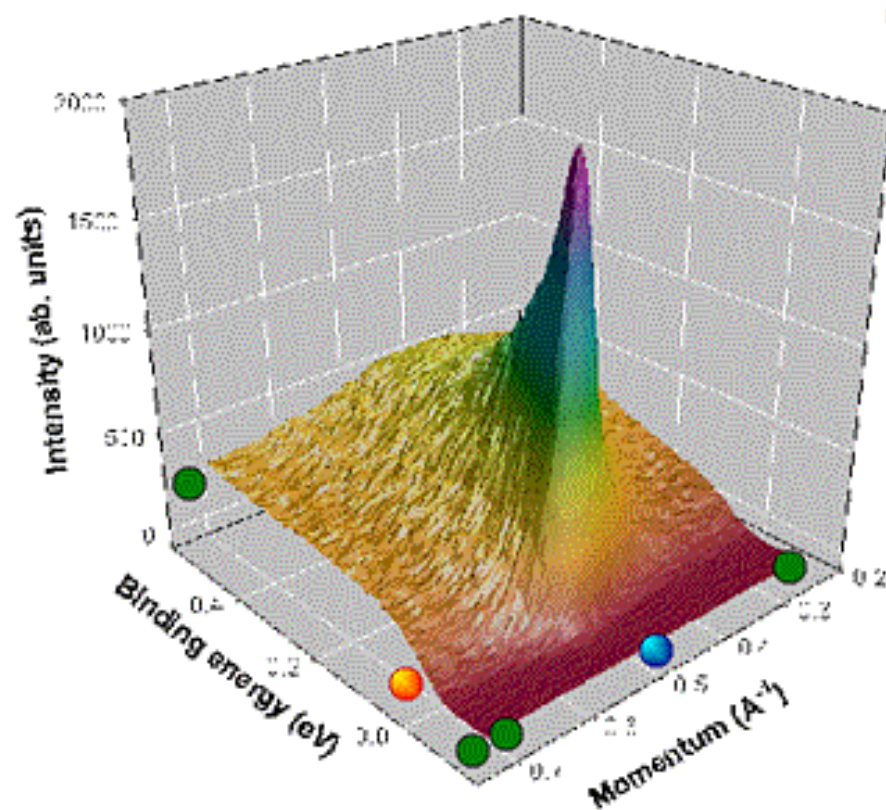


# ARPES with an angle multiplexing analyser

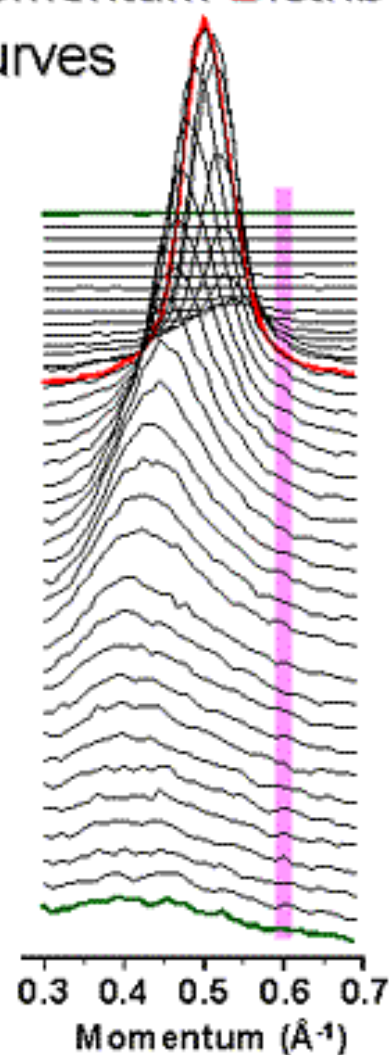
Energy Distribution  
Curves



$I(k, E)$



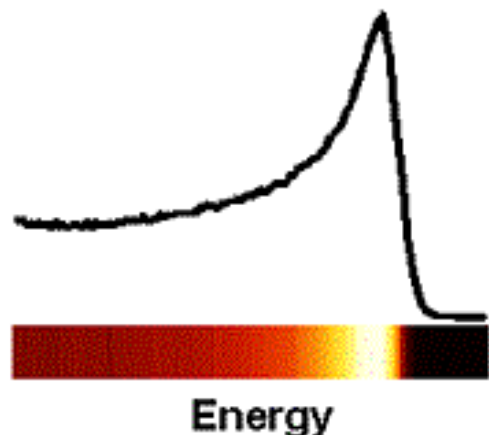
Momentum Distribution  
Curves



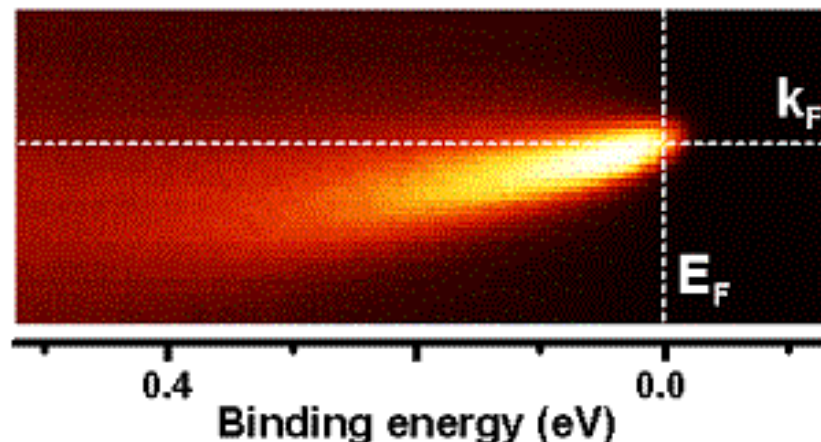
....this all lands on  
the detector in 5 mins. !

# Representing ARPES data in 2D

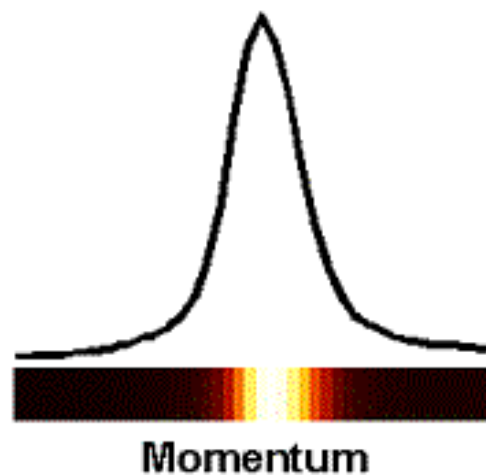
EDC -  $I(k_x, k_y, \omega)$



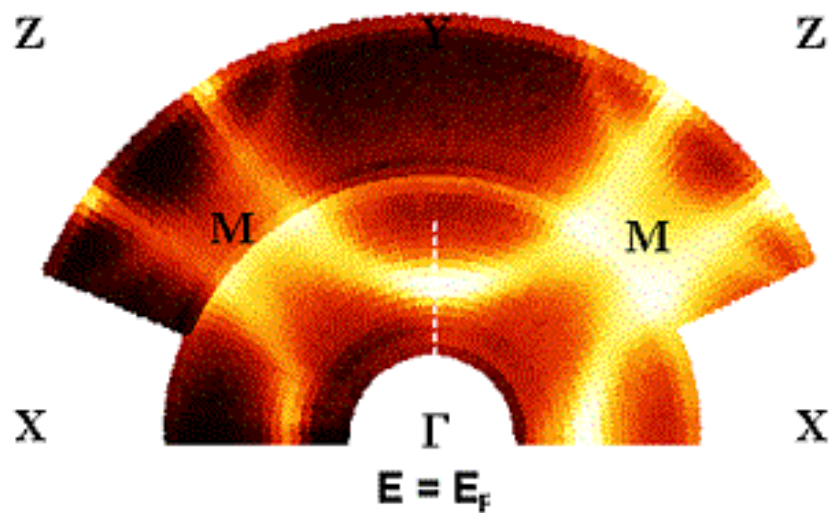
EDM -  $I(k_x, k_y, \omega)$



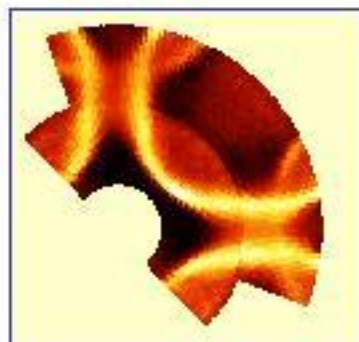
MDC -  $I(k_x, k_y, \omega)$



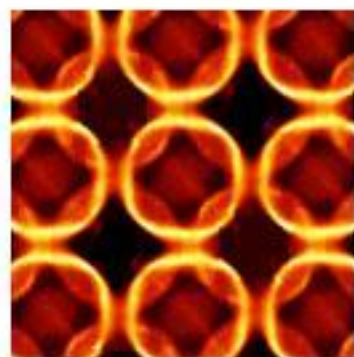
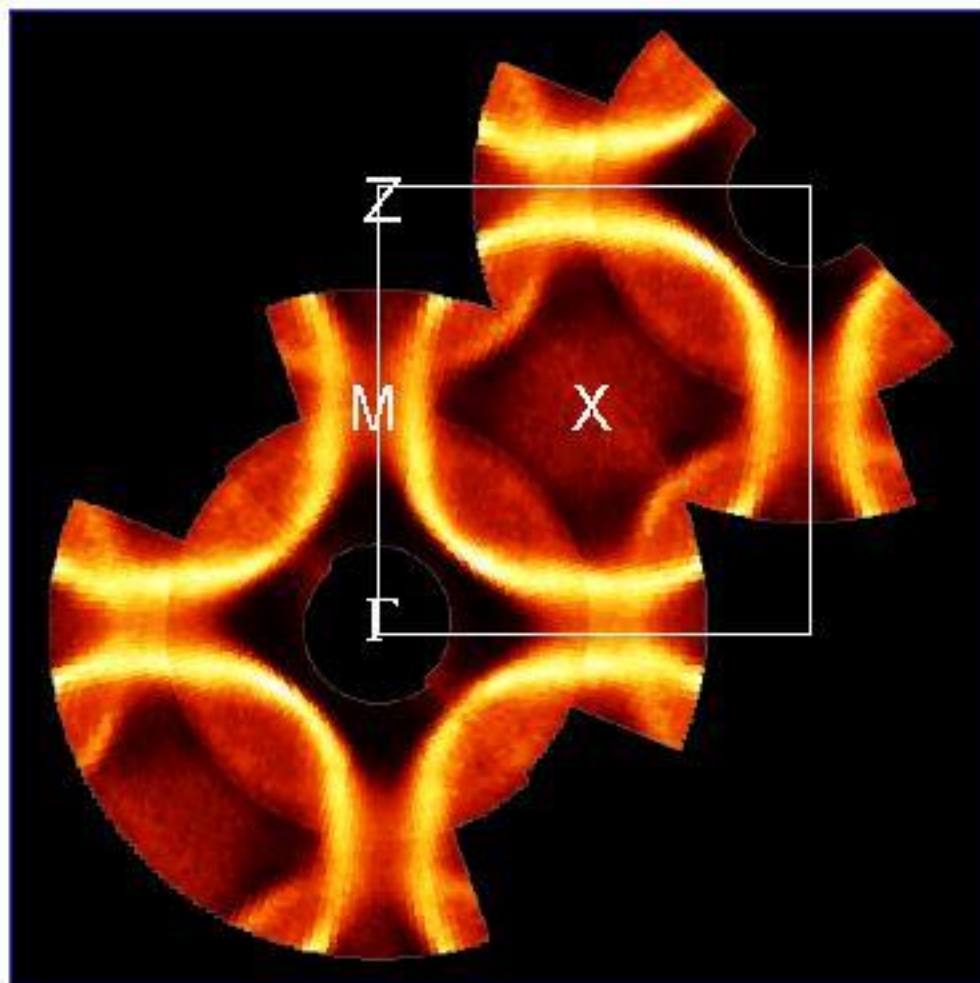
MDM -  $I(k_x, k_y, \omega)$



# The Fermi surface of Pb-doped Bi-2212

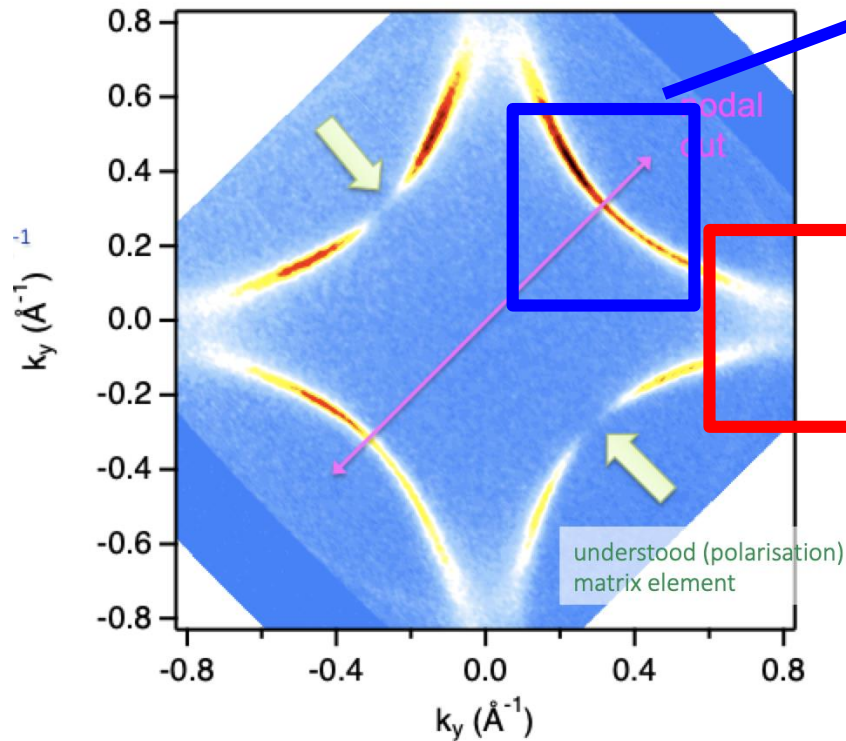


measured data



$T = 300 \text{ K}$  (OD72K)

# The nodal-antinodal dichotomy.

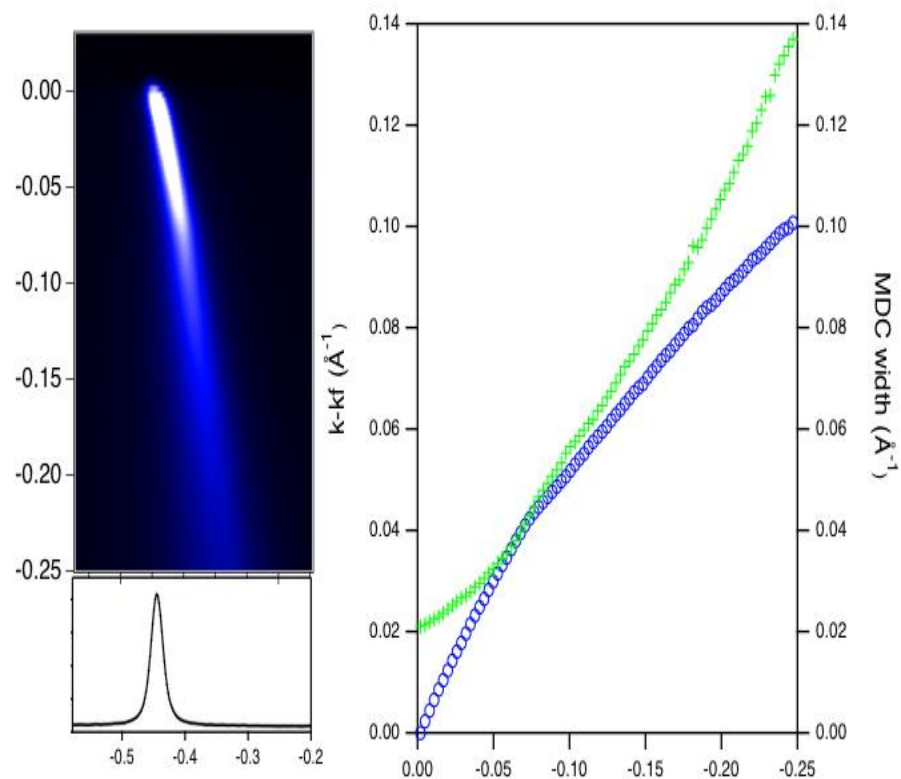
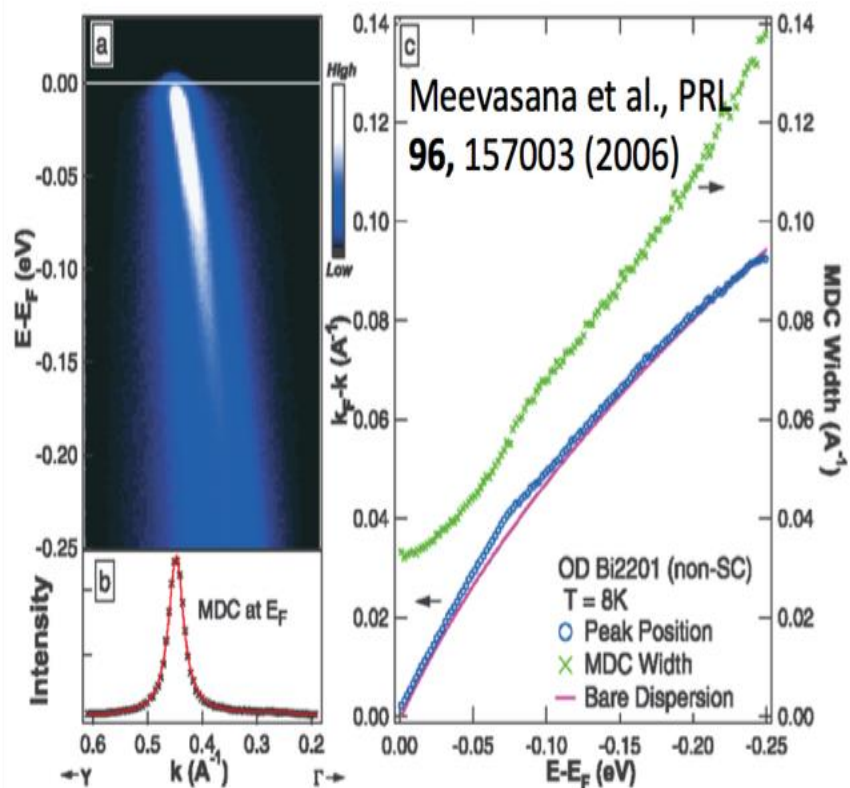


Along the nodes we used to see quasi-particles.

But up to optimal doping this used to be less obvious near the anti-nodes

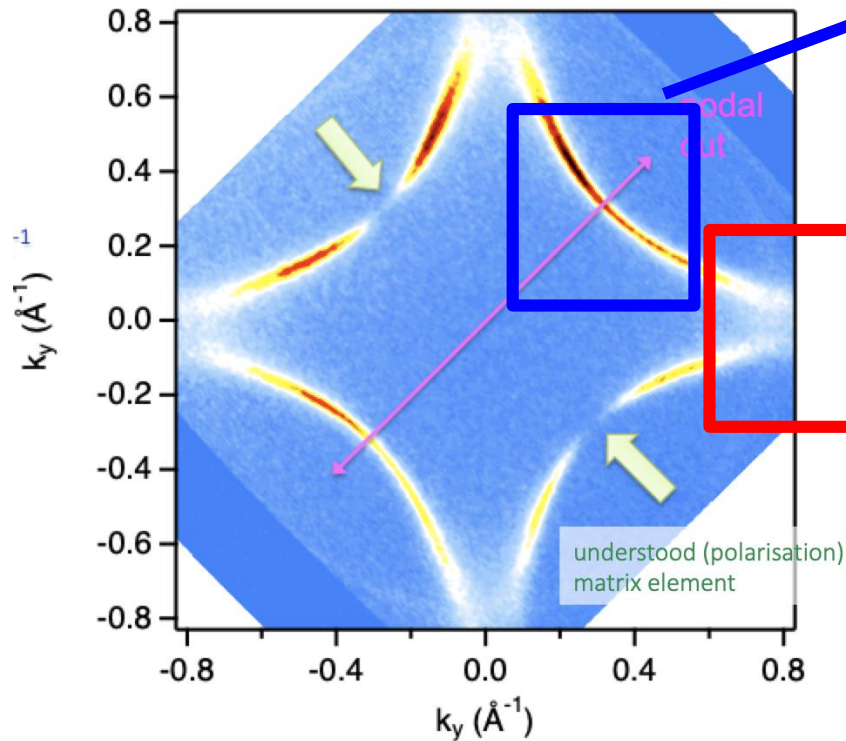
# Benchmark to the best data

● nodal direction, Pb,Bi-2201



● minimal MDC width =  $0.02 \text{\AA}^{-1}$

# The nodal-antinodal dichotomy.

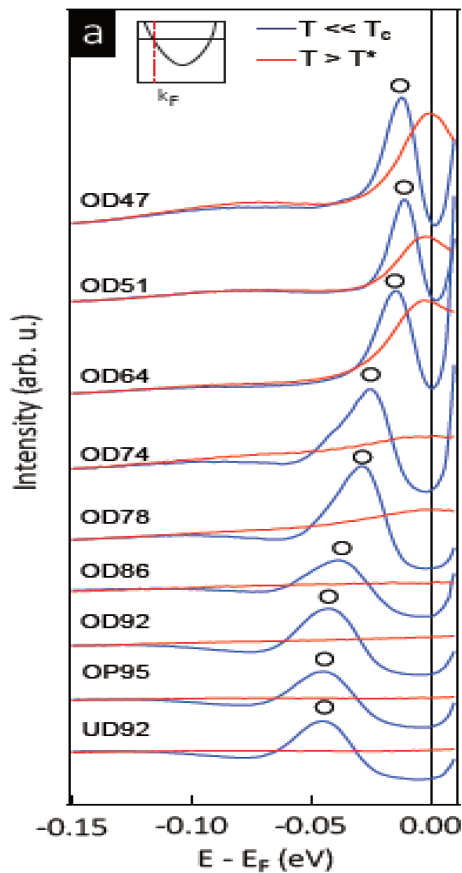


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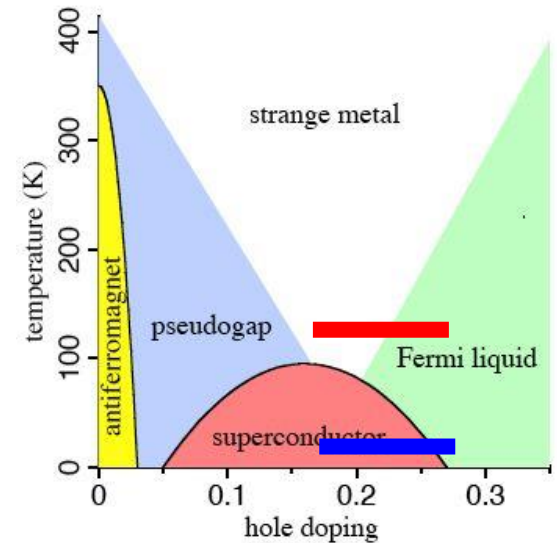
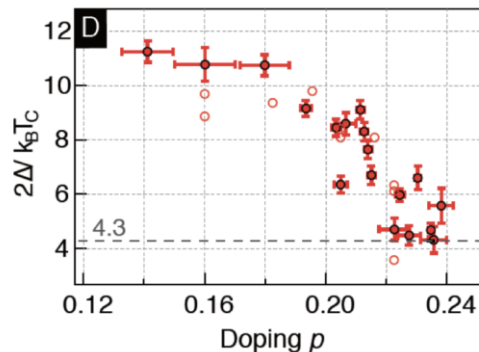
# Unparticle physics in high Tc superconductors.

## Photoemission spectra at antinodes:



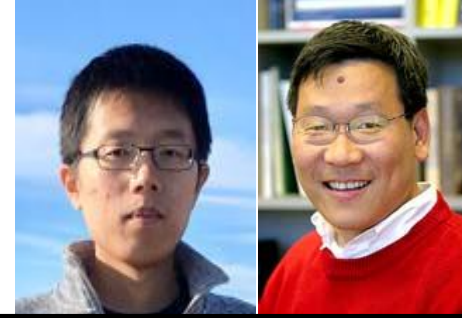
**Blue: Bogoliubov quasiparticles deep in the superconducting state.**

**Red: birth of Fermi-liquid quasiparticles in the normal state**



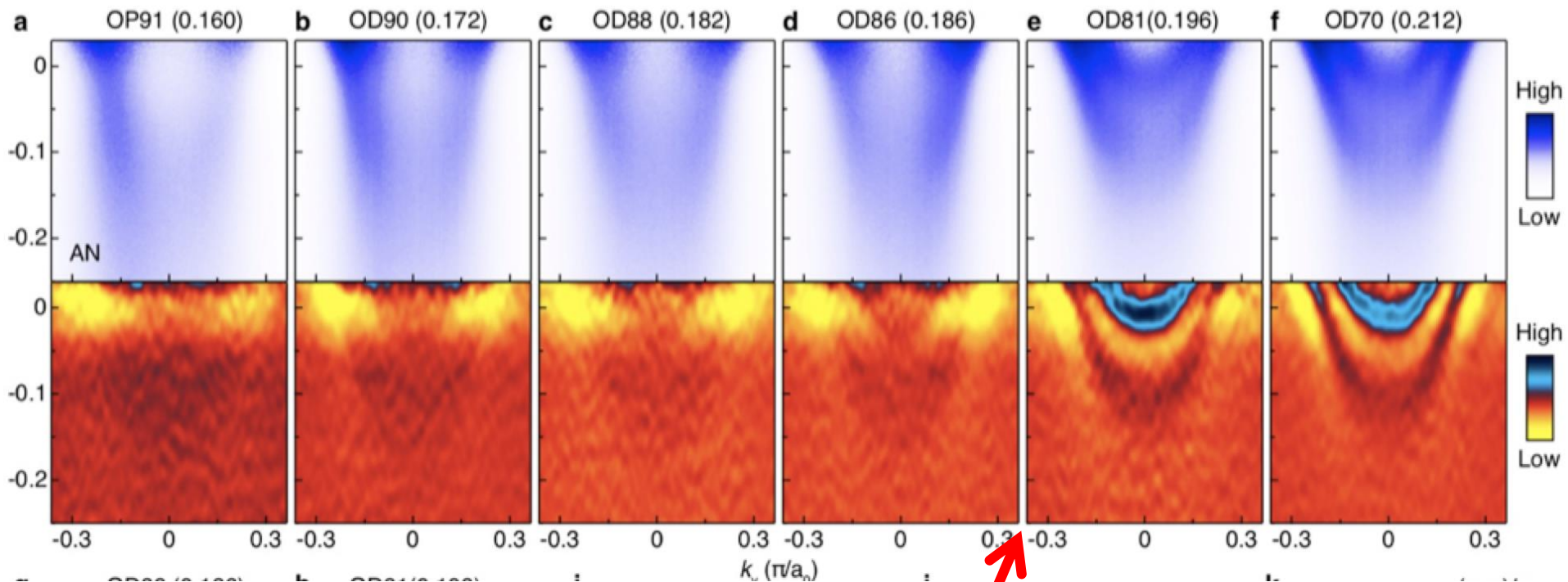
**Shen group Stanford: Science 362, 62 (2018)**

# Sudi's surprise ...



Sudi Chen ZX Shen

## Normal state 2212 antinodal ARPES as function of doping.



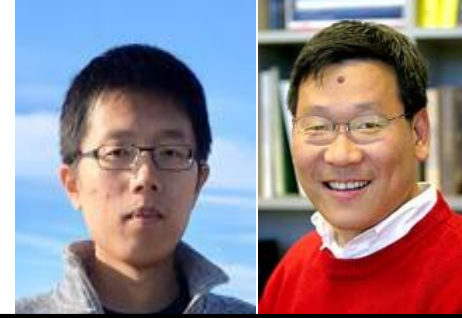
Strange metal: **incoherent**

**Critical doping**  
 $p_c = 0.19$

**Reasonable**  
**quasiparticles !**

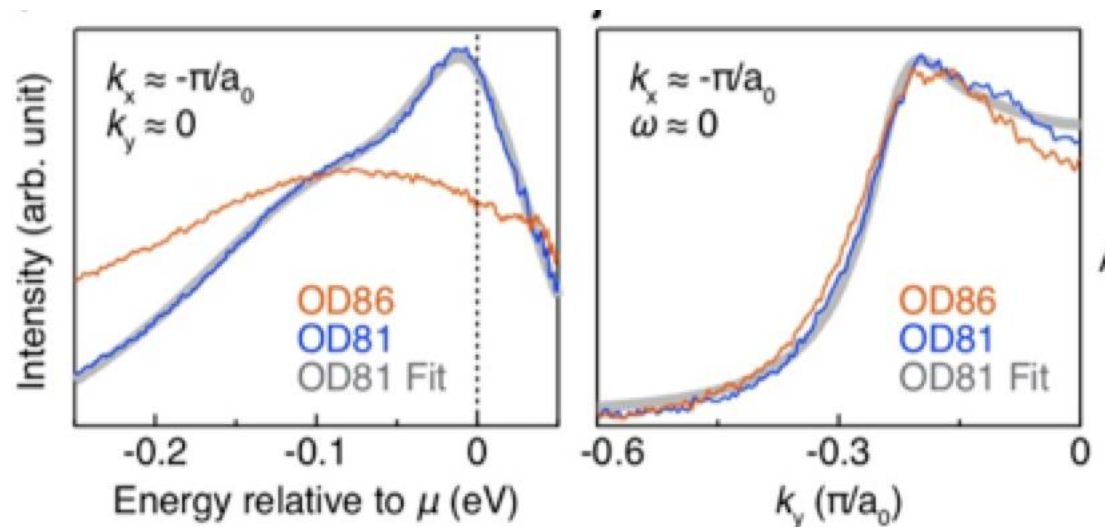


# The “EDC-MDC dichotomy” on military power



Sudi Chen

ZX Shen

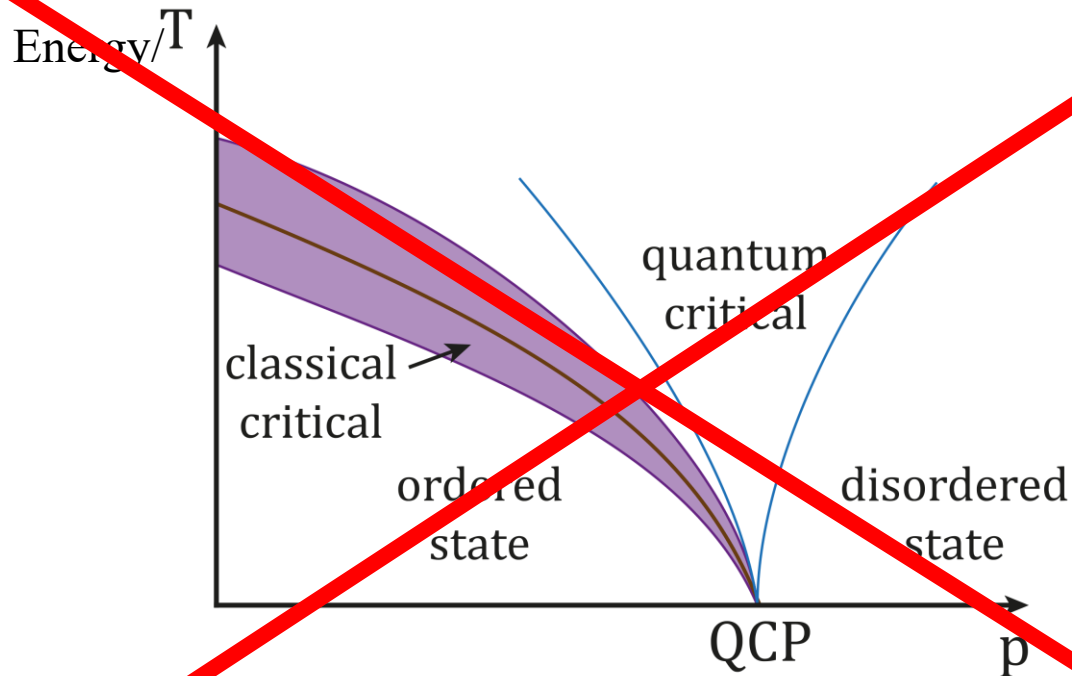


**EDC's:** perfect fit obtained using the industry standard “nodal” self energy for overdoped ( $T_c = 81$  K) metal while the  $T_c = 86$  K metal is completely incoherent.

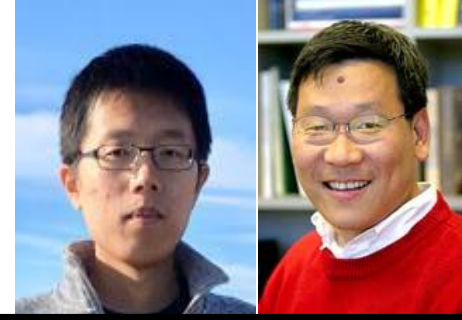
**MDC's:** industry standard self-energies fit well on both sides of  $p_c$ .

**At the critical doping the EDC's turn from extreme fat tail to a reasonable perturbative self energy form.**

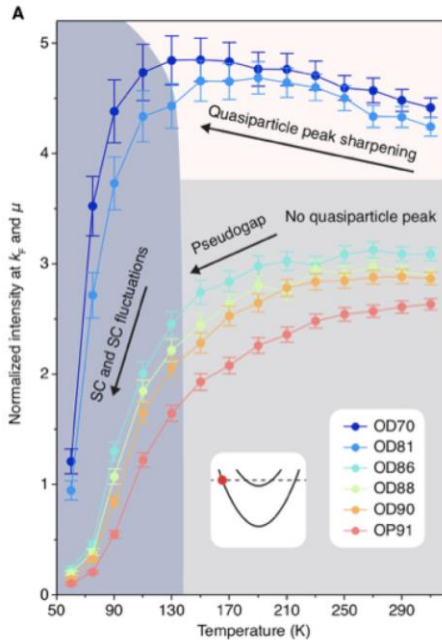
# The stoquastic view on scale invariance.



# Challenging classical matter thermodynamical principle.



Sudi Chen ZX Shen



**With the strange metal also the “pseudogap precursor” disappears suddenly: claimed to be seen in the specific heat by Tallon.**

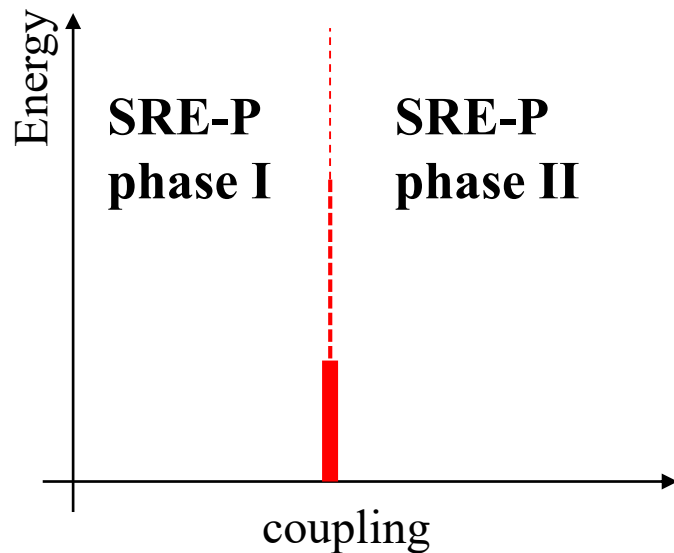
**All other macroscopic properties vary smoothly through  $p_c$  (e.g.  $T_c$ ).**

**This is surely not a continuous quantum phase transition:  $10^4$  papers to the trash can!**

**This defies general principle applying to all forms of classical matter: a discontinuous microscopic change should turn into a first order phase transition. The loop hole: the strange metal is a state of quantum supreme matter undergoing a “transition” to a classical state**

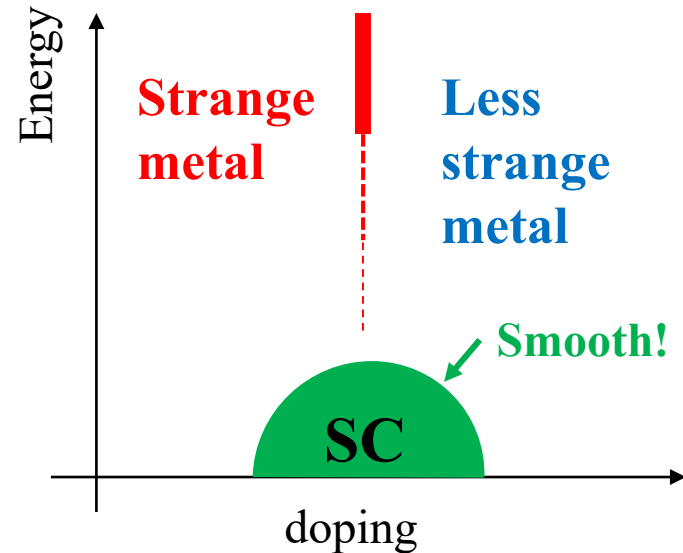
# The “failed first order” transition in cuprates.

Stoquastic first order QPT



Wilsonian RG principle: discontinuity always **amplifies towards IR** (“runaway flow”)

Cuprates



**The only loophole: it is non-stoquastic and thereby quantum supreme!**

# Condensed matter physics in action.

---

- 1. “Stoquastic” quantum criticality: an introduction.**
- 2. The “ups”: the nearly quantum critical charge order in cuprates revealed by the RIXS machine.**
- 3. The “downs”: ARPES demonstrates that the big deal cuprate strange metal has nothing to do with a quantum phase transition**
- 4. Theoretical epilogue: fermion signs, dense entanglement and the demise of semiclassics.**

# Many body/bit Hilbert space.

---

**Two qubits: Hilbert space dimension  $2^2 = 4$**

$$|00\rangle, |01\rangle, |10\rangle, |11\rangle$$

**Three qubits: Hilbert space dimension  $2^3 = 8$**

$$|000\rangle, |001\rangle, |010\rangle, |011\rangle, |100\rangle, |101\rangle, |110\rangle, |111\rangle,$$

**Physical world  $10^{23}$  “qubits”: Hilbert space dimension  $2^{10^{23}}$**

$$|\Psi_n\rangle = \sum_{\text{config. } i} C_i^n |\text{config. } i\rangle$$

**Overwhelming amount of quantum information.**

# “The classical condensates: from crystals to Fermi-liquids.”

**States of matter that we understand are short ranged entangled product!**

$$|\Psi_0\{\Omega_i\}\rangle = \prod_i \hat{X}_i^+(\Omega_i) |vac\rangle$$

- Crystals: put atoms in real space wave packets  $X_i^+(R_i^0) \propto e^{(R_i^0 - r)^2 / s^2} y^+(r)$

- Magnets: put spins in generalized coherent state

$$X_i^+(\vec{n}_i) \propto e^{i\varphi_i/2} \cos(\theta_i/2) c_{i\uparrow}^+ + e^{-i\varphi_i/2} \sin(\theta_i/2) c_{i\downarrow}^+$$

- Superconductors/superfluids: put bosons/Cooper pairs in coherent superposition

$$X_{k/i}^+ \propto u_k + v_k c_{k-}^+ c_{-k}^+, \quad u_i + v_i e^{ij} b_i^+$$

- Fermi gas/liquid: product state in momentum space (mod Pauli principle).

$$|Y_{FL}\rangle = \prod_k^{k_F} c_k^+ |vac\rangle$$

# What is a “particle”?

E.g. transversal field Ising model: 
$$H = -J \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z + B \sum_i (\sigma_i^+ + \sigma_i^-)$$

↑ ↑ ↑ ↑ ↑ ↑ ↑

**J ≪ B: product state vacuum**

↑ ↑ ↑ ↓ ↑ ↑ ↑

**Excitation = inject quantum number(s) (S=1)**

↑ ↑ ↑ ↑ ↓ ↑ ↑

**“Particle” delocalizes:** 
$$G = \frac{1}{\epsilon_k - \omega}$$

$$A(k, \omega) = \text{Im } G = \delta(\epsilon_k - \omega)$$

↑ ↓ ↓ ↑ ↓ ↑ ↑

**J < B, SRE product state = perturbative corrections:**

$$|\Psi_0\rangle = A|\text{product}\rangle + \sum_i a_i |\text{config}, i\rangle$$

$$G = \frac{1}{\epsilon_k - \omega + \Sigma(\omega, k)}$$

**“Good” self-energy, at the bottom of the spectrum:** 
$$G = \frac{A^2}{\hat{\epsilon}_k - \omega} + G_{\text{incoh}}$$



# Quantum matter and unparticle physics.

---

**Given: The vacuum state is infinite party entangled**

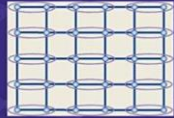
$$|\Upsilon\rangle = \hat{a} \sum_{configs} A_{configs} |configs\rangle$$

**Inject a quantum-number: this information is now “dispersed” in the whole  $2^N$  many body Hilbert space.**

**The quantum info is no longer “localizable”: there are no particle poles in the spectrum.**

**Spectral functions are fully incoherent: “unparticle physics” as quantum matter diagnostic!**

# Strongly interacting “stoquastic” quantum critical states.



$$S = \int d^d x d\tau [(\partial_\tau \Phi)^2 + (\nabla \Phi)^2 + m^2 \Phi^2 + w \Phi^4]$$

$$D = d + z < D_{u.c.} (= 4) : w \neq 0 \text{ at the IR fixed point}$$

“strongly interacting” = **NP-hard** (critical slowing down in QMC) = **densely entangled** quantum critical state.

$$\langle \Phi \Phi \rangle \sim \frac{1}{\sqrt{k^2 - \omega^2}^{2-\eta}}$$

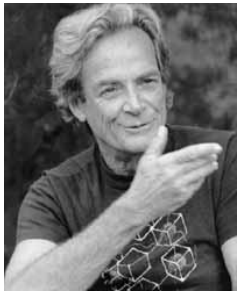
$$D \geq D_{u.c.} \quad w = 0 \text{ at the IR fixed point}$$

**Mean-field fixed point:** SRE product state characterized by particles in its spectrum:

$$\langle \Phi \Phi \rangle \sim \frac{1}{k^2 - \omega^2}$$

# Fermions at a finite density: the „non-stoquastic“ sign problem.

Imaginary time first quantized path-integral formulation

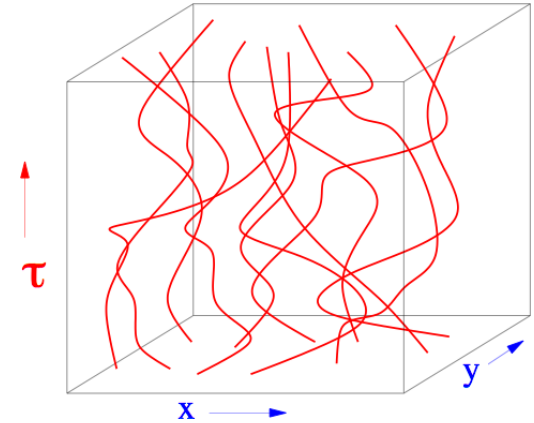


$$\begin{aligned}\mathcal{Z} &= \text{Tr} \exp(-\beta \hat{\mathcal{H}}) \\ &= \int d\mathbf{R} \rho(\mathbf{R}, \mathbf{R}; \beta)\end{aligned}$$

$$\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N) \in \mathbb{R}^{Nd}$$

$$\rho_{B/F}(\mathbf{R}, \mathbf{R}; \beta) = \frac{1}{N!} \sum_{\mathcal{P}} (\pm 1)^{\mathcal{P}} \rho_D(\mathbf{R}, \mathcal{P}\mathbf{R}; \beta)$$

$$= \frac{1}{N!} \sum_{\mathcal{P}} (\pm 1)^{\mathcal{P}} \int_{\mathbf{R} \rightarrow \mathcal{P}\mathbf{R}} \mathcal{D}\mathbf{R}(\tau) \exp \left\{ -\frac{1}{\hbar} \int_0^{\hbar/T} d\tau \left( \frac{m}{2} \dot{\mathbf{R}}^2(\tau) + V(\mathbf{R}(\tau)) \right) \right\}$$



Boltzmannons or Bosons:

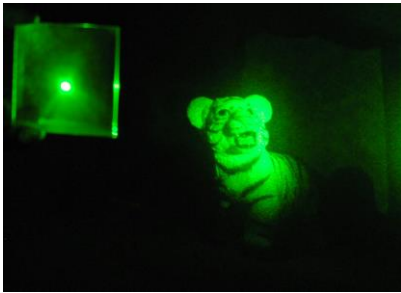
- integrand non-negative = stoquastic
- probability of equivalent classical system: (crosslinked) ringpolymers

Fermions:

- negative Boltzmann weights
- „non-stoquastic“: NP-hard problem (Troyer, Wiese)!!!

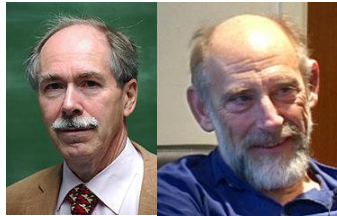
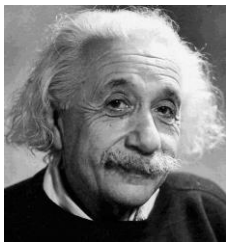
# Holographic gauge-gravity duality

Einstein Universe “AdS”



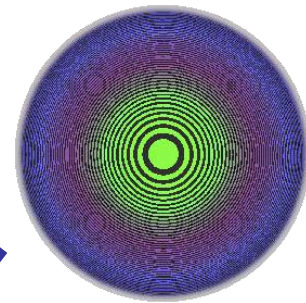
Classical general relativity

Uniqueness of GR solutions



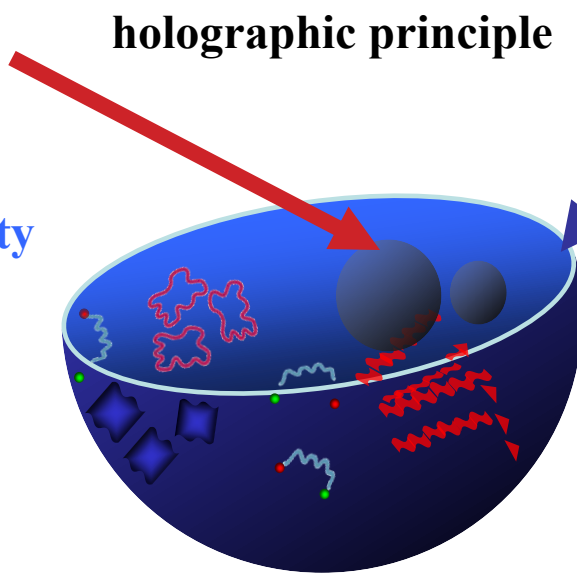
‘t Hooft-Susskind holographic principle

Quantum world “CFT”



Densely entangled quantum matter

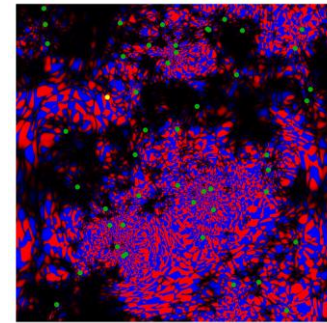
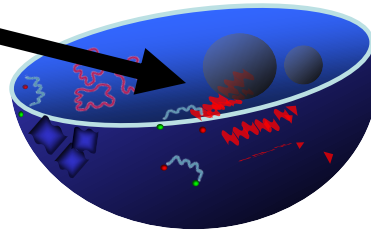
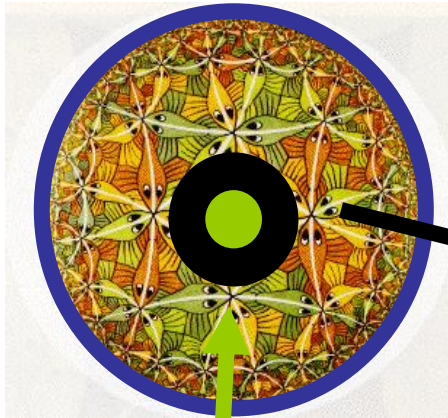
Revealing “quantum supremacy” general principle ?



# The charged back hole encoding for finite density (2008 - ????)

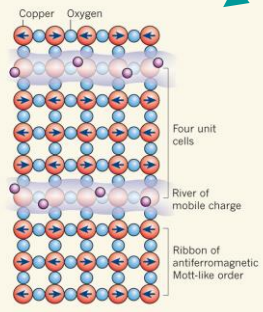
Anti de Sitter universe.

Finite density **quantum matter:**



**Holographic strange metals**

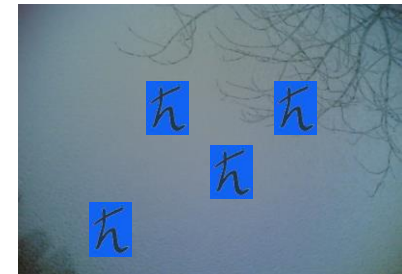
**Charged black hole in the middle**



**Stripy pseudogap orders**

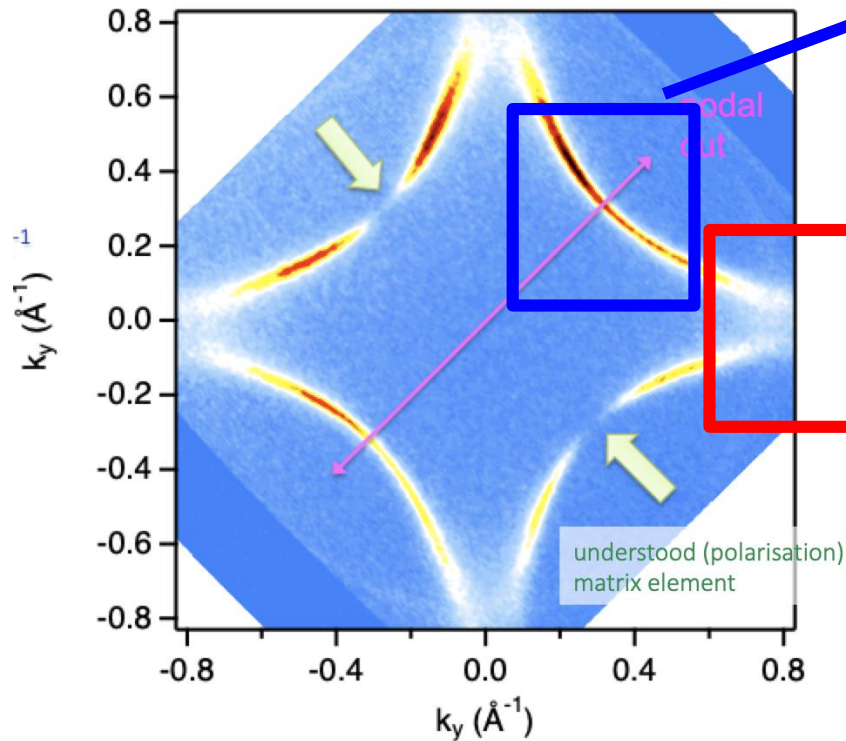


**High Tc superconductors**



**Emergent Fermi liquids**

# The nodal-antinodal dichotomy.

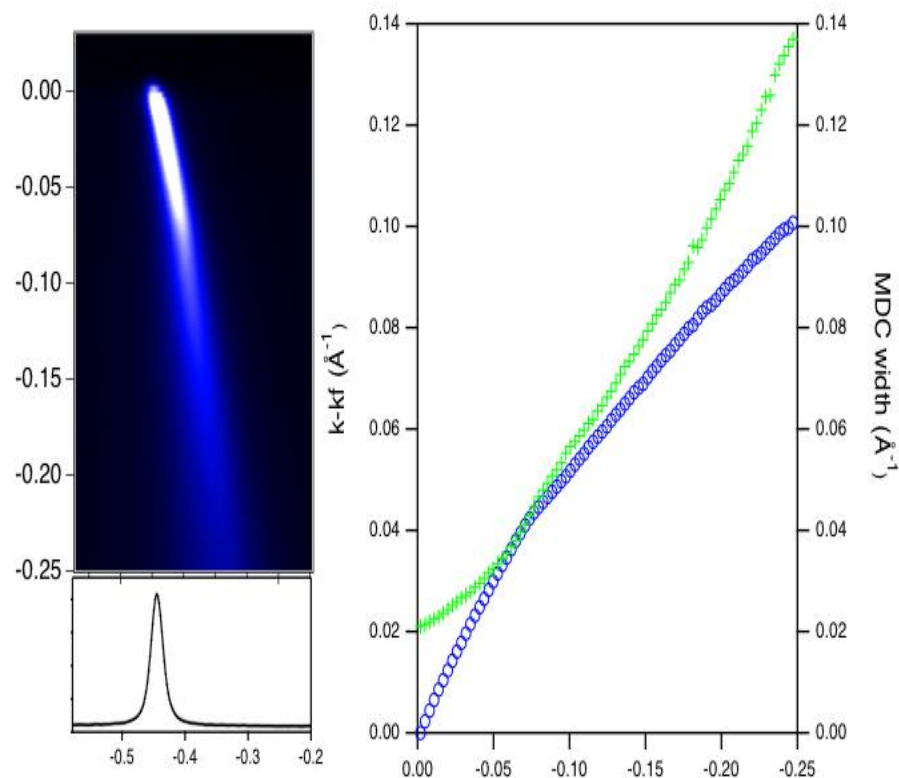
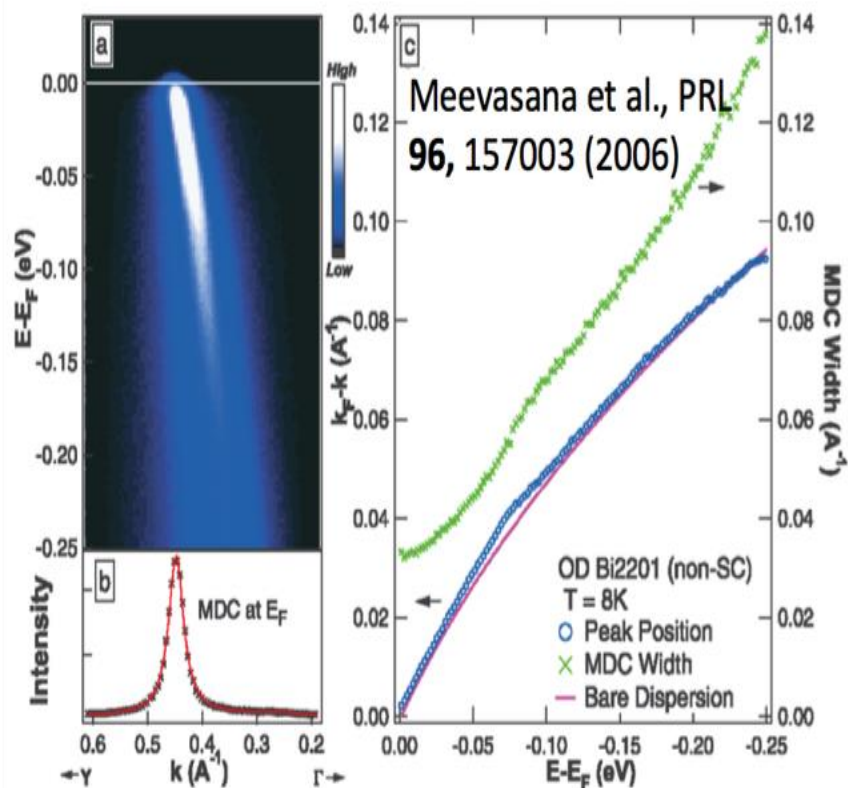


Along the nodes we used to see quasi-particles.

But up to optimal doping this used to be less obvious near the anti-nodes

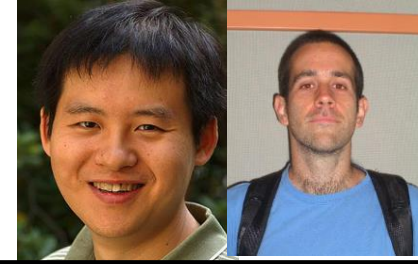
# Benchmark to the best data

● nodal direction, Pb,Bi-2201

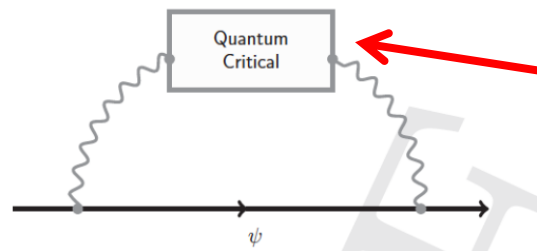
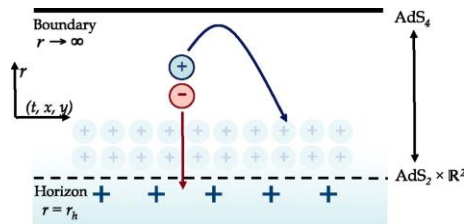


● minimal MDC width =  $0.02 \text{\AA}^{-1}$

# AdS/ARPES: the RN approaching the Fermi liquid



Liu McGreevy



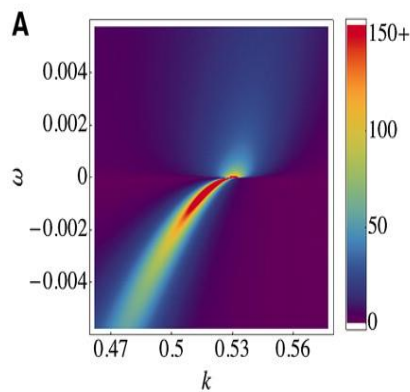
**This is doing the collective (transport etc) work!**

**Bulk: DW fermion gas and the horizon**

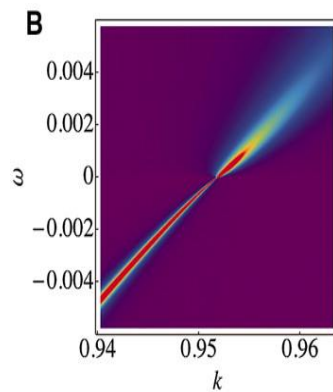
**Boundary: would be fermions decaying in QC infrared**

$$G_f(\omega, k) = \frac{1}{\omega - v_F(k - k_F) - \Sigma(k, \omega)}$$

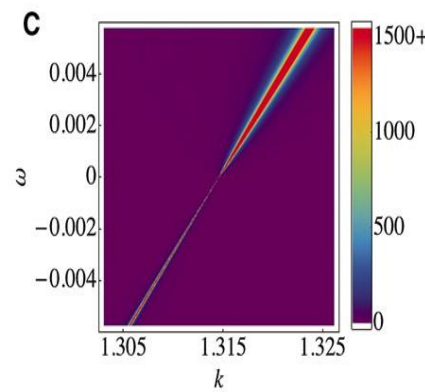
$$\Sigma(k, \omega) \sim e^{i\phi_{k_F}} \omega^{2\nu_{k_F}}$$



$2\nu_{k_F} < 1$   
overdamped



$2\nu_{k_F} = 1$   
Marginal FL



$2\nu_{k_F} > 1$   
underdamped

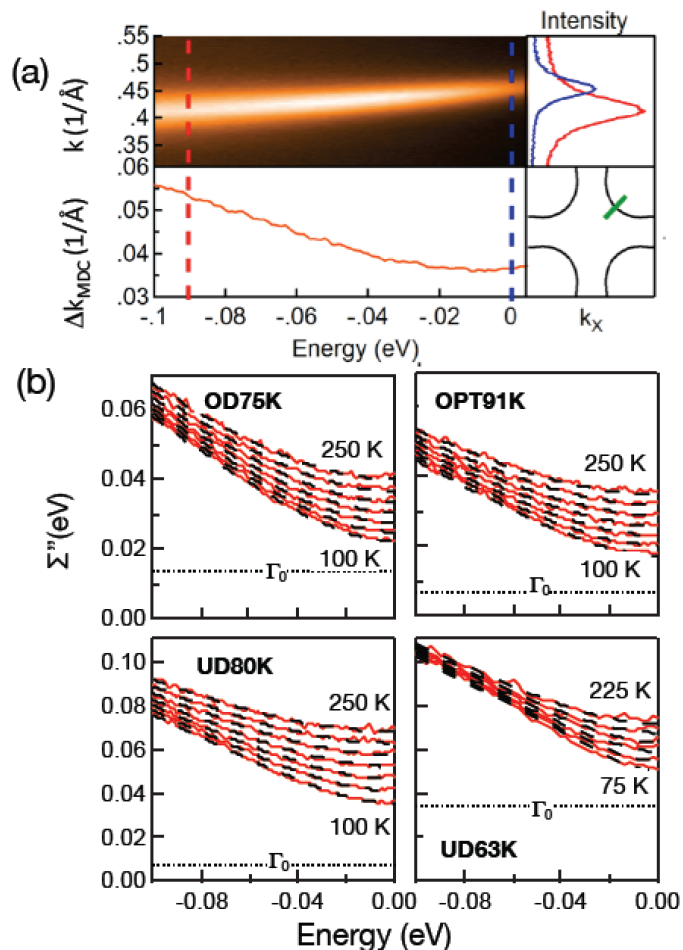
$$2\nu_k \sim \sqrt{\frac{1}{\xi^2} + k^2}$$



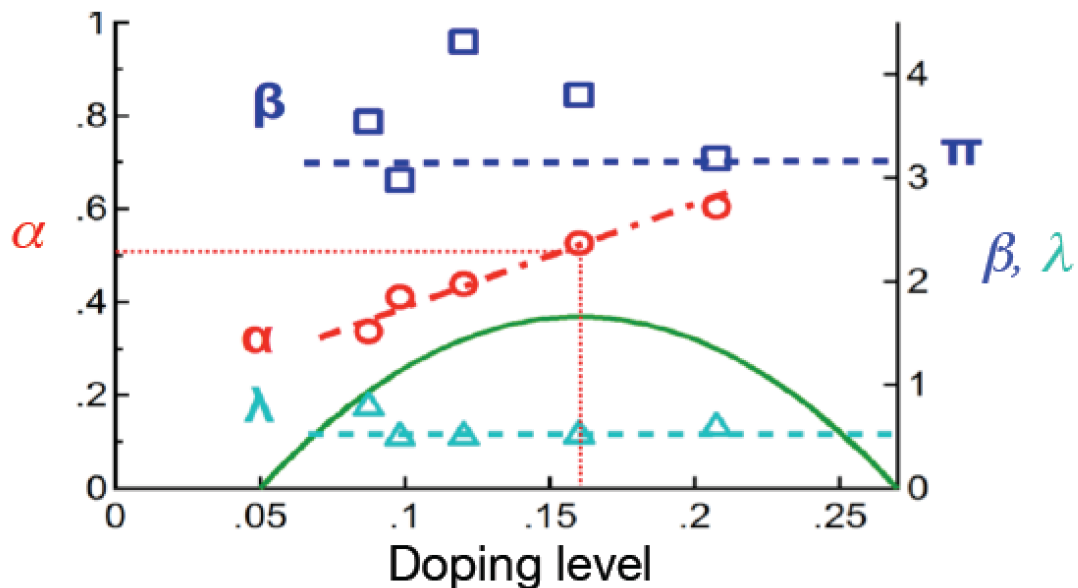
# Nodal fermion self-energies in the strange metal.



Dessau



$$\Sigma''_{PLL}(\omega) = \Gamma_0 + \lambda \frac{[(\hbar\omega)^2 + (\beta k_B T)^2]^\alpha}{(\hbar\omega_N)^{2\alpha-1}}$$



arXiv:1509.01611

# **Irony conclusions.**

---

**The first convincing (=dynamical) evidence for a strongly interacting quantum critical point in cuprates: RIXS and the charge order.**

*W.S. Lee et al., submitted to Nature.*

**But utterly irrelevant to the strange metal: ARPES shows the "failed first order transition" demonstrating the strange metal to be a "quantum supreme" metallic phase of matter reminiscent of a holographic strange metal.**

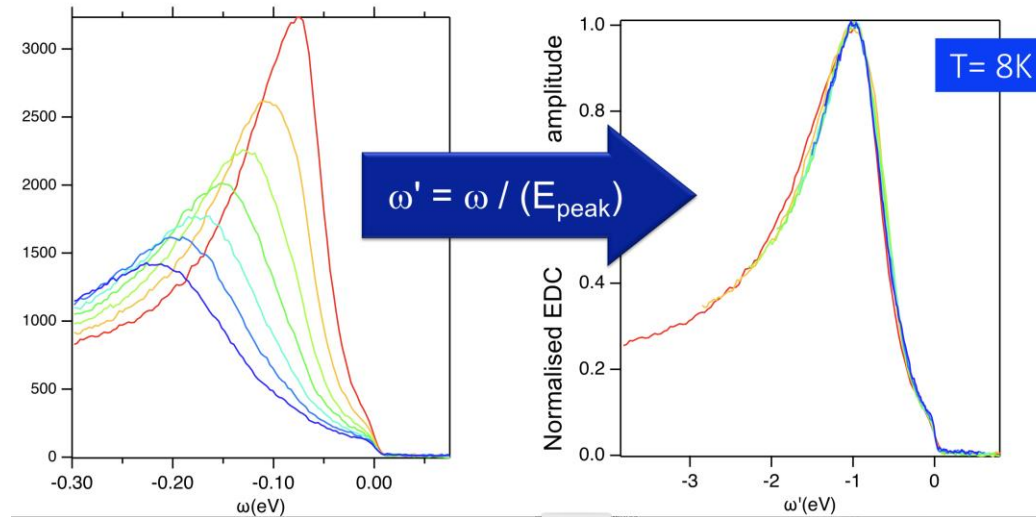
*Sudi Chen et al, accepted by Science.*

**Much more "quantum supreme matter" developments:**

**Intertwined order, holographic Mott insulators and the zero Hall effect phase (IOP/AC colloquium Monday).**

**Planckian dissipation in transport, ultrafast thermalization, see arXiv:1807.10951.**

# The EDC scaling collapse: the fat energy tails.



CFT propagators scale this way:

$$G = \frac{1}{\sqrt{c^2 q^2 - \omega^2}^{2\Delta}} = \frac{1}{|cq|^{2\Delta}} \frac{1}{\sqrt{1 - \left(\frac{\omega}{cq}\right)^2}^{2\Delta}}$$

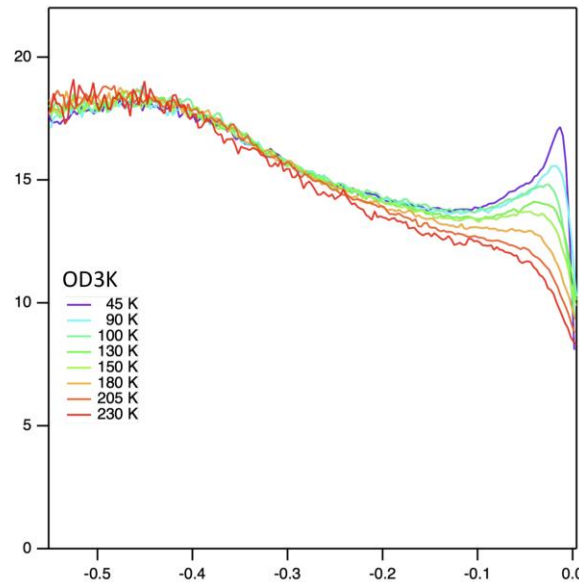
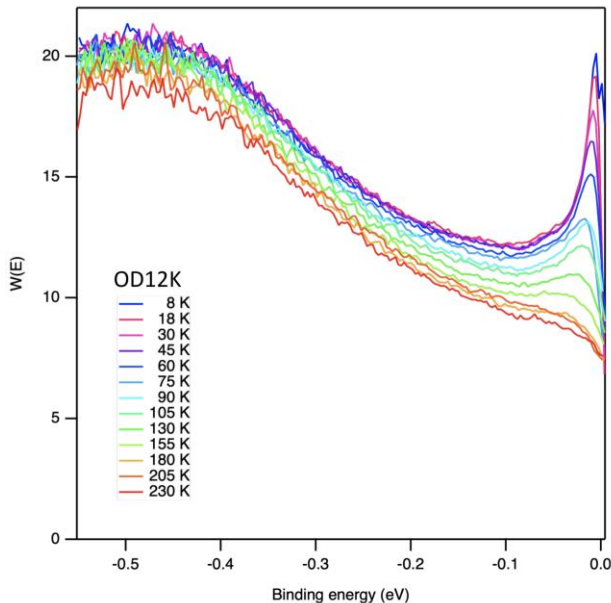
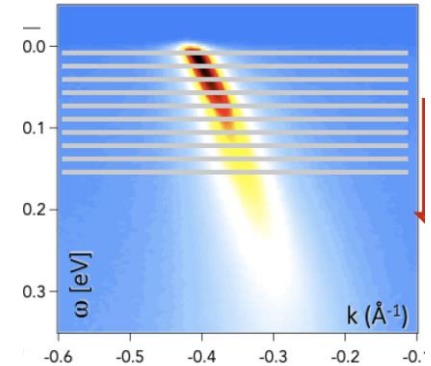
**Holo-fermion propagators do not scale at all when  $2\nu = 1.6$**

$$G = \frac{1}{E_k - \omega + i\omega^{2\nu}} = \frac{1}{E_k} \frac{1}{1 - \frac{\omega}{E_k} + i \frac{\omega^{2\nu}}{E_k}}$$

# The fat energy-tail $W$ function.

Given that the MDC's are perfect Lorentzians the only way to fit the data is:

$$A(k, \omega) = W(\omega) \frac{\Gamma(\omega)}{(E_k - \omega)^2 + \Gamma^2(\omega)}$$



**W is non-perturbative :  
the normal state nodal  
quasiparticles are a  
delusion!!!**

Empty.

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